#### PLANS, SPECIFICATIONS AND CONTRACT DOCUMENTS FOR SALT CREEK LEVEE TRAIL RESURFACING FOR LOWER PLATTE SOUTH NATURAL RESOURCES DISTRICT LINCOLN, NEBRASKA

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NRD PROJECT NO. SCL.2022.004

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# SECTION 00 10 00 INVITATION TO BID

Sealed Proposals for the construction of "Salt Creek Levee Trail Resurfacing, for the Lower Platte South NRD, Lincoln, Nebraska," will be received by the Lower Platte South NRD, at 3125 Portia Street, PO Box 83581, Lincoln, Nebraska, until <u>1:00 p.m</u>. on the <u>5<sup>th</sup></u> day of <u>December</u>, 2022, and thereafter will be read aloud.

The work consists of the following:

Placement of crushed rock and crushed limestone screenings atop of the existing crushed rock. Other work on the project is to remove and reinstall bollards.

Proposals will be taken for said construction work listed above by linear foot prices, as an aggregate bid for the entire project.

All Proposals for said construction work must be made on blanks furnished by the ENGINEER and must be accompanied by Bid Security of not less than 5% of the amount bid. Bid Security to be made payable to the Treasurer of the Lower Platte South NRD as liquidated damages in case the bid is accepted and the bidder neglects or refuses, to enter into contract and furnish bond in accordance herewith.

Plans, Specifications and Bid Documents may be obtained from the Lower Platte South NRD website at <u>www.lpsnrd.org</u> or at the office of the Lower Platte South NRD, Lincoln, Nebraska, and will be issued by the Lower Platte South NRD, 3125 Portia Street, P.O. Box 83581, Lincoln, NE 68501-3581, there is no charge for the Plans and Specifications.

The Lower Platte South NRD, reserves the right to waive informalities and irregularities and to make awards on bids which furnish the materials and construction that will, in their opinion serve the best interests of the Lower Platte South NRD, and also reserves the right to reject any and/or all bids.

LOWER PLATTE SOUTH NRD Paul Zillig, General Manager

- ATTEST: Al Langdale Operations and Maintenance Coordinator
- PUBLISHED: Lincoln Journal Star November 18<sup>th</sup>, 25<sup>th</sup>, and December 2<sup>nd</sup>.

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# INSTRUCTIONS TO BIDDERS

## ARTICLE 1 - DEFINED TERMS

1.01 Terms used in these Instructions to Bidders will have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below which are applicable to both the singular and plural thereof:

A. *Bidder*-The individual or entity who submits a Bid directly to OWNER.

B. *Issuing Office*-The office from which the Bidding Documents are to be issued and where the bidding procedures are to be administered.

C. *Successful Bidder-The* lowest responsible Bidder submitting a responsive Bid to whom OWNER (on the basis of OWNER's evaluation as hereinafter provided) makes an award.

# ARTICLE 2 - COPIES OF BIDDING DOCUMENTS

2.01 Complete sets of the Bidding Documents in the number and for the sum, stated in the Advertisement or Invitation to Bid shall be obtained from the Issuing Office.

2.02 Complete sets of Bidding Documents must be used in preparing Bids; neither OWNER nor ENGINEER assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

2.03 OWNER and ENGINEER in making copies of Bidding Documents available on the above terms do so only for the purpose of obtaining Bids for the Work and do not confer a license or grant for any other use.

ARTICLE 3- QUALIFICATIONS OF BIDDERS

3.01 To demonstrate Bidder's qualifications to perform the Work, within five days of OWNER's request, Bidder shall submit written evidence such as financial data, previous experience, present commitments, and such other data as may be requested.

ARTICLE 4 - EXAMINATION OF BIDDING DOCUMENTS, OTHER RELATED DATA, AND SITE

#### 4.01 Subsurface and Physical Conditions

A. The Supplementary Conditions identify:

1. Those reports of explorations and tests of subsurface conditions at or contiguous to the Site that Engineer has used in preparing the Bidding Documents.

2. Those drawings of physical conditions in or relating to existing surface and subsurface structures at or contiguous to the Site (except Underground Facilities) that ENGINEER has used in preparing the Bidding Documents.

B. Copies of reports and drawings, if any, referenced in paragraph 4.01.A will be made available by OWNER to any Bidder on request. Those reports and drawings are not part of the Contract Documents, but the "technical data" contained therein upon which Bidder is entitled to rely as provided in paragraph 4.02 of the General Conditions has been identified and established in paragraph 4.02 of the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any "technical data" or any other data, interpretations, opinions or information contained in such reports or shown or indicated in such drawings.

# 4.02 Underground Facilities

A. Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site is based upon information and data furnished to OWNER and ENGINEER by owners of such Underground Facilities, including OWNER, or others.

#### 4.03 Hazardous Environmental Condition

A. The Supplementary Conditions identify those reports and drawings relating to a Hazardous Environmental Condition identified at the Site, if any, that ENGINEER has used in preparing the Bidding Documents.

B. Copies of reports and drawings referenced in paragraph 4.03. A will be made available by OWNER to any Bidder on request. Those reports and drawings are not part of the Contract Documents, but the "technical data" contained therein upon which Bidder is entitled to rely as provided in paragraph 4.06 of the General Conditions has been identified and established in paragraph 4.06 of the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any "technical data" or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.

4.04 Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated conditions appear in paragraphs 4.02, 4.03, and 4.04 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect

to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work appear in paragraph 4.06 of the General Conditions.

4.05 On request, OWNER will provide Bidder access to the Site to conduct such examinations, investigations, explorations, tests, and studies as Bidder deems necessary for submission of a Bid. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.

4.06 Reference is made to Article 7 of the Supplementary Conditions for the identification of the general nature of other work that is to be performed at the Site by OWNER or others (such as utilities and other prime contractors) that relates to the Work for which a Bid is to be submitted. On request, OWNER will provide to each Bidder for examination access to or copies of Contract Documents (other than portions thereof related to price) for such other work.

4.07 It is the responsibility of each Bidder before submitting a Bid to:

A. examine and carefully study the Bidding Documents, including any Addenda and the other related data identified in the Bidding Documents;

B. visit the Site and become familiar with and satisfy Bidder as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;

C. become familiar with and satisfy Bidder as to au federal, state, and local Laws and Regulations that may affect cost, progress, or performance of the Work;

D. carefully study all reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) which have been identified in the Supplementary Conditions as provided in paragraph 4.02 of the General Conditions, and carefully study all reports and drawings of a Hazardous Environmental Condition, if any, at the Site which have been identified in the Supplementary Conditions;

E. obtain and carefully study, or assume responsibility for doing so, all additional or supplementary examinations, investigations, explorations, tests, studies, and data concerning conditions (surface, subsurface, and Underground Facilities) at or contiguous to the Site which may affect cost, progress, or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, including any specific means, methods, techniques, sequences, and procedures, and procedures of construction expressly required by the Bidding Documents, and safety precautions and programs incident thereto;

F. agree at the time of submitting its Bid that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price bid and within the times and in accordance with the other terms and conditions of the Bidding Documents;

G. become aware of the general nature of the work to be performed by OWNER and others at the Site that relates to the Work as indicated in the Bidding Documents;

H. correlate the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Bidding Documents;

I. promptly give ENGINEER written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by ENGINEER is acceptable to Bidder; and

J. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work.

4.08 The submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article 4, that without exception the Bid is premised upon performing and furnishing the Work required by the Bidding Documents and applying any specific means, methods, techniques, sequences, and procedures of construction that may be shown or indicated or expressly required by the Bidding Documents, that Bidder has given ENGINEER written notice of all conflicts, errors, ambiguities, and discrepancies that Bidder has discovered in the Bidding Documents and the written resolutions thereof by ENGINEER are acceptable to Bidder, and that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performing and furnishing the Work.

# ARTICLE 5 - PRE-BID CONFERENCE

5.01 Not Applicable.

#### ARTICLE 6 - SITE AND OTHER AREAS

6.01 The Site is identified in the Bidding Documents. All additional lands and access thereto required for temporary construction facilities, construction equipment, or storage of materials and equipment to be incorporated in the Work are to be obtained and paid for by CONTRACTOR. Easements for permanent structures or permanent changes in existing facilities are to be obtained and paid for by OWNER unless otherwise provided in the Bidding Documents.

## ARTICLE 7 - INTERPRETATIONS AND ADDENDA

7.01 All questions about the meaning or intent of the Bidding Documents are to be submitted to ENGINEER in writing. Interpretations or clarifications considered necessary by ENGINEER in response to such questions will be issued by Addenda mailed or delivered to all parties recorded by ENGINEER as having received the Bidding Documents. Questions received less than ten days prior to the date for opening of Bids may not be answered. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.

7.02 Addenda may be issued to clarify, correct, or change the Bidding Documents as deemed advisable by OWNER or ENGINEER.

#### ARTICLE 8 - BID SECURITY

8.01 A Bid must be accompanied by Bid security made payable to OWNER in an amount of 5% of Bidder's maximum Bid price and in the form of a certified or cashier's check or a Bid Bond issued by a surety meeting the requirements of paragraphs 5.01 and 5.02 of the General Conditions.

8.02 The Bid security of the Successful Bidder will be retained until such Bidder has executed the Contract Documents, furnished the required contract security and met the other conditions of

the Notice of Award. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within 15 days after the Notice of Award, OWNER may annul the Notice of Award and the Bid security of that Bidder will be forfeited. The Bid security of other Bidders whom OWNER believes to have a reasonable chance of receiving the award may be retained by OWNER until the earlier of seven days after the Effective Date of the Agreement or 61 days after the Bid opening.

# ARTICLE 9 - CONTRACT TIMES

9.01 The time for Completion and readiness for final payment is set forth in the Bid and will be entered into the Agreement or incorporated therein by reference to the specific language of the Bid. The times will be taken into consideration by OWNER during the evaluation of Bids, and it will be necessary for the apparent Successful Bidder to satisfy OWNER that it will be able to achieve Completion and be ready for final payment within the times designated in the Bid.

# ARTICLE 10 - LIQUIDATED DAMAGES

10.01 Provisions for liquidated damages, if any, are set forth in the Agreement.

# ARTICLE 11 - SUBSTITUTE AND "OR-EQUAL" ITEMS

11.01 The Contract, if awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents without consideration of possible substitute or "or-equal" items. Whenever it is specified or described in the Bidding Documents that a substitute or "or-equal" item of material or equipment may be furnished or used by CONTRACTOR, if acceptable to ENGINEER; the procedure for submission of any such application by CONTRACTOR and consideration by ENGINEER is set forth in the General Conditions and may be supplemented in the General Requirements.

# ARTICLE 12-SUBCONTRACTORS, SUPPLIERS, AND OTHERS

12.01 If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers, individuals, or entities to be submitted to OWNER in advance of a specified date prior to the Effective Date of the Agreement, the apparent Successful Bidder, and any other Bidder so requested, shall within five days after Bid opening, submit to OWNER a list of all such Subcontractors, Suppliers, individuals, or entities proposed for those portions of the Work for which such identification is required. Such list shall be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualification for each such Subcontractor, Supplier, individual, or entity if requested by OWNER. If OWNER or ENGINEER, after due investigation, has reasonable objection to any proposed Subcontractor, Supplier, individual, or entity, OWNER may, before the Notice of Award is given, request apparent Successful Bidder to submit a substitute.

12.02 If apparent Successful Bidder declines to make any such substitution, OWNER may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors, Suppliers, individuals, or entities. Declining to make requested substitutions will not constitute

grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor, Supplier, individual, or entity so listed and against which OWNER or ENGINEER makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to OWNER and ENGINEER subject to revocation of such acceptance after the Effective Date of the Agreement as provided in paragraph 6.06 of the General Conditions.

12.03 CONTRACTOR shall not be required to employ any Subcontractor, Supplier, individual, or entity against whom CONTRACTOR has reasonable objection.

ARTICLE 13-PREPARATION OF BID

13.01 The Bid form is included with the Bidding Documents. Additional copies may be obtained from ENGINEER.

13.02 All blanks on the Bid form shall be completed by printing in ink or by typewriter and the Bid signed. A Bid price shall be indicated for each Bid item listed therein, or the words "No Bid," "No Change," or "Not Applicable" entered.

13.03 A Bid by a corporation shall be executed in the corporate name by the president or a vicepresident or other corporate officer. The corporate address and state of incorporation shall be shown.

13.04 A Bid by a partnership shall be executed in the partnership name and signed by a partner, whose title must appear under the signature. The official address of the partnership shall be shown.

13.05 A Bid by a limited liability company shall be executed in the name of the firm by a member. The state of formation of the firm and the official address of the firm must be shown.

13.06 A Bid by an individual shall show the Bidder's name and official address.

13.07 A Bid by a joint venture shall be executed by each joint venturer in the manner indicated on the Bid form. The official address of the joint venture must be shown.

13.08 All names shall be typed or printed in ink below the signatures.

13.09 The Bid shall contain an acknowledgment of receipt of all Addenda, the numbers of which shall be filled in on the Bid form.

13.10 The address and telephone number for communications regarding the Bid shall be shown.

13.11 The OWNER may require evidence of Bidder's authority and qualification to do business in the state where the Project is located prior to award of the Contract. Bidder's state CONTRACTOR license number for the state of the Project, if any, shall also be shown on the Bid form.

## 14.01 Unit Price

A. Bidders shall submit a Bid on a unit price basis for each item of Work listed in the Bid schedule.

B. The total of all estimated prices will be determined as the sum of the products of the estimated quantity of each item and the unit price Bid for the item. The final quantities and Contract Price will be determined in accordance with paragraph 11.03 of the General Conditions.

C. Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum. Discrepancies between words and figures will be resolved in favor of the words.

14.02 The Bid price shall include such amounts as the Bidder deems proper for overhead and profit on account of cash allowances, if any, named in the Contract Documents as provided in paragraph 11.02 of the General Conditions.

# ARTICLE 15 - SUBMITTAL OF BID

15.01 Each prospective Bidder is furnished one copy of the Bidding Documents with one separate unbound copy each of the Bid form, and, if required, the Bid Bond. The unbound copy of the Bid form is to be completed and submitted with the Bid security and the following data:

- A. Experience Record on form attached to bid form.
- B. Materials and Subcontractor Listing on form attached to bid form.
- C. Equipment Assessment Certification.

15.02 A Bid shall be submitted no later than the date and time prescribed and at the place indicated in the Advertisement or Invitation to Bid and shall be enclosed in an opaque sealed envelope plainly marked with the Project title and, if applicable, the designated portion of the Project for which the Bid is submitted, the name and address of Bidder, and shall be accompanied by the Bid security and other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid shall be enclosed in a separate envelope plainly

marked on the outside with the notation "BID ENCLOSED." A mailed Bid shall be addressed to <u>(Paul Zillig, General Manager, Lower Platte South NRD, 3125 Portia Street, PO Box 83581, Lincoln, NE 68501-3581).</u>

16.01 A Bid may be modified or withdrawn by an appropriate document duly executed in the manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids.

16.02 If within 24 hours after Bids are opened any Bidder files a duly signed written notice with OWNER and promptly thereafter demonstrates to the reasonable satisfaction of OWNER that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid, and the Bid security will be returned. Thereafter, if the Work is rebid, that Bidder will be disqualified from further bidding on the Work.

# ARTICLE 17 - OPENING OF BIDS

17.01 Bids will be opened at the time and place indicated in the advertisement or Invitation to Bid and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base Bids and major alternates, if any, will be made available to Bidders after the opening of Bids.

# ARTICLE 18 - BIDS TO REMAIN SUBJECT TO ACCEPTANCE

18.01 All Bids will remain subject to acceptance for the period of time stated in the Bid form, but OWNER may, in its sole discretion, release any Bid and return the Bid security prior to the end of this period.

#### ARTICLE 19 - AWARD OF CONTRACT

19.01 OWNER reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. OWNER further reserves the right to reject the Bid of any Bidder whom it finds, after reasonable inquiry and evaluation, to be non-responsible. OWNER may also reject the Bid of any Bidder if OWNER believes that it would not be in the best interest of the Project to make an award to that Bidder. OWNER also reserves the right to waive all informalities and to negotiate contract terms with the Successful Bidder.

19.02 More than one Bid for the same Work from an individual or entity under the same or different names will not be considered. Reasonable grounds for believing that any Bidder has an interest in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder has an interest.

19.03 In evaluating Bids, OWNER will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices and other data, as may be requested in the Bid Form or prior to the Notice of Award.

19.04 In evaluating Bidders, OWNER will consider the qualifications of Bidders and may consider the qualifications and experience of Subcontractors, Suppliers, and other individuals or entities proposed for those portions of the Work for which the identity of Subcontractors,

Suppliers, and other individuals or entities must be submitted as provided in the Supplementary Conditions.

19.05 OWNER may conduct such investigations as OWNER deems necessary to establish the responsibility, qualifications, and financial ability of Bidders, proposed Subcontractors, Suppliers, individuals, or entities to perform the Work in accordance with the Contract Documents.

19.06 If the Contract is to be awarded, OWNER will award the Contract to the Bidder whose Bid is in the best interests of the Project.

19.07 It is intended that the OWNER will award one contract for the project.

ARTICLE 20 - CONTRACT SECURITY AND INSURANCE

20.01 Article 5 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth OWNER's requirements as to performance and payment Bonds and insurance. When the Successful Bidder delivers the executed Agreement to OWNER, it must be accompanied by such Bonds and insurance certificates.

ARTICLE 21 -SIGNING OF AGREEMENT

21.01 When OWNER gives a Notice of Award to the Successful Bidder, it shall be accompanied by the required number of unsigned counterparts of the Agreement with the other Contract Documents which are identified in the Agreement as attached thereto. Within 15 days thereafter, Successful Bidder shall sign and deliver the required number of counterparts of the Agreement and attached documents to OWNER: Within ten days after approval of Agreement and other Contract Documents by OWNER, OWNER shall deliver one fully signed counterpart to Successful Bidder with a complete set of the Drawings with appropriate identification. 22.01 CONTRACTOR shall pay all sales, consumer, use, and other similar taxes required to be paid by CONTRACTOR in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work. Said taxes shall be included in Contractor's bid.

OWNER is exempt from Nebraska Sales Tax and use Taxes on materials, equipment, and labor to be incorporated into some types of work. The following types of work are examples and not all inclusive list of types of work where Contractor shall not include these taxes for materials and equipment:

Street & Road Improvements Drainage Improvements Sanitary Sewer Wastewater Facilities Excavation & Grading Fire Hydrants Swimming Pools Trails

# ARTICLE 23 -RETAINAGE

23.01 Provisions concerning retainage are set forth in the Agreement.

ARTICLE 24 - CONTRACTS TO BE ASSIGNED

24.01 Not Applicable

ARTICLE 25 - PARTNERING

25.01 Not Applicable

ARTICLE 26- DAVIS-BACON AND RELATED ACTS

26.01 Not Applicable

# **SECTION 00 4100**

**BID FORM** 

**BID FORM** 

PROJECT IDENTIFICATION:

NAME: Salt Creek Levee Trail Resurfacing

Lower Platte South NRD, Lincoln, NE

NAME OF BIDDER

DATE

THIS BID IS SUBMITTED TO: Lower Platte South NRD Paul Zillig, General Manager 3125 Portia Street, PO Box 83581 Lincoln, NE 68501-3581

**1.01** The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with OWNER in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

**2.01** Bidder accepts all of the terms and conditions of the Advertisement or Invitation to Bid and Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. The Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of OWNER.

3.01 In submitting this Bid, Bidder represents, as set forth in the Agreement, that:

A. Bidder has examined and carefully studied the Bidding Documents, the other related data identified in the Bidding Documents, and the following Addenda, receipt of all which is hereby acknowledged.

Addendum No. Addendum Date

B. Bidder has visited the Site and become familiar with and is satisfied as to the general, local and Site conditions that may affect cost, progress, and performance of the Work.

C. Bidder is familiar with and is satisfied as to all federal, state and local Laws and Regulations that may affect cost, progress and performance of the Work.

D. Bidder has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) which have been identified in the Supplementary Conditions as provided in paragraph

4.02 of the General Conditions, and (2) reports and drawings of a Hazardous Environmental Condition, if any, which has been identified in the Supplementary Conditions as provided in paragraph 4.06 of the General Conditions.

E. Bidder has obtained and carefully studied, or assumes responsibility for having done so, all additional or supplementary examinations, investigations, explorations, tests, studies and data concerning conditions (surface, subsurface and Underground Facilities) at or contiguous to the Site which may affect cost, progress, or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, including applying the specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents to be employed by Bidder, and safety precautions and programs incident thereto.

F. Bidder does not consider that any further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price(s) bid and within the times and in accordance with the other terms and conditions of the Bidding Documents.

G. Bidder is aware of the general nature of work to be performed by OWNER and others at the Site that relates to the Work as indicated in the Bidding Documents.

H. Bidder has correlated the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Bidding Documents.

I. Bidder has given ENGINEER written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and the written resolution thereof by ENGINEER is acceptable to Bidder.

J. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work for which this Bid is submitted.

**4.01** Bidder further represents that this Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid; Bidder has not solicited or induced any individual or entity to refrain from bidding; and Bidder has not sought by collusion to obtain for itself any advantage over any other Bidder or over OWNER.

**5.01** Bidder will complete the Work in accordance with the Contract Documents for the following price(s):

UNIT PRICE BID S	SCHEDULE:
------------------	-----------

ITEM NO.	QUANTITY	UNIT	DESCRIPTION	UNIT PRICE	TOTAL
	GROL	JP "A" - Ba	ase Bid- Rock/ Limestone Sc	reening Resurf	acing
1.			Mobilization- Subsidiary to project		
2.	5	EA	Remove & Reset Bollards		
3.		Lin. Ft	Place Crushed Rock & Limestone Screenings		
		то	TAL GROUP "A"		
			ALTERNATE BID ITEMS		
1.					
2.					

Unit Prices have been computed in accordance with paragraph 11.03.B of the General Conditions.

Bidder acknowledges that estimated quantities are not guaranteed, and are solely for the purpose of comparison of Bids, and final payment for all Unit Price Bid items will be based on actual quantities provided, determined as provided in the Contract Documents.

**6.01** Bidder agrees that the start date for the Work will be <u>January 2, 2023</u> and that the Work will be completed and ready for final payment in accordance with paragraph 14.07.B of the General conditions within ...<u>88</u> calendar days.

**6.02** Bidder accepts the provisions of the Agreement as to liquidated damages in the event of failure to complete the Work within the times specified above, which shall be stated in the Agreement.

**7.01** The following documents are attached to and made a condition of this Bid:

A. Required Bid security in the form of a bid bond, certified check or cashier's check payable to the OWNER in the amount of 5% of the total amount bid.

- B. Experience Record.
- C. Materials and Subcontracting Listing.
- D. Equipment Assessment Certification.

**8.01** The terms used in this Bid with initial capital letters have the meanings indicated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

SUBMITTED on, 20
State Contractor License No (If applicable)
Nebraska Sales Tax Option(Option 1, 2 or 3, ${ m if}$ a Nebraska Project).
Federal Tax Identification Number
Bidder is:
An Individual
Name (typed or printed):
By:(Individual's Signature)
(Individual's Signature)
Doing Business as: Business Address:
Phone No.: Fax No.: E-Mail:
<u>A Partnership</u>
Partnership Name (typed or printed):
By:(Signature of General Partner)
(Signature of General Farmer)
Doing Business as: Business Address:
Phone No.: Fax No.: E-Mail:

#### A Corporation or Limited Liability Company

Corporation or Limited Liability Name:	
State of Incorporation or Organization:	

Ву: \_\_\_\_\_

(Signature – Corporate Officer or Limited Liability Member)

Name (typed or printed): \_\_\_\_\_

Title:	
Attest:	

Business Address:

Phone No.:	Fax No.:
E-Mail:	

# A Joint Venture

Joint Venturer Name:	
By:(Signature of joint ventu	re partner)
Name (typed or printed):	
Title:	
Business Address:	
Phone No.: E-Mail:	Fax No.:
By:(Signature of joint ventu	re partner)
Name (typed or printed):	
Title:	
Business Address:	
Phone No.: E-Mail:	Fax No.:

(Each joint venturer must sign. The manner of signing for each individual, partnership, and corporation that **is a** party to the joint venture should be in the manner indicated above.}

# EXPERIENCE RECORD

The following is a synopsis of experience in construction of projects similar in scope and difficulty to the <u>Salt Creek Levee Trail Resurfacing</u>. Lower Platte South NRD, which the Bidder has constructed within the past five years.

NAME OF BIDDER:	
ADDRESS:	
PROJECT NO. 1 - PROJECT NAME:	DATE:
PROJECT LOCATION:	
	PHONE#:
PROJECT CONSULTING ENGINEER:	PHONE#:
PROJECT NO. 2 - PROJECT NAME:	DATE:
PROJECT LOCATION:	
LOCAL PROJECT CONTACT PERSON:	PHONE#:
PROJECT CONSULTING ENGINEER:	PHONE#:
PROJECT NO. 3 - PROJECT NAME:	DATE:
PROJECT LOCATION:	
LOCAL PROJECT CONTACT PERSON:	PHONE#:
PROJECT CONSULTING ENGINEER:	PHONE#:

# MATERIALS AND SUBCONTRACTOR LISTING Material Schedule (SUPPLIER & MANUFACTURER)

1. Crushed Rock Limestone:

2. Crushed Limestone Screenings:

# PROPOSED SUBCONTRACTORS

	SUBCONTRACTORS	WORK TOBE PERFORME		APPROXIMATE DOLLAR AMOUNT
1				
2				
3				
4				
SIGN	IED		_COMPANY	
BY			DATE	
	TYPE NAME	TITLE		

# EQUIPMENT ASSESSMENT CERTIFICATION

# BY REQUIREMENT OF STATE STATUTE 77-1323, THE FOLLOWING INFORMATION MUST BE FURNISHED BY ALL CONTRACTORS AND SUBCONTRACTORS UTILIZING ANY EQUIPMENT ON ANY AND ALL PUBLIC IMPROVEMENT CONTRACTS.

This is to certify that all equipment to be used on Lower Platte South NRD project No. SCL.2022.004, except that acquired since assessment, has been assessed for the current year in

County.	
	(Signature)
Title	
STATE OF	
COUNTY OF	
On the day of	, 20 , before me personally
appeared the above named	and being first duly sworn
stated that the above statement is correct and true.	

Notary Public

## **BID BOND**

BIDDER (Name and Address):

SURETY (Name and Address of Principal Place of Business):
---

Lower Platte South NRD	
3125 Portia Street, PO Box 8358	1
Lincoln, NE 68501-3581	

# <u>BID</u>

BID DUE DATE:	
PROJECT (Brief [	Description Including Location):
<u>Salt Creek L</u>	_evee Trail Resurfacing
Lower Platte	e South NRD
Lincoln NE	

#### BOND

BOND NUMBER:	
DATE (Not later than Bid due date):PENAL SUM:	_
(Words)	(Figures)

IN WITNESS WHEREOF, Surety and Bidder, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Bid Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

BIDDER		SL	SURETY	
		(Seal)		{Seal)
Bidder's Na	ame and Corporate Seal		Su	urety's Name and Corporate Seal
Ву:	Signature and Title		Ву:	Signature and Title (Attach Power of Attorney)
Attest:	Signature and Title	Att	test:	Signature and Title
Note: (1)	Above addresses are t	•	<b>.</b> .	uired notice.

(2) Any singular reference to Bidder, Surety, OWNER or other party shall be considered plural where applicable.

1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to pay to OWNER upon default of Bidder the penal sum set forth on the face of this Bond.

2. Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by OWNER) the executed Agreement required by the Bidding Documents and any performance and payment Bonds required by the Bidding Documents.

3. This obligation shall be null and void if:

3.1. OWNER accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by OWNER) the executed Agreement required by the Bidding Documents and any performance and payment Bonds required by the Bidding Documents, or

3.2. All Bids are rejected by OWNER, or

3.3. OWNER fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by paragraph 5 hereof).

4. Payment under this Bond will be due and payable upon default by Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from OWNER, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due. 5. Surety waives notice of and any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by OWNER and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from Bid due date without Surety's written consent.

6. No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in paragraph 4 above is received by Bidder and Surety and in no case later than one year after Bid due date.

7. Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.

8. Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.

9. Surety shall cause to be attached to this Bond a current and effective Power or Attorney evidencing the authority of the officer, agent or representative who executed this Bond on behalf of Surety to execute, seal and deliver such Bond and bind the Surety thereby.

10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.

11. The term "Bid" as used herein includes a Bid, offer or proposal as applicable.

# **SECTION 00 5000**

# STANDARD FORM OF AGREEMENT BETWEEN OWNER & CONTRACTOR

# EJCDC STANDARD FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR

## THIS AGREEMENT is by and between Lower Platte South NRD

(hereinafter called OWNER) and \_\_\_\_\_

(hereinafter called CONTRACTOR).

OWNER and CONTRACTOR, in consideration of the mutual covenants hereinafter set forth, agree as follows:

# ARTICLE 1 - WORK

1.01 CONTRACTOR shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows:

Removing, recrushing and relaying trail surfacing with new limestone screening on top. Work also includes railing, ditch cleanout and minor grading.

# ARTICLE 2 - THE PROJECT

2.01 The Project for which the Work under the Contract Documents may be the whole or only a part is generally described as follows:

MoPac Trail Repair, Lower Platte South NRD, Lincoln, NE

### **ARTICLE 3 - ENGINEER**

3.01 The Project has been designed by engineering staff at the Lower Platte South NRD who is hereinafter called ENGINEER and who is to act as OWNER's representative, assume all duties and responsibilities, and have the rights and authority assigned to ENGINEER in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents.

## ARTICLE 4 - CONTRACT TIMES

4.01 *Time of the Essence* 

A All time limits for Milestones, if any, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.

#### 4.02 Days to Achieve Completion and Final Payment

A.! Bidder agrees that the start date for the Work will be <u>January 2, 2023</u> and that the! Work will be completed and ready for final payment in accordance with paragraph 14.07.B of the General conditions within <u>88</u> calendar days.

# 4.03 *Liquidated Damages*

A.! CONTRACTOR and OWNER recognize that time is of the essence of this Agreement! and that OWNER will suffer financial loss if the Work is not completed within the times specified in paragraph 4.02 above, plus any extensions thereof allowed in accordance with Article 12 of the General Conditions. The parties also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding, the actual loss suffered by OWNER if the Work is not completed on time. Accordingly, instead of requiring any such proof, OWNER and CONTRACTOR agree that as liquidated damages for delay (but not as a penalty), CONTRACTOR shall pay <u>OWNER \$100</u> for each calendar day that expires after the time specified in paragraph 4.02 for completion and readiness for final payment until the Work is completed and ready for final payment. (Liquidated damages are calculated as per Section 108.08 of the Nebraska Department of Transportation Standards Specifications for Highway Construction 2017).

B. The CONTRACTOR agrees:

1. To pay, according to the formula listed below in this Subsection, liquidated damages for each calendar day beyond the number of calendar days authorized for completion of the contract, and

2. To authorize the ENGINEER to recommend, and OWNER to deduct liquidated damages from any money due or coming due the CONTRACTOR.

C. If no monies are due the CONTRACTOR, the OWNER shall have the right to recover liquidated damages from the CONTRACTOR, from the surety, or from both the CONTRACTOR and the surety.

D. Liquidated damages will not be assessed for any days covered by an approved time extension. Deductions or payment of liquidated damages will not release the CONTRACTOR from further obligations and liabilities to complete the entire contract.

# Liquidated Damages Formula

$$LD = \frac{R \times C}{T}$$

- where: LD = Liquidated damages per calendar day (rounded to the nearest dollar)
  - C = Original contract amount (includes all work completed and unfinished)
  - T = Original number of calendar days specified in the contract
  - R = 0.06 for working day contracts
  - R = 0.12 for calendar day contracts

# ARTICLE 5 - CONTRACT PRICE

5.01 OWNER shall pay CONTRACTOR for completion of the Work in accordance with the Contract Documents an amount in current funds equal to the sum of the amounts determined pursuant to paragraphs 5.01.A below:

A. For all Work, at the prices stated in CONTRACTOR's Bid, attached hereto as an exhibit.

TOTAL OF ALL UNIT PRICES		\$ (dollars)
	(use words)	

As provided in paragraph 11.03 of the General Conditions, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by ENGINEER as provided in paragraph 9.08 of the General Conditions. Unit prices have been computed as provided in paragraph 11.03 of the General Conditions.

## **ARTICLE 6 - PAYMENT PROCEDURES**

### 6.01 Submittal and Processing of Payments

A CONTRACTOR shall submit Applications for Payment in accordance with Article 14 of the General Conditions. Applications for Payment will be processed by ENGINEER as provided in the General Conditions.

## 6.02 Progress Payments; Retainage

A. OWNER shall process progress payments on account of the Contract Price on the basis of CONTRACTOR's Applications for Payment on or about the <u>3<sup>rd</sup> Wednesday</u> of each month during performance of the Work as provided in paragraphs 6.02.A.1 below. All such payments will be measured by the schedule of values established in paragraph 2.07.A of the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no schedule of values, as provided in the General Requirements:

1. Prior to Completion and readiness for final payment, progress payments will be made in an amount equal to the percentage indicated below but, in each case, less the aggregate of payments previously made and less such amounts as ENGINEER may determine or OWNER may withhold, in accordance with paragraph 14.02 of the General Conditions:

a. 90% of Work completed and 90% of cost of materials and equipment not incorporated in the Work (with the balance 10% being retainage). If the Work has been 50% completed as determined by ENGINEER, and if the character and progress of the Work have been satisfactory to OWNER and ENGINEER, OWNER, on recommendation of ENGINEER, may determine that as long as the character and progress of the Work remain satisfactory to them, there will be no additional retainage on account of Work subsequently completed, in which case the remaining progress payments prior to completed less the aggregate of payments previously made.

### 6.03 Final Payment

A. Upon final completion and acceptance of the Work in accordance with paragraph 14.07 of the General Conditions, OWNER shall pay the remainder of the Contract Price as recommended by ENGINEER as provided in said paragraph 14.07.

## ARTICLE 7 - CONTRACTOR'S REPRESENTATIONS

7.01 In order to induce OWNER to enter into this Agreement CONTRACTOR makes the following representations:

A. CONTRACTOR has examined and carefully studied the Contract Documents and the other related data identified in the Bidding Documents.

B. CONTRACTOR has visited the Site and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.

C. CONTRACTOR is familiar with and is satisfied as to all federal, state, and local Laws and Regulations that may affect cost, progress, and performance of the Work.

D. CONTRACTOR has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) which have been identified in the Supplementary Conditions as provided in paragraph 4.02 of the General Conditions and (2) reports and drawings of a Hazardous Environmental Condition, if any, at the Site which has been identified in the Supplementary Conditions as provided in paragraph 4.06 of the General Conditions.

E. CONTRACTOR has obtained and carefully studied, or assumes responsibility for having done so, all additional or supplementary examinations, investigations, explorations, tests, studies, and data concerning conditions (surface, subsurface, and Underground Facilities) at or contiguous to the Site which may affect cost, progress, or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences, and procedures of construction to be employed by CONTRACTOR, including applying the specific means, methods, techniques, sequences, and procedures of construction, if any, expressly required by the Contract Documents to be employed by CONTRACTOR, and safety precautions and programs incident thereto

F. CONTRACTOR does not consider that any further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of .the Contract Documents.

G. CONTRACTOR is aware of the general nature of work to be performed by OWNER and others at the Site that relates to the Work as indicated in the Contract Documents.

H. CONTRACTOR has correlated the information known to CONTRACTOR, information and observations obtained from visits to the Site, reports and drawings identified in the Contract Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Contract Documents.

I. CONTRACTOR has given ENGINEER written notice of all conflicts, errors, ambiguities, or discrepancies that CONTRACTOR has discovered in the Contract Documents, and the written resolution thereof by ENGINEER is acceptable to CONTRACTOR.

J. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.

## **ARTICLE 8 - CONTRACT DOCUMENTS**

- 8.01 Contents
  - A. The Contract Documents consist of the following:
    - 1. This Agreement;
    - 2. Performance Bond;
    - 3. Payment Bond;
    - 4. Other Bonds/Insurance Certificates;
    - 5. General Conditions;
    - 6. Supplementary Conditions;
    - 7. Specifications as listed in the table of contents of the Project Manual;

8. Drawings consisting of a cover sheet and sheets numbered \_\_through\_\_, inclusive, with each sheet bearing the following general title: \_\_\_\_\_,

- 9. Addenda (numbers \_\_\_\_\_ to \_\_\_\_, inclusive);
- 10. Exhibits to this Agreement (enumerated as follows):
  - a. Notice to Proceed;
  - b. CONTRACTOR's Bid;
  - c. Documentation submitted by CONTRACTOR prior to Notice of Award;

11. The following which may be delivered or issued on or after the Effective Date of the Agreement and are not attached hereto:

- a. Written Amendments;
- b. Work Change Directives;
- c. Change Order(s).

B. The documents listed in paragraph 8.01.A are attached to this Agreement (except as expressly noted otherwise above).

C. There are no Contract Documents other than those listed above in this Article 8.

D. The Contract Documents may only be amended, modified, or supplemented as provided in paragraph 3.04 of the General Conditions.

### **ARTICLE 9 - MISCELLANEOUS**

#### 9.01 *Terms*

A. Terms used in this Agreement will have the meanings indicated in the General Conditions.

#### 9.02 Assignment of Contract

A. No assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, moneys that may become due and moneys that are due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law}, and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

#### 9.03 Successors and Assigns

A. OWNER and CONTRACTOR each binds itself, its partners, successors, assigns, and legal representatives to the other party hereto, its partners, successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

#### 9.04 Severability

A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon OWNER and CONTRACTOR, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

#### 9.05 Other Provisions

A. If OWNER is a public entity in the State of Nebraska, then CONTRACTOR shall register with and use the E-Verify Program, or an equivalent federal program designated by the United States Department of Homeland Security or other federal agency authorized to verify the work eligibility status of a newly hired employee pursuant to the Immigration Reform and Control Act of 1986, to determine the work eligibility status of new employees physically performing services within the State of Nebraska. CONTRACTOR shall require the same of each subcontractor.

IN WITNESS WHEREOF, OWNER and CONTRACTOR have signed this Agreement in triplicate. One counterpart each has been delivered to OWNER and CONTRACTOR. All portions of the Contract Documents have been signed or identified by OWNER and CONTRACTOR or on their behalf.

This Agreement will be effective on the Agreement).	(which is the Effective Date of
OWNER:	CONTRACTOR:
By:	
Attest	Attest
Address for giving notices:	Address for giving notices:
	License No (Where applicable) Agent for service of process:
Designated Representative:	Designated Representative:
Name:	Name:
Title:	Title:
Address:	Address:
 Phone:	Phone:
Facsimile:	
E-Mail:	

## SECTION 00 5100 - NOTICE OF AWARD

Dated	
TO	
TO:(BIDDER)	
ADDRESS:	
Project: Salt Creek Levee Trail Resurfacing, Lower Platte South NRD. Lincoln. NE	
You are notified that your Bid datedfor the above Project hat considered. You are the apparent Successful Bidder and have been awarded a Contra	is been ct for
(Indicate total Work, alternates or sections or Work awarded)	
The Contract Price of your Contract is	
The Contract Price of your Contract isDollars(\$),	
<u>3</u> copies of each of the proposed Agreement (except Drawings) accompany this Maward. You must comply with the following conditions precedent within 15 days of the date yreceive this Notice of Award.	
1. Deliver to the OWNER <u>3</u> fully executed counterparts of the Contract Docume	nts.
2. Deliver with the executed Contract Documents, Bonds and Certificates of Insura required by Contract Documents.	ance as
3. (List other conditions precedent).	
Failure to comply with these conditions within the time specified will entitle OWNER to co	onsider

Failure to comply with these conditions within the time specified will entitle OWNER to conside your Bid in default, to annul this Notice of Award and to declare your Bid security forfeited.

Within ten days after you comply with the above conditions, OWNER will return to you one fully executed counterpart of the Contract Documents.

LOWER PLATTE SOUTH NRD	1
(OWNER)	

By: \_

(AUTHORIZED SIGNATURE)

Paul Zillig, General Manager (TITLE)

Acknowledgement of Receipt

CONTRACTOR

By\_\_\_\_\_

Name

Title

Date\_\_\_\_\_

## SECTION 00 5500 - NOTICE TO PROCEED

	Dated
TO:	DR)
ADDRESS:	
Project: <u>Salt Creek Leve</u>	e Trail Resurfacing, Lower Platte South NRD, Lincoln, NE
You are notified that the Co	ontract Times under the above contract will commence to run on or
before By th	nat date, you are to start performing your obligations under the
Contract Documents. In accor	dance with Article 4 of the Agreement the date of Completion and
readiness for final payment is	S
Before you may start any W	Vork at the Site, you must (add other requirements)
	LOWER PLATTE SOUTH NRD
	(OWNER)
By:	(AUTHORIZED SIGNATURE)
	(AUTHORIZED SIGNATURE)
	Paul Zillig, General Manager (TITLE)
Acknowledgement of Receipt	
CONTRACTOR	
Ву	

Date\_\_\_\_\_

## **DIVISION 00 6100**

## BONDS AND CERTIFICATES

The following Bonds and Certificates are required for this project.

- 1. Performance Bond per Paragraph 5.01 of the General Conditions.
- 2. Payment Bond per Paragraph 5.01 of the General Conditions.
- 3. Certificates of Insurance per Paragraphs 2.05 and 5.03 of the General conditions and any modifications to General Conditions.

END OF SECTION

## PERFORMANCE BOND

Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

CONTRACTOR (Name and Address):

SURETY (Name, and Address of Principal Place of Business):

OWNER (Name and Address): Lower Platte South NRD 3125 Portia Street, PO Box 83581 Lincoln, NE 68501-3581 CONTRACT Effective Date of Agreement: Amount: Description (Name and Location): Salt Creek Levee Trail Resurfacing Lower Platte South NRD, Lincoln, NE

#### BOND

Bond Number: Date (*Not earlier than Effective Date of Agreement*): Amount: Modifications to this Bond Form:

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Performance Bond to be duly executed by an authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

SURETY

		(Seal)			(Seal)
Contra	actor's Name and Corporate Seal		Suret	y's Name and Corporate Seal	
By:		Ву	<b>'</b> :		
	Signature			Signature (Attach Power of Attorney)	
	Print Name			Print Name	
	Title			Title	
Attest:		Att	est:		
	Signature			Signature	
			-		
	Title			Title	

Note: Provide execution by additional parties, such as joint venturers, if necessary.

1. The CONTRACTOR and the Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the Owner for the performance of the Contract, which is incorporated herein by reference.

2. If the CONTRACTOR performs the Contract, the Surety and the CONTRACTOR have no obligation under this Bond, except to participate in conferences as provided in paragraph 3.1.

3. If there is no OWNER Default, the Surety's obligation under this Bond shall arise after:

- 3.1 The OWNER has notified the CONTRACTOR and the Surety at the addresses described in paragraph 10 below, that the OWNER is considering declaring a CONTRACTOR Default and has requested and attempted to arrange a conference with the CONTRACTOR and the Surety to be held not later than fifteen days after receipt of such notice to discuss methods of performing the Contract. If the OWNER, the CONTRACTOR and the Surety agree, the CONTRACTOR shall be allowed a reasonable time to perform the Contract, but such an agreement shall not waive the OWNER's right, if any, subsequently to declare a CONTRACTOR Default; and
- 3.2 The OWNER has declared a CONTRACTOR Default and formally terminated the CONTRACTOR'S right to complete the Contract. Such CONTRACTOR Default shall not be declared earlier than twenty days after the CONTRACTOR and the Surety have received notice as provided in paragraph 3.1; and
- 3.3 The OWNER has agreed to pay the Balance of the Contract Price to:
  - 3.3.1 The Surety in accordance with the terms of the Contract;
  - 3.3.2 Another contractor selected pursuant to paragraph 4.3 to perform the Contract.

4. When the OWNER has satisfied the conditions of paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

- 4.1 Arrange for the CONTRACTOR, with consent of the OWNER, to perform and complete the Contract; or
- 4.2 Undertake to perform and complete the Contract itself, through its agents or through independent contractors; or
- 4.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the OWNER for a contract for performance and completion of the Contract, arrange for a contract to be prepared for execution by the OWNER and the contractor selected with the OWNER's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the Bonds issued on the Contract, and pay to the OWNER the amount of damages as described in paragraph 6 in excess of the Balance of the Contract Price incurred by the OWNER resulting from the CONTRACTOR Default; or
- 4.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor and with reasonable promptness under the circumstances;
  - 4.4.1 After investigation, determine the amount for which it may be liable to the OWNER and, as soon as practicable after the amount is determined, tender payment therefor to the OWNER; or
  - 4.4.2 Deny liability in whole or in part and notify the OWNER citing reasons therefor.

5. If the Surety does not proceed as provided in paragraph 4 with reasonable promptness, the Surety shall be deemed to be in default on this Bond fifteen days after receipt of an additional written notice from the OWNER to the Surety demanding that the Surety perform its obligations under this Bond, and the OWNER shall be entitled to enforce any remedy available to the OWNER. If the Surety proceeds as provided in paragraph 4.4, and the OWNER refuses the payment tendered or the Surety has denied liability, In whole or In part, without further notice the OWNER shall be entitled to enforce any remedy available to the offer any remedy available to the OWNER.

FOR INFORMATION ONLY - (Name, Address, and Telephone) Surety Agency or Broker: Owner's Representative (Engineer or other):

6. After the OWNER has terminated the CONTRACTOR'S right to complete the Contract, and if the Surety elects to act under paragraph 4.1, 4.2, or 4.3 above, then the responsibilities of the Surety to the OWNER shall not be greater than those of the CONTRACTOR under the Contract, and the responsibilities of the OWNER to the Surety shall not be greater than those of the OWNER to the Surety shall not be greater than those of the OWNER to the Surety shall not be greater than those of the OWNER to the Surety shall not be greater than those of the OWNER to the Surety shall not be greater than those of the OWNER to the Surety shall not be greater than those of the OWNER to the Surety shall not be greater than those of the OWNER of the Balance of the Contract Price to mitigation of costs and damages on the Contract, the Surety is obligated without duplication for:

- 6.1 The responsibilities of the CONTRACTOR for correction of defective Work and completion of the Contract;
- 6.2 Additional legal, design professional and delay costs resulting from the CONTRACTOR's Default, and resulting from the actions or failure to act of the Surety under paragraph 4; and
- 6.3 Liquidated damages, or if no liquidated damages are specified in the Contract, actual damages caused by delayed performance or non-performance of the CONTRACTOR.

7. The Surety shall not be liable to the OWNER or others for obligations of the CONTRACTOR that are unrelated to the Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the OWNER or its heirs, executors, administrators, or successors.

8. The Surety hereby **waives** notice of any change, including changes of time, to the Contract or to related subcontracts, purchase orders and other obligations.

9. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the Work or part of the Work is located and shall be instituted within two years after CONTRACTOR Default or within two years after the CONTRACTOR ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation **available** to sureties as a defense in the jurisdiction of the suit shall be applicable.

10. Notice to the Surety, the OWNER or the CONTRACTOR shall be mailed or delivered to the address shown on the signature page.

11. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted here from and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

- 12. Definitions.
  - 12.1 Balance of the Contract Price: The total amount payable by the OWNER to the CONTRACTOR under the Contract after all proper adjustments have been made, including allowance to the CONTRACTOR of any amounts received or to be received by the OWNER in settlement of insurance or other Claims for damages to which the CONTRACTOR is entitled, reduced by all valid and proper payments made to or on behalf of the CONTRACTOR under the Contract.
  - 12.2 Contract: The agreement between the OWNER and the CONTRACTOR identified on the signature page, including all Contract Documents and changes thereto.
  - 12.3 CONTRACTOR Default: Failure of the CONTRACTOR, which has neither been remedied nor **waived**, to perform or otherwise to comply with the terms of the Contract.
  - 12.4 OWNER Default: Failure of the OWNER, which has neither been remedied nor waived, to pay the CONTRACTOR as required by the Contract or to perform and complete or comply with the other terms thereof.

## **PAYMENT BOND**

Any singular reference to CONTRACTOR, Surety, OWNER, or other party shall be considered plural where applicable.

CONTRACTOR (Name and Address):

SURETY (*Name, and Address of Principal Place of Business*):

OWNER (Name and Address): Lower Platte South NRD 3125 Portia Street, PO Box 83581 Lincoln, NE 68501-3581 CONTRACT Effective Date of Agreement: Amount: Description (Name and Location): Salt Creek Levee Trail Resurfacing Lower Platte South NRD, Lincoln, NE

BOND

Bond Number: Date (Not earlier than Effective Date of Agreement): Amount: Modifications to this Bond Form:

Surety and CONTRACTOR, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Payment Bond to be duly executed by an authorized officer, agent, or representative.

SURETY

	(Seal)			(Seal)
CONTRACTOR's Name and Co	orporate Seal	Sure	ety's Name and Corporate Seal	
By:	I	By:		
Signature			Signature (Attach Power of Attorney	<sup>,</sup> )
Print Name		-	Print Name	
Title		-	Title	
Attest:		Attest:		
Signature			Signature	
Title		-	Title	

Note: Provide execution by additional parties, such as joint venturers, if necessary.

1. CONTRACTOR and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to OWNER to pay for labor, materials, and equipment furnished by Claimants for use in the performance of the Contract, which is incorporated herein by reference.

2. With respect to OWNER, this obligation shall be null and void if CONTRACTOR:

- 2.1 Promptly makes payment, directly or indirectly, for all sums due Claimants, and
- 2.2 Defends, indemnifies, and holds harmless OWNER from all claims, demands, liens, or suits alleging non-payment by CONTRACTOR by any person or entity who furnished labor, materials, or equipment for use in the performance of the Contract, provided OWNER has promptly notified CONTRACTOR and Surety (at the addresses described in Paragraph 12) of any claims, demands, liens, or suits and tendered defense of such claims, demands, liens, or suits to CONTRACTOR and Surety, and provided there is no OWNER Default.

3. With respect to Claimants, this obligation shall be null and void if CONTRACTOR promptly makes payment, directly or indirectly, for all sums due.

- 4. Surety shall have no obligation to Claimants under this Bond until:
  - 4.1 Claimants who are employed by or have a direct contract with CONTRACTOR have given notice to Surety (at the address described in Paragraph 12) and sent a copy, or notice thereof, to OWNER, stating that a claim is being made under this Bond and, with substantial accuracy, the amount of the claim.
  - 4.2 Claimants who do not have a direct contract with CONTRACTOR:
    - 4.2.1 Have furnished written notice to CONTRACTOR and sent a copy, or notice thereof, to OWNER, within 90 days after having last performed labor or last furnished materials or equipment included in the claim stating, with substantial accuracy, the amount of the claim and the name of the party to whom the materials or equipment were furnished or supplied, or for whom the labor was done or performed; and
    - 4.2.2 Have either received a rejection in whole or In part from CONTRACTOR, or not received within 30 days of furnishing the above notice any communication from CONTRACTOR by which CONTRACTOR had indicated the claim will be paid directly or indirectly; and
    - 4.2.3 Not having been paid within the above 30 days, have sent a written notice to Surety (at the address described in Paragraph 12) and sent a copy, or notice thereof, to OWNER, stating that a claim is being made under this Bond and enclosing a copy of the previous written notice furnished to CONTRACTOR.

5. If a notice by a Claimant required by Paragraph 4 is provided by OWNER to CONTRACTOR or to Surety, that is sufficient compliance.

6. Reserved.

7. Surety's total obligation shall not exceed the amount of this Bond, and the amount of this Bond shall be credited for any payments made in good faith by Surety.

8. Amounts owed by OWNER to CONTRACTOR under the Contract shall be used for the performance of the Contract and to satisfy claims, if *any*, under *any* performance bond. By CONTRACTOR furnishing and

OWNER accepting this Bond, they agree that all funds earned by CONTRACTOR In the performance of the Contract are dedicated to satisfy obligations of CONTRACTOR and Surety under this Bond, subject to OWNER's priority to use the funds for the completion of the Work.

9. Surety shall not be liable to OWNER, Claimants, or others for obligations of CONTRACTOR that are unrelated to the Contract. OWNER shall not be liable for payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligations to make payments to, give notices on behalf of, or otherwise have obligations to Claimants under this Bond.

10. Surety hereby **waives** notice of any change, including changes of time, to the Contract or to related subcontracts, purchase orders, and other obligations.

11. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the location in which the Work or part of the Work is located or after the expiration of one year from the date (1) on which the Claimant gave the notice required by Paragraph 4.1 or Paragraph 4.2.3, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to Surety, OWNER, or CONTRACTOR shall be mailed or delivered to the addresses shown on the signature page. Actual receipt of notice by Surety, OWNER, or CONTRACTOR, however accomplished, shall be sufficient compliance as of the date received at the address shown on the signature page.

13. When this Bond has been furnished to comply with a statutory requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory Bond and not as a common law bond.

14. Upon request of any person or entity appearing to be a potential beneficiary of this Bond, CONTRACTOR shall promptly furnish a copy of this Bond or shall permit a copy to be made.

- 15. Definitions
  - 15.1 Claimant: An individual or entity having a direct contract with CONTRACTOR, or with a first-tier sub-CONTRACTOR of CONTRACTOR, to furnish labor, materials, or equipment for use in the performance of the Contract. The intent of this Bond shall be to include without limitation in the terms ."labor, materials or equipment that part of water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Contract, architectural and engineering services required for performance of the Work of CONTRACTOR and CONTRACTOR's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.
  - 15.2 Contract: The agreement between OWNER and CONTRACTOR identified on the signature page, including all Contract Documents and changes thereto.
  - 15.3 OWNER Default: Failure of OWNER, which has neither been remedied nor waived, to pay CONTRACTOR as required by the Contract, or to perforin and complete or otherwise comply with the other terms thereof.

FOR INFORMATION ONLY-(Name, *Address, and Telephone*) Surety Agency or Broker: <u>OWNER's Representative (*Engineer or* other)</u>:

## **SECTION 00 7200**

## **GENERAL CONDITIONS**

This document has important legal consequences; consultation with an attorney is encouraged with respect to its use or modification. This document should be adapted to the particular circumstances of the contemplated Project and the Controlling Law.

# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

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#### **GENERAL CONDITIONS**

#### ARTICLE 1 - DEFINITIONS AND TERMINOLOGY

- 1.01 *Defined Terms:* 
  - A. Wherever used in the Contract Documents and printed with initial or all capital letters, the terms listed below will have the meanings indicated which are applicable to both the singular and plural thereof.
    - 1. *Addenda-Written* or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the Contract Documents.
    - 2. *Agreement-The* written instrument which is evidence of the agreement between OWNER and CONTRACTOR covering the Work.
    - Application for Payment-The form acceptable to ENGINEER which is to be used by CONTRACTOR during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
    - 4. *Asbestos-Any* material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by the United States Occupational Safety and Health Administration.
    - 5. *Bid-The* offer or proposal of a bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
    - 6. *Bidding Documents-The* Bidding Requirements and the proposed Contract Documents (including all Addenda issued prior to receipt of Bids).
    - 7. *Bidding Requirements-The* Advertisement or Invitation to Bid, Instructions to Bidders, Bid security form, if any, and the Bid form with any supplements.
    - 8. Bonds-Performance and payment bonds and other instruments of security.
    - 9. Change Order-A document recommended by ENGINEER which is signed by CONTRACTOR and OWNER and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, issued on or after the Effective Date of the Agreement.
    - 10. *Claim-A* demand or assertion by OWNER or CONTRACTOR seeking an adjustment of Contract Price or Contract Times, or both, or other relief with respect to the terms of the Contract. A demand for money or services by a third party is not a Claim.
    - 11. *Contract*-The entire and integrated written agreement between the OWNER and CONTRACTOR concerning the Work. The Contract supersedes prior negotiations, representations, or agreements, whether written or oral.
    - 12. Contract Documents-The Contract Documents establish the rights and obligations of the parties and include the Agreement, Addenda (which pertain to the Contract Documents), CONTRACTOR's Bid (including documentation accompanying the Bid and any post Bid documentation submitted prior to the Notice of Award) when attached as an exhibit to the Agreement, the Notice to Proceed, the Bonds, these General Conditions, the Supplementary Conditions, the Specifications and the Drawings as the same are more specifically identified in the Agreement, together with all Written Amendments, Change Orders, Work Change Directives, Field Orders, and ENGINEER's written interpretations and clarifications issued on or after the Effective Date of the Agreement. Approved Shop Drawings and the reports and drawings of subsurface and physical conditions are not Contract

Documents. Only printed or hard copies of the items listed in this paragraph are Contract Documents. Files in electronic media format of text, data, graphics, and the like that may be furnished by OWNER to CONTRACTOR are not Contract Documents.

- 13. *Contract Price-The* moneys payable by OWNER to CONTRACTOR for completion of the Work in accordance with the Contract Documents as stated in the Agreement (subject to the provisions of paragraph 11.03 in the case of Unit Price Work).
- 14. *Contract Times-The* number of days or the dates stated in the Agreement to:
  - a. achieve Substantial Completion; and
  - b. complete the Work so that it is ready for final payment as evidenced by ENGINEER's written recommendation of final payment.
- 15. *CONTRACTOR* The individual or entity with whom OWNER has entered into the Agreement.
- 16. *Cost of the Work-See* paragraph 11.01.A for definition.
- 17. *Drawings*-That part of the Contract Documents prepared or approved by ENGINEER which graphically shows the scope, extent, and character of the Work to be performed by CONTRACTOR. Shop Drawings and other CONTRACTOR submittals are not Drawings as so defined.
- 18. *Effective Date of the Agreement*-The date indicated in the Agreement on which it becomes effective, but if no such date is indicated, it means the date on which the Agreement is signed and delivered by the last of the two parties to sign and deliver.
- 19. *ENGINEER*-The individual or entity named as such in the Agreement.
- 20. *ENGINEER's Consultant-An* individual or entity having a contract with ENGINEER to furnish services as ENGINEER's independent professional associate or consultant with respect to the Project and who is identified as such in the Supplementary Conditions.
- 21. *Field Order-A* written order issued by ENGINEER which requires minor changes in the Work but which does not involve a change in the Contract Price or the Contract Times.
- 22. *General Requirements-Sections* of Division 1 of the Specifications. The General Requirements pertain to all sections of the Specifications.
- 23. *Hazardous Environmental Condition*-The presence at the Site of Asbestos, PCBs, Petroleum, Hazardous Waste, or Radioactive Material in such quantities or circumstances that may present a substantial danger to persons or property exposed thereto in connection with the Work.
- 24. *Hazardous Waste*-The term Hazardous Waste shall have the meaning provided in Section 1004 of the Solid Waste Disposal Act (42 USC Section 6903) as amended from time to time.
- 25. *Laws and Regulations; Laws or Regulations-Any* and all applicable laws, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
- 26. Liens-Charges, security interests, or encumbrances upon Project funds, real property, or personal property.
- 27. *Milestone-A* principal event specified in the Contract Documents relating to an intermediate completion date or time prior to Substantial Completion of all the Work.

- 28. *Notice of Award-The* written notice by OWNER to the apparent successful bidder stating that upon timely compliance by the apparent successful bidder with the conditions precedent listed therein, OWNER will sign and deliver the Agreement.
- 29. *Notice* to *Proceed-A* written notice given by OWNER to CONTRACTOR fixing the date on which the Contract Times will commence to run and on which CONTRACTOR shall start to perform the Work under the Contract Documents.
- 30. *OWNER-The* individual, entity, public body, or authority with whom CONTRACTOR has entered into the Agreement and for whom the Work is to be performed.
- 31. *Partial Utilization-Use* by OWNER of a substantially completed part of the Work for the purpose for which it is intended (or a related purpose) prior to Substantial Completion of all the Work.
- 32. PCBs-Polychlorinated biphenyls.
- 33. Petroleum-Petroleum, including crude oil or any fraction thereof which is liquid at standard conditions of temperature and pressure (60 degrees Fahrenheit and 14.7 pounds per square inch absolute), such as oil, petroleum, fuel oil, oil sludge, oil refuse, gasoline, kerosene, and oil mixed with other non-Hazardous Waste and crude oils.
- 34. *Project-The* total construction of which the Work to be performed under the Contract Documents may be the whole, or a part as may be indicated elsewhere in the Contract Documents.
- 35. *Project Manual-The* bound documentary information prepared for bidding and constructing the Work. A listing of the contents of the Project Manual, which may be bound in one or more volumes, is contained in the table(s) of contents.
- 36. *Radioactive Material-Source,* special nuclear, or byproduct material as defined by the Atomic Energy Act of 1954 (42 USC Section 2011 et seq.) as amended from time to time.
- 37. *Resident Project Representative-The* authorized representative of ENGINEER who may be assigned to the Site or any part thereof.
- 38. Samples-Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and which establish the standards by which such portion of the Work will be judged.
- 39. *Shop Drawings-All* drawings, diagrams, illustrations, schedules, and other data or information which are specifically prepared or assembled by or for CONTRACTOR and submitted by CONTRACTOR to illustrate some portion of the Work.
- 40. Site-Lands or areas indicated in the Contract Documents as being furnished by OWNER upon which the Work is to be performed, including rights-of-way and easements for access thereto, and such other lands furnished by OWNER which are designated for the use of CONTRACTOR.
- 41. *Specifications-That* part of the Contract Documents consisting of written technical descriptions of materials, equipment, systems, standards, and workmanship as applied to the Work and certain administrative details applicable thereto.
- 42. *Subcontractor-An* individual or entity having a direct contract with CONTRACTOR or with any other Subcontractor for the performance of a part of the Work at the Site.
- 43. Substantial Completion-The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of ENGINEER, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the

purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Work refer to Substantial Completion thereof.

- 44. *Supplementary Conditions-That* part of the Contract Documents which amends or supplements these General Conditions.
- 45. *Supplier-A* manufacturer, fabricator, supplier, distributor, material man, or vendor having a direct contract with CONTRACTOR or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by CONTRACTOR or any Subcontractor.
- 46. Underground Facilities-All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
- 47. Unit Price Work-Work to be paid for on the basis of unit prices.
- 48. *Work*-The entire completed construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction, and furnishing, installing, and incorporating all materials and equipment into such construction, all as required by the Contract Documents.
- 49. Work Change Directive-A written statement to CONTRACTOR issued on or after the Effective Date of the Agreement and signed by OWNER and recommended by ENGINEER ordering an addition, deletion, or revision in the Work, or responding to differing or unforeseen subsurface or physical conditions under which the Work is to be performed or to emergencies. A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the change ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order following negotiations by the parties as to its effect, if any, on the Contract Price or Contract Times.
- 50. *Written Amendment-A* written statement modifying the Contract Documents, signed by OWNER and CONTRACTOR on or after the Effective Date of the Agreement and normally dealing with the non-engineering or non-technical rather than strictly construction-related aspects of the Contract Documents.

#### 1.02 *Terminology:*

- A. Intent of Certain Terms or Adjectives:
  - 1. Whenever in the Contract Documents the terms "as allowed," "as approved," or terms of like effect or import are used, or the adjectives "reasonable," "suitable," "acceptable," "proper," "satisfactory," or adjectives of like effect or import are used to describe an action or determination of ENGINEER as to the Work, it is intended that such action or determination will be solely to evaluate, in general, the completed Work for compliance with the requirements of and information in the Contract Documents and conformance with the design concept of the completed Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective shall not be effective to assign to ENGINEER *any* duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 9.10 or any other provision of the Contract Documents.

- B. Day:
  - 1. The word "day" shall constitute a calendar day of 24 hours measured from midnight to the next midnight.
- C. Defective:
  - 1. The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it does not conform to the Contract Documents or does not meet the requirements of any inspection, reference standard, test, or approval referred to in the Contract Documents, or has been damaged prior to ENGINEER's recommendation of final payment (unless responsibility for the protection thereof has been assumed by OWNER at Substantial Completion in accordance with paragraph 14.04 or 14.05).
- D. Furnish, Install, Perform, Provide:
  - 1. The word "furnish," when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
  - 2. The word "install," when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
  - 3. The words "perform" or "provide," when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
  - 4. When "furnish," "install," "perform," or "provide" is not used in connection with services, materials, or equipment in a context clearly requiring an obligation of CONTRACTOR, "provide" is implied.
- E. Unless stated otherwise in the Contract Documents, words or phrases which have a wellknown technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

#### ARTICLE 2 - PRELIMINARY MATTERS

- 2.01 Delivery of Bonds:
  - A. When CONTRACTOR delivers the executed Agreements to OWNER, CONTRACTOR shall also deliver to OWNER such Bonds as CONTRACTOR may be required to furnish.
- 2.02 Copies of Documents:
  - A. OWNER shall furnish to CONTRACTOR up to ten copies of the Contract Documents. Additional copies will be furnished upon request at the cost of reproduction.
- 2.03 Commencement of Contract Times; Notice to Proceed:
  - A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Agreement or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Agreement. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Agreement, whichever date is earlier.

- 2.04 Starting the Work:
  - A CONTRACTOR shall start to perform the Work on the date when the .Contract Times commence to run. No Work shall be done at the Site prior to the date on which the Contract Times commence to run.
- 2.05 Before Starting Construction:
  - A. CONTRACTOR's Review of Contract Documents: Before undertaking each part of the Work, CONTRACTOR shall carefully study and compare the Contract Documents and check and verify pertinent figures therein and all applicable field measurements. CONTRACTOR shall promptly report in writing to ENGINEER any conflict, error, ambiguity, or discrepancy which CONTRACTOR may discover and shall obtain a written interpretation or clarification from ENGINEER before proceeding with any Work affected thereby; however, CONTRACTOR shall not be liable to OWNER or ENGINEER for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless CONTRACTOR knew or reasonably should have known thereof.
  - B. *Preliminary Schedules:* Within ten days after the Effective Date of the Agreement (unless otherwise specified in the General Requirements), CONTRACTOR shall submit to ENGINEER for its timely review:
    - 1. a preliminary progress schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract Documents;
    - 2. a preliminary schedule of Shop Drawing and Sample submittals which will list each required submittal and the times for submitting, reviewing, and processing such submittal; and
    - 3. a preliminary schedule of values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.
  - C. Evidence of Insurance: Before any Work at the Site is started, CONTRACTOR and OWNER shall each deliver to the other, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance which either of them or any additional insured may reasonably request) which CONTRACTOR and OWNER respectively are required to purchase and maintain in accordance with Article 5.
- 2.06 *Preconstruction Conference:* 
  - A Within 20 days after the Contract Times start to run, but before any Work at the Site is started, a conference attended by CONTRACTOR, ENGINEER, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in paragraph 2.05.B, procedures for handling Shop Drawings and other submittals, processing Applications for Payment, and maintaining required records.
- 2.07 Initial Acceptance of Schedules:
  - A. Unless otherwise provided in the Contract Documents, at least ten days before submission of the first Application for Payment a conference attended by CONTRACTOR, ENGINEER, and others as appropriate will be held to review for acceptability to ENGINEER as provided below the schedules submitted in accordance with paragraph 2.05.B. CONTRACTOR shall have an additional ten days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to CONTRACTOR until acceptable schedules are submitted to ENGINEER.

- 1. The progress schedule will be acceptable to ENGINEER if it provides an orderly progression of the Work to completion within any specified Milestones and the Contract Times. Such acceptance will not impose on ENGINEER responsibility for the progress schedule, for sequencing, scheduling, or progress of the Work nor interfere with or relieve CONTRACTOR from CONTRACTOR's full responsibility therefore.
- 2. CONTRACTOR's schedule of Shop Drawing and Sample submittals will be acceptable to ENGINEER if it provides a workable arrangement for reviewing and processing the required submittals.
- 3. CONTRACTOR's schedule of values will be acceptable to ENGINEER as to form and substance if it provides a reasonable allocation of the Contract Price to component parts of the Work.

### ARTICLE 3 - CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

- 3.01 *Intent:* 
  - A. The Contract Documents are complementary; what is called for by one is as binding as if called for by all.
  - B. It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents. Any labor, documentation, services, materials, or equipment that may reasonably be inferred from the Contract Documents or from prevailing custom or trade usage as being required to produce the intended result will be provided whether or not specifically called for at no additional cost to OWNER.
  - C. Clarifications and interpretations of the Contract Documents shall be issued by ENGINEER as provided in Article 9.
- 3.02 *Reference Standards:* 
  - A. Standards, Specifications, Codes, Laws, and Regulations:
    - A. Reference to standards, specifications, manuals, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard, specification, manual, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Agreement if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
    - B. No provision of any such standard, specification, manual or code, or any instruction of a Supplier shall be effective to change the duties or responsibilities of OWNER, CONTRACTOR, or ENGINEER, or any of their subcontractors, consultants, agents, or employees from those set forth in the Contract Documents, nor shall any such provision or instruction be effective to assign to OWNER, ENGINEER, or any of ENGINEER's Consultants, agents, or employees any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the Contract Documents.
- 3.03 Reporting and Resolving Discrepancies:
  - A. Reporting Discrepancies:
    - 1. If, during the performance of the Work, CONTRACTOR discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents or between the Contract Documents and any provision of any Law or Regulation applicable to the performance of the Work or of any standard, specification, manual or code, or of any instruction of any Supplier, CONTRACTOR shall report it to ENGINEER in

writing at once. CONTRACTOR shall not proceed with the Work affected thereby (except in an emergency as required by paragraph 6.16.A) until an amendment or supplement to the Contract Documents has been issued by one of the methods indicated in paragraph 3.04; provided, however, that CONTRACTOR shall not be liable to OWNER or ENGINEER for failure to report any such conflict, error, ambiguity, or discrepancy unless CONTRACTOR knew or reasonably should have known thereof.

- B. Resolving Discrepancies:
  - 1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the Contract Documents shall take precedence in resolving any conflict, error, ambiguity, or. discrepancy between the provisions of the Contract Documents and:
    - a. the provisions of any standard, specification, manual, code, or instruction (whether or not specifically incorporated by reference in the Contract Documents); or
    - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).
- 3.04 Amending and Supplementing Contract Documents:
  - A. The Contract Documents may be amended to provide for additions, deletions, and revisions in the Work or to modify the terms and conditions thereof in one or more of the following ways:
    - 1. a Written Amendment;
    - 2. a Change Order; or
    - 3. a Work Change Directive.
  - B. The requirements of the Contract Documents may be supplemented, and minor variations and deviations in the Work may be authorized, by one or more of the following ways:
    - 1. a Field Order;
    - 2. ENGINEER's approval of a Shop Drawing or Sample;
    - 3. ENGINEER's written interpretation or clarification.
- 3.05 *Reuse of Documents:* 
  - A. CONTRACTOR and any Subcontractor or Supplier or other individual or entity performing or furnishing any of the Work under a direct or indirect contract with OWNER:
    - 1. shall not have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of ENGINEER or ENGINEER's Consultant, including electronic media editions;
    - 2. shall not reuse any of such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of OWNER and ENGINEER and specific written ·verification or adaption by ENGINEER. This prohibition will survive final payment, completion, and acceptance of the Work, or termination or completion of the Contract. Nothing herein shall preclude CONTRACTOR from retaining copies of the Contract Documents for record purposes.

#### ARTICLE 4-AVAILABILITY OF LAND: SUBSURFACE AND PHYSICAL CONDITIONS; REFERENCE POINTS

- 4.01 Availability of Lands:
  - A. OWNER shall furnish the Site. OWNER shall notify CONTRACTOR of any encumbrances or restrictions not of general application but specifically related to use of the Site with which CONTRACTOR must comply in performing the Work. OWNER will obtain in a timely manner and pay for easements for permanent structures or permanent changes in existing facilities. If CONTRACTOR and OWNER are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, as a result of any delay in OWNER's furnishing the Site, CONTRACTOR may make a Claim therefore as provided in paragraph 10.05.
  - B. Upon reasonable written request, OWNER shall furnish CONTRACTOR with a current statement of record legal title and legal description of the lands upon which the Work is to be performed and OWNER's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
  - C. CONTRACTOR shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.
- 4.02 Subsurface and Physical Conditions:
  - A. Reports and Drawings: The Supplementary Conditions identify:
    - 1. those reports of explorations and tests of subsurface conditions at or contiguous to the Site that ENGINEER has used in preparing the Contract Documents; and
    - 2. those drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) that ENGINEER has used in preparing the Contract Documents.
  - B. Limited Reliance by CONTRACTOR on Technical Data Authorized: CONTRACTOR may rely upon the general accuracy of the "technical data" contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such "technical data" is identified in the Supplementary Conditions. Except for such reliance on such "technical data," CONTRACTOR may not rely upon or make any Claim against OWNER, ENGINEER, or any of ENGINEER's Consultants with respect to:
    - 1. the completeness of such reports and drawings for CONTRACTOR's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by CONTRACTOR, and safety precautions and programs incident thereto; or
    - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
    - 3. any CONTRACTOR interpretation of or conclusion drawn from any "technical data" or any such other data, interpretations, opinions, or information.
- 4.03 Differing Subsurface or Physical Conditions:
  - A. Notice: If CONTRACTOR believes that any subsurface or physical condition at or contiguous to the Site that is uncovered or revealed either:
    - 1. is of \_such a nature as to establish that any "technical data" on which CONTRACTOR is entitled to rely as provided in paragraph 4.02 is materially inaccurate; or
    - 2. is of such a nature as to require a change in the Contract Documents; or
    - 3. differs materially from that shown or indicated in the Contract Documents; or

- 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents; then CONTRACTOR shall, promptly after becoming aware thereof and before further disturbing . the subsurface or physical conditions or performing any Work in connection therewith {except in an emergency as required by paragraph 6.16.A), notify OWNER and ENGINEER in writing about such condition. CONTRACTOR shall not further disturb such condition or perform any Work in connection therewith (except as aforesaid) until receipt of written order to do so.
- B. ENGINEER's Review: After receipt of written notice as required by paragraph 4.03.A, ENGINEER will promptly review the pertinent condition, determine the necessity of OWNER's obtaining additional exploration or tests with respect thereto, and advise OWNER in writing (with a copy to CONTRACTOR) of ENGINEER's findings and conclusions.
- C. Possible Price and Times Adjustments:
  - 1. The Contract Price or the Contract Times, or both, will be equitably adjusted to the extent that the existence of such differing subsurface or physical condition causes an increase or decrease in CONTRACTOR's cost of, or time required for, performance of the Work; subject however, to the following:
    - a. such condition must meet any one or more of the categories described in paragraph 4.03.A; and
    - b. with respect to Work that is paid for on a Unit Price Basis, any adjustment in Contract Price will be subject to the provisions of paragraphs 9.08 and 11.03.
  - 2. CONTRACTOR shall not be entitled to any adjustment in the Contract Price or Contract Times if:
    - a. CONTRACTOR knew of the existence of such conditions at the time CONTRACTOR made a final commitment to OWNER in respect of Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract; or
    - b. the existence of such condition could reasonably have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas required by the Bidding Requirements or Contract Documents to be conducted by or for CONTRACTOR prior to CONTRACTOR'S making such final commitment; .
    - c. CONTRACTOR failed to give the written notice within the time and as required by paragraph 4.03.A.
  - 3. If OWNER and CONTRACTOR are unable to agree on entitlement to or on the amount or extent if any, of any adjustment in the Contract Price or Contract Times, or both, a Claim may be made therefore as provided in paragraph 10.05. However, OWNER, ENGINEER, and ENGINEER's Consultants shall not be liable to CONTRACTOR for any claims, costs, losses, or damages {including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by CONTRACTOR on or in connection with any other project or anticipated project.

#### 4.04 Underground Facilities:

A. Shown or Indicated: The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the Site is based on information and data furnished to OWNER or ENGINEER by the owners of such Underground Facilities, including OWNER, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:

- 1. OWNER and ENGINEER shall not be responsible for the accuracy or completeness of any such information or data; and
- 2. the cost of all of the following will be included in the Contract Price, and CONTRACTOR shall have full responsibility for:
  - a. reviewing and checking all such information and data,
  - b. locating all Underground Facilities shown or indicated in the Contract Documents,
  - c. coordination of the Work with the owners of such Underground Facilities, including OWNER, during construction;
  - d. the safety and protection of all such Underground Facilities and repairing any damage thereto resulting from the Work.
- B. Not Shown or Indicated:
  - 1. If an Underground Facility is uncovered or revealed at or contiguous to the Site which was not shown or indicated, or not shown or indicated With reasonable accuracy in the Contract Documents, CONTRACTOR shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by paragraph 6.16.A), identify the owner of such Underground Facility and give written notice to that owner and to OWNER and ENGINEER. ENGINEER will promptly review the Underground Facility and determine the extent, if any, to which a change is required in the Contract Documents to reflect and document the consequences of the existence or location of the Underground Facility. During such time, CONTRACTOR shall be responsible for the safety and protection of such Underground Facility.
  - 2. If ENGINEER concludes that a change in the Contract Documents is required, a Work Change Directive or a Change Order will be issued to reflect and document such consequences. An equitable adjustment shall be made in the Contract Price of Contract Times, or both, to the extent that they are attributable to the existence or location of any Underground Facility that was not shown or indicated or not shown or indicated with reasonable accuracy in the Contract Documents and that CONTRACTOR did not know of and could not reasonably have been expected to be aware of or to have anticipated. If OWNER and CONTRACTOR are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment in Contract Price or Contract Times, OWNER or CONTRACTOR may make a Claim therefore as provided in paragraph 10.05.
- 4.05 *Reference Points: (*NOT USED)
- 4.06 Hazardous Environmental Condition at Site:
  - A. *Reports and Drawings:* Reference is made to the Supplementary Conditions for the identification of those reports and drawings relating to a Hazardous Environmental Condition identified at the Site, if any, that have been utilized by the ENGINEER in the preparation of the Contract Documents.

- B. Limited Reliance by CONTRACTOR on Technical Data Authorized: CONTRACTOR may rely upon the general accuracy of the "technical data" contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such technical data" is identified in the Supplementary Conditions. Except for such reliance on such "technical data," CONTRACTOR may not rely upon or make any Claim against OWNER, ENGINEER or any of ENGINEER's Consultants with respect to:
  - 1. the completeness of such reports and drawings for CONTRACTOR's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by CONTRACTOR and safety precautions and programs incident thereto; or
  - 2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
  - 3. any CONTRACTOR interpretation of or conclusion drawn from any "technical data" or any such other data, interpretations, opinions or information.
- C. CONTRACTOR shall not be responsible for any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work. CONTRACTOR shall be responsible for a Hazardous Environmental Condition created with any materials brought to the Site by CONTRACTOR, Subcontractors, Suppliers, or anyone else for whom CONTRACTOR is responsible.
- D. If CONTRACTOR encounters a Hazardous Environmental Condition or if CONTRACTOR or anyone for whom CONTRACTOR is responsible creates a Hazardous Environmental Condition, CONTRACTOR shall immediately:
  - 1. secure or otherwise isolate such condition;
  - 2. stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by paragraph 6.16); and
  - 3. notify OWNER and ENGINEER (and promptly thereafter confirm such notice in writing). OWNER shall promptly consult with ENGINEER concerning the necessity for OWNER to retain a qualified expert to evaluate such condition or take corrective action, if any.
- E. CONTRACTOR shall not be required to resume Work in connection with such condition or in any affected area until after OWNER has obtained any required permits related thereto and delivered to CONTRACTOR written notice:
  - 1. specifying that such condition and any affected area is or has been rendered safe for the resumption of Work; or
  - 2. specifying any special conditions under which such Work may be resumed safely. If OWNER and CONTRACTOR cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by CONTRACTOR, either party may make a Claim therefore as provided in paragraph 10.05.
- F. If after receipt of such written notice CONTRACTOR does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then OWNER may order the portion of the Work that is in the area affected by such condition to be deleted from the Work. If OWNER and CONTRACTOR cannot agree as to entitlement to or on the amount or extent, if any, of an adjustment in Contract Price or Contract Times as a result of deleting such portion of the Work, then either party may make a Claim therefore as provided in paragraph 10.05. OWNER may have such deleted portion of the Work performed by OWNER's own forces or others in accordance with Article 7.

- G. To the fullest extent permitted by Laws and Regulations, OWNER shall indemnify and hold harmless CONTRACTOR, Subcontractors, ENGINEER, ENGINEER's Consultants and the officers, directors, partners, employees, agents, other consultants, and subcontractors of each and any of them from and against" all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition:
  - 1. was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be included within the scope of the Work, and
  - 2. was not created by CONTRACTOR or by anyone for whom CONTRACTOR is responsible. Nothing in this paragraph 4.06.E shall obligate OWNER to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- H. To the fullest extent permitted by Laws and Regulations, CONTRACTOR shall indemnify and hold . harmless OWNER, ENGINEER, ENGINEER's Consultants, and the officers, directors, partners, employees, agents, other consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition created by CONTRACTOR or by anyone for whom CONTRACTOR is responsible. Nothing in this paragraph 4.06.F shall obligate CONTRACTOR to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- I. The provisions of paragraphs 4.02, 4.03, and 4.04 are not intended to apply to a Hazardous Environmental Condition uncovered or revealed at the Site.

#### ARTICLE 5 - BONDS AND INSURANCE

- 5.01 *Performance, Payment, and Other Bonds:* 
  - A. CONTRACTOR shall furnish performance and payment Bonds, each in an amount at least equal to the Contract Price as security for the faithful performance and payment of all CONTRACTOR's obligations under the Contract Documents. These Bonds shall remain in effect at least until one year after the date when final payment becomes due, except as provided otherwise by Laws or Regulations or by the Contract Documents. CONTRACTOR shall also furnish such other Bonds as are required by the Contract Documents.
  - B. All Bonds shall be in the form prescribed by the Contract Documents except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in the current list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (amended) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. All Bonds signed by an agent must be accompanied by a certified copy of such agent's authority to act.
  - C. If the surety on any Bond furnished by CONTRACTOR is declared bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the Project is located or it ceases to meet the requirements of paragraph 5.01.B, CONTRACTOR shall within 20 days thereafter substitute another Bond and surety, both of which shall comply with the requirements of paragraphs 5.01.B and 5.02.
- 5.02 Licensed Sureties and Insurers:
  - A All Bonds and insurance required by the Contract Documents to be purchased and maintained by OWNER or CONTRACTOR shall be obtained from surety or insurance

companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue Bonds or insurance policies for the limits and coverages so required. Such surety and insurance companies shall also meet such additional requirements and qualifications as may be provided in the Supplementary Conditions.

### 5.03 *Certificates of Insurance:*

A. CONTRACTOR shall deliver to OWNER, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by OWNER or any other additional insured} which CONTRACTOR is required to purchase and maintain. OWNER shall deliver to CONTRACTOR, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by CONTRACTOR or any other additional insured) which OWNER is required to purchase and maintain.

#### 5.04 CONTRACTOR'S Liability Insurance:

- A. CONTRACTOR shall purchase and maintain such liability and other insurance as is appropriate for the Work being performed and as will provide protection from claims set forth below which may arise out of or result from CONTRACTOR's performance of the Work and CONTRACTOR's other obligations under the Contract Documents, whether it is to be performed by CONTRACTOR, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable:
  - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts;
  - 2. claims for damages because of bodily injury, occupational sickness or disease, or death of CONTRACTOR's employees;
  - 3. claims for damages because of bodily injury, sickness or disease, or death of any person other than CONTRACTOR's employees;
  - 4. claims for damages insured by reasonably available personal injury liability coverage which are sustained:
    - a. by any person as a result of an offense directly or indirectly related to the employment of such person by CONTRACTOR,
    - b. by any other person for any other reason;
  - 5. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom; and
  - 6. claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance or use of any motor vehicle.
- B. The policies of insurance so required by this paragraph 5.04 to be purchased and maintained shall:
  - 1. with respect to insurance required by paragraphs 5.04.A.3 through 5.04.A.6 inclusive, include as additional insureds (subject to any customary exclusion in respect of professional liability) OWNER, ENGINEER, ENGINEER's Consultants, and any other individuals or entities identified in the Supplementary Conditions, all of whom shall be listed as additional insureds, and include coverage for the respective officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of all such additional insureds, and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby;

- 2. include at least the specific coverages and be written for not less than the limits of liability provided in the Supplementary Conditions or required by Laws or Regulations, whichever is greater;
- 3. include completed operations insurance;
- 4. include contractual liability insurance covering CONTRACTOR's indemnity obligations under paragraphs 6.07, 6.11, and 6.20;
- 5. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed or renewal refused until at least thirty days prior written notice has been given to OWNER and CONTRACTOR and to each other additional insured identified in the Supplementary Conditions to whom a certificate of insurance has been issued (and the certificates of insurance furnished by the CONTRACTOR pursuant to paragraph 5.03 will so provide);
- 6. remain in effect at least until final payment and at all times thereafter when CONTRACTOR may be correcting, removing, or replacing defective Work in accordance with paragraph 13.07; and
- 7. with respect to completed operations insurance, and any insurance coverage written on a claims-made basis, remain in effect for at least two years after final payment (and CONTRACTOR shall furnish OWNER and each other additional insured identified in the Supplementary Conditions, to whom a certificate of insurance has been issued, evidence satisfactory to OWNER and any such additional insured of continuation of such insurance at final payment and one year thereafter).
- C. The limits of liability for the insurance required by paragraph 5.04 of the General Conditions shall provide coverage for not less than the following amounts or greater where required by Laws and Regulations:
  - 1. Worker's Compensation, and related coverages under paragraphs 5.04.A.1 and A.2 of the General Conditions.

a.	State:	Statutory
b.	Applicable Federal (e.g., Longshoreman's)	Statutory
С.	Employer's Liability i. Each Accident ii. Disease - Policy Limit iii. Disease - Each Employee	\$ 500,000 \$ 500,000 \$ 500,000

2. CONTRACTOR's General Liability under paragraphs 5.04.A.3 through A.6 of the General Conditions which shall include completed operations and product liability coverages and eliminate the exclusion with respect to property under the care, custody and control of CONTRACTOR. Coverage will provide explosion, collapse, and underground coverage.

a.	General Aggregate	\$2,000,000
b.	Products- Completed Operations Aggregate	\$1,000,000
c.	Personal and Advertising Injury	\$1,000,000

	d.	Each Occurrence (Bodily Injury & Property Damage)	\$1,000,000	
	e.	Fire Damage (Any One Fire) OR Damage to Rented Premises	\$50,000	
	f.	Medical Expense (Any One Person)	\$5,000	
3.	Automobile Liability under paragraph 5.04.A.6 of the General Conditions.			
	a.	Combined Single Limit Each Accident	\$1,000,000	
		OR		
	b.	Bodily Injury: Each Person Each Accident	\$500,000 \$1,000,000	
4.	Umbrella/ Excess Liability insurance on an excess and following form basis above the employer's liability, commercial general liability and comprehensive automobile liability coverages as outlined above			

automobile liability coverages as outlined above. a. Excess or Umbrella Liability

	-	5	
i.	General Aggregate		\$2,000,000
ii.	Each Occurrence		\$2,000,000

- 5. OWNER and ENGINEER shall be listed on the CONTRACTOR's policy and certificates of insurance as additional insureds.
- 5.05 OWNER's Liability Insurance:
  - A. In addition to the insurance required to be provided by CONTRACTOR under paragraph 5.04, OWNER, at OWNER's option, may purchase and maintain at OWNER's expense OWNER's own liability insurance as will protect OWNER against claims which may arise from operations under the Contract Documents.
- 5.06 *Property Insurance:* 
  - A. OWNER shall not be responsible for purchasing and maintaining any property insurance to protect the interests of CONTRACTOR, subcontractors, or others in the Work. Unless otherwise agreed in writing between OWNER and CONTRACTOR, and until final completion of the Work and acceptance of the Work in accordance with paragraph 14.07 of the General Conditions, all risk of loss with no right of recovery against the OWNER, ENGINEER, ENGINEER'S consultants (and the officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them) will be borne by CONTRACTOR, subcontractors, or others suffering any such loss, and if any of them wishes property insurance coverage, each may purchase it and maintain it at the purchaser's own expense.
  - B. If property insurance is purchased, OWNER, ENGINEER, ENGINEER's consultants, CONTRACTOR, and subcontractors shall be named insureds or as additional insureds.
  - C. It is the CONTRACTOR's responsibility to provide 30-day prior written notice to the

OWNER, ENGINEER and additional insureds if insurance required as set forth in paragraph 5.06 will be cancelled, materially changed or not renewed. Failure of the CONTRACTOR to provide all required insurance, or failure to provide the notice set forth in this • paragraph, shall not relieve the CONTRACTOR of its insurance obligations. All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with paragraph 5.06 will contain waiver provisions in accordance with paragraph 5.07.

- 1. include the interests of OWNER, CONTRACTOR, Subcontractors, ENGINEER, ENGINEER's Consultants, and any other individuals or entities identified in the Supplementary Conditions, and the officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them, each of whom is deemed to have an insurable interest and shall be listed as an additional insured;
- 2. be written on a Builder's Risk "all-risk" or open peril or special causes of loss policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, false work, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire, lightning, extended coverage, theft, vandalism and malicious mischief, earthquake, collapse, debris removal, demolition occasioned by enforcement of Laws and Regulations, water damage, and such other perils or causes of loss as may be specifically required by the Supplementary Conditions;
- 3. include expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects);
- cover materials and equipment stored at the Site or at another location that was agreed to in writing by OWNER prior to being incorporated in the Work, provided that such materials and equipment have been included in an Application for Payment recommended by ENGINEER;
- 5. allow for partial utilization of the Work by OWNER;
- 6. include testing and startup; and
- 7. be maintained in effect until final payment is made unless otherwise agreed to in writing by OWNER, CONTRACTOR, and ENGINEER with 30 days written notice to each other additional insured to whom a certificate of insurance has been issued.

### 5.07 Waiver of Rights:

- Α. OWNER and CONTRACTOR intend that all policies purchased in accordance with paragraph 5.06 will protect OWNER, CONTRACTOR, Subcontractors, ENGINEER, ENGINEER's Consultants, and all other individuals or entities identified in the Supplementary Conditions to be listed as insureds or additional insureds (and the officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them) in such policies and will provide primary coverage for all losses and damages caused by the perils or causes of loss covered thereby. All such policies shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any of the insureds or additional insureds thereunder. OWNER and CONTRACTOR waive all rights against each other and their respective officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them for all losses and damages caused by, arising out of or resulting from any of the perils or causes of loss covered by such policies and any other property. insurance applicable to the Work; and, in addition, waive all such rights against Subcontractors, ENGINEER, ENGINEER's Consultants, and all other individuals or entities identified in the Supplementary Conditions to be listed as insureds or additional insureds (and the officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them) under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by OWNER as trustee or otherwise payable under any policy so issued.
- B. OWNER waives all rights against CONTRACTOR, Subcontractors, ENGINEER,

ENGINEER's Consultants, and the officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them for:

- 1. loss due to business interruption, loss of use, or other consequential loss extending beyond *direct* physical loss or damage to OWNER's property or the Work caused by, arising out of, or resulting from fire or other peril whether or not insured by OWNER;
- 2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by OWNER during partial utilization pursuant to paragraph 14.05, after Substantial Completion pursuant to paragraph 14.04, or after final payment pursuant to paragraph 14.07.
- C. Any insurance policy maintained by OWNER covering any loss, damage or consequential loss referred to in paragraph 5.07.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against CONTRACTOR, Subcontractors, ENGINEER, or ENGINEER's Consultants and the officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them.
- 5.08 Receipt and Application of Insurance Proceeds:
  - A. Any insured loss under the policies of insurance required by paragraph 5.06 will be adjusted with OWNER and made payable to OWNER as fiduciary for the insureds, as their interests may appear, subject to the requirements of any applicable mortgage clause and of paragraph 5.08.B. OWNER shall deposit in a separate account any money so received and shall distribute it in accordance with such agreement as the parties in interest may reach. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the moneys so received applied on account thereof, and the Work and the cost thereof covered by an appropriate Change Order or Written Amendment.
  - B. OWNER as fiduciary shall have power to adjust and settle any loss with the insurers unless one of the parties in interest shall object in writing within 15 days after the occurrence of loss to OWNER's exercise of this power. If such objection be made, OWNER as fiduciary shall make settlement with the insurers in accordance with such agreement as the parties *in* interest may reach. If no such agreement among the parties in interest is reached, OWNER as fiduciary shall adjust and settle the loss with the insurers and, if required in writing by any party in interest, OWNER as fiduciary shall give bond for the proper performance of such duties.

### 5.09 Acceptance of Bonds and Insurance; Option to Replace:

A. If either OWNER or CONTRACTOR has any objection to the coverage afforded by or other provisions of the Bonds or insurance required to be purchased and maintained by the other party in accordance with Article 5 on the basis of non-conformance with the Contract Documents, the objecting party shall so notify the other party in writing within 10 days after receipt of the certificates (or other evidence requested) required by paragraph 2.05.C. OWNER and CONTRACTOR shall each provide to the other such additional information in respect of insurance provided as the other may reasonably request. If either party does not purchase or maintain all of the Bonds and insurance required of such party by the Contract Documents, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage. Without prejudice to any other right or remedy, the other party may elect to obtain equivalent Bonds or insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and a Change Order shall be issued to adjust the Contract Price accordingly.

- 5.10 Partial Utilization, Acknowledgment of Property Insurer:
  - A. If OWNER finds it necessary to occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in paragraph 14.05, no such use or occupancy shall commence before the insurers providing the property insurance pursuant to paragraph 5.06 have acknowledged notice thereof and in writing effected any changes in coverage necessitated thereby. The insurers providing the property insurance shall consent by endorsement on the policy or policies, but the property insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy.

# ARTICLE 6 - CONTRACTOR'S RESPONSIBILITIES

- 6.01 *Supervision and Superintendence:* 
  - A. CONTRACTOR shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction, but CONTRACTOR shall not be responsible for the negligence of OWNER or ENGINEER in the design or specification of a specific means, method, technique, sequence, or procedure of construction which is shown or indicated in and expressly required by the Contract Documents. CONTRACTOR shall be responsible to see that the completed Work complies accurately with the Contract Documents.
  - B. At all times during the progress of the Work, CONTRACTOR shall assign a competent resident superintendent thereto who shall not be replaced without written notice to OWNER and ENGINEER except under extraordinary circumstances. The superintendent will be CONTRACTOR's representative at the Site and shall have authority to act on behalf of CONTRACTOR. All communications given to or received from the superintendent shall be binding on CONTRACTOR.
- 6.02 *Labor; Working Hours:* 
  - A. CONTRACTOR shall provide competent, suitably qualified personnel to survey, lay out, and construct the Work as required by the Contract Documents. CONTRACTOR shall at all times maintain good discipline and order at the Site.
  - B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours, and CONTRACTOR will not permit overtime work or the performance of Work on Saturday, Sunday, or any legal holiday without OWNER's written consent (which will not be unreasonably withheld) given after prior written notice to ENGINEER.
- 6.03 Services, Materials, and Equipment:
  - A. Unless otherwise specified in the General Requirements, CONTRACTOR shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start-up, and completion of the Work.
  - B. All materials and equipment incorporated into the Work shall be as specified or, if not specified, shall be of good quality and new, except as otherwise provided in the Contract Documents. All warranties and guarantees specifically called for by the Specifications shall expressly run to the benefit of OWNER. If required by ENGINEER, CONTRACTOR shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipmen.t. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with

instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

- 6.04 *Progress Schedule:* 
  - A CONTRACTOR shall adhere to the progress schedule established in accordance with paragraph 2.07 as it may be adjusted from time to time as provided below.
    - 1. CONTRACTOR shall submit to ENGINEER for acceptance (to the extent indicated in paragraph 2.07) proposed adjustments in the progress schedule that will not result in changing the Contract Times (or Milestones). Such adjustments will conform generally to the progress schedule then in effect and additionally will comply with any provisions of the General Requirements applicable thereto.
    - 2. Proposed adjustments in the progress schedule that will change the Contract Times (or Milestones) shall be submitted in accordance with the requirements of Article 12. Such adjustments may only be made by a Change Order or Written Amendment in accordance with Article 12.
- 6.05 Substitutes and "Or-Equals":
  - A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the specification or description is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or-equal" item or no substitution is permitted, other items of material or equipment or material or equipment of other Suppliers may be submitted to ENGINEER for review under the circumstances described below.
    - 1. "Or-Equal" Items: If in ENGINEER's sole discretion an item of material or equipment proposed by CONTRACTOR is functionally equal to that named and sufficiently similar so that no change in related Work will be required, it may be considered by ENGINEER as an "or-equal" item, in which case review and approval of the proposed item may, in ENGINEER's sole discretion, be accomplished without compliance with some or all of the requirements for approval of proposed substitute items. For the purposes of this paragraph 6.05.A.1, a proposed item of material or equipment will be considered functionally equal to an item so named if:
      - a. in the exercise of reasonable judgment ENGINEER determines that:
        - (i) it is at least equal in quality, durability, appearance, strength, and design characteristics;
        - (ii) it will reliably perform at least equally well the function imposed by the design concept of the completed Project as a functioning whole, and;
      - b. CONTRACTOR certifies that:
        - (i) there is no increase in cost to the OWNER; and
        - (ii) it will conform substantially, even with deviations, to the detailed requirements of the item named in the Contract Documents.
    - 2. Substitute Items:
      - a. If in ENGINEER's sole discretion an item of material or equipment proposed by CONTRACTOR does not qualify as an "or-equal" item under paragraph 6.05.A.1, it will be considered a proposed substitute item.
      - b. CONTRACTOR shall submit sufficient information as provided below to allow ENGINEER to determine that the item of material or equipment proposed is essentially equivalent to that named and an acceptable substitute therefore. Requests for review of proposed substitute items of

material or equipment will not be accepted by ENGINEER from anyone other than CONTRACTOR.

- c. The procedure for review by ENGINEER will be as set forth in paragraph 6.05.A.2.d, as supplemented in the General Requirements and as ENGINEER may decide is appropriate under the circumstances.
- CONTRACTOR shall first make written application to ENGINEER for d. review of a proposed substitute item of material or equipment that CONTRACTOR seeks to furnish or use. The application shall certify that the proposed substitute item will perform adequately the functions and achieve the results called for by the general design, be similar in substance to that specified, and be suited to the same use as that specified. The application will state the extent, if any, to which the use of the proposed substitute item will prejudice CONTRACTOR's achievement of Substantial Completion on time, whether or not use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with OWNER for work on the Project) to adapt the design to the proposed substitute item and whether or not incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty. All variations of the proposed substitute item from that specified will be identified in the application, and available engineering, sales, maintenance, repair, and replacement services will be indicated. The application will also contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including costs of redesign and claims of other contractors affected by any resulting change, all of which will be considered by ENGINEER in evaluating the proposed substitute item. ENGINEER may require CONTRACTOR to furnish additional data about the proposed substitute item.
- B. Substitute Construction Methods or Procedures: If a specific means, method, technique, sequence, or procedure of construction is shown or indicated in and expressly required by the Contract Documents, CONTRACTOR may furnish or utilize a substitute means, method, technique, sequence, or procedure of construction approved by ENGINEER. CONTRACTOR shall submit sufficient information to allow ENGINEER, in ENGINEER's sole discretion, to determine that the. substitute proposed is equivalent to that expressly called for by the Contract Documents. The procedure for review by ENGINEER will be similar to that provided in subparagraph 6.05.A.2.
- C. Engineer's Evaluation: ENGINEER will be allowed a reasonable time within which to evaluate each proposal or submittal made pursuant to paragraphs 6.05.A and 6.05.B. ENGINEER will be the sole judge of acceptability. No "or-equal" or substitute will be ordered, installed, or utilized until ENGINEER's review is complete, which will be evidenced by either a Change Order for a substitute or an approved Shop Drawing for an "or equal." ENGINEER will advise CONTRACTOR in writing of any negative determination.
- D. Special Guarantee: OWNER may require CONTRACTOR to furnish at CONTRACTOR's expense a special performance guarantee or other surety with respect to any substitute.
- E. ENGINEER's Cost Reimbursement: ENGINEER will record time required by ENGINEER and ENGINEER's Consultants in evaluating substitute proposed or submitted by CONTRACTOR pursuant to paragraphs 6.05.A.2 and 6.05.B and in making changes in the Contract Documents (or in the provisions of any other direct contract with OWNER for work on the Project) occasioned thereby. Whether or not ENGINEER approves a substitute item so proposed or submitted by CONTRACTOR, CONTRACTOR shall reimburse OWNER for the charges of ENGINEER and ENGINEER's Consultants for evaluating each such proposed substitute.

- F. CONTRACTOR's Expense: CONTRACTOR shall provide all data in support of any proposed substitute or "or-equal" at CONTRACTOR's expense.
- 6.06 Concerning Subcontractors, Suppliers, and Others:
  - A. CONTRACTOR shall not employ any Subcontractor, Supplier, or other individual or entity (including those acceptable to OWNER as indicated in paragraph 6.06.B), whether initially or as a replacement, against whom OWNER may have reasonable objection. CONTRACTOR shall not be required to employ any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against whom CONTRACTOR has reasonable objection.
  - B. If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers, or other individuals or entities to be submitted to OWNER in advance for acceptance by OWNER by a specified date prior to the Effective Date of the Agreement, and if CONTRACTOR has submitted a list thereof in accordance with the Supplementary Conditions, OWNER's acceptance (either in writing or by failing to make written objection thereto by the date indicated for acceptance or objection in the Bidding Documents or the Contract Documents) of any such Subcontractor, Supplier, or other individual or entity so identified may be revoked on the basis of reasonable objection after due investigation. CONTRACTOR shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity, and the Contract Price will be adjusted by the difference in the cost occasioned by such replacement, and an appropriate Change Order will be issued or Written Amendment signed. No acceptance by OWNER of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of any right of OWNER or ENGINEER to reject defective Work.
  - C. CONTRACTOR shall be fully responsible to OWNER and ENGINEER for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as CONTRACTOR is responsible for CONTRACTOR's own acts and omissions. Nothing in the Contract Documents shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between OWNER or ENGINEER and any such Subcontractor, Supplier or other individual or entity, nor shall it create any obligation on the part of OWNER or ENGINEER to pay or to see to the payment of any moneys due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.
  - D. CONTRACTOR shall be solely responsible for scheduling and coordinating the Work of Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work under a direct or indirect contract with CONTRACTOR.
  - E. CONTRACTOR shall require all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work to communicate with ENGINEER through CONTRACTOR.
  - F. The divisions and sections of the Specifications and the identifications of any Drawings shall not control CONTRACTOR in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
  - G. All Work performed for CONTRACTOR by a Subcontractor or Supplier will be pursuant to an appropriate agreement between CONTRACTOR and the Subcontractor or Supplier which specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of OWNER and ENGINEER. Whenever any such agreement is with a Subcontractor or Supplier who is listed as an additional insured on the property insurance provided in paragraph 5.06, the agreement between the CONTRACTOR and the Subcontractor or Supplier will contain provisions whereby the Subcontractor or Supplier waives all rights against OWNER, CONTRACTOR, ENGINEER, ENGINEER's Consultants, and all other individuals or entities identified in the Supplementary Conditions to be listed as insureds or additional insureds (and the officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them) for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or

causes of loss covered by such policies and any other property insurance applicable to the Work. If the insurers on any such policies require separate waiver forms to be signed by any Subcontractor or Supplier, CONTRACTOR will obtain the same.

- 6.07 Patent Fees and Royalties:
  - CONTRACTOR shall pay all license fees and royalties and assume all costs incident to the Α. use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if to the actual knowledge of OWNER or ENGINEER its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by OWNER in the Contract Documents. To the fullest extent permitted by Laws and Regulations, CONTRACTOR shall indemnify and hold harmless OWNER, ENGINEER, ENGINEER's Consultants, and the officers, directors, partners, employees or agents, and other consultants of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs} arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.
- 6.08 *Permits:* 
  - A. Unless otherwise provided in the Supplementary Conditions, CONTRACTOR shall obtain and pay for all construction permits and licenses. OWNER shall assist CONTRACTOR, when necessary, in obtaining such permits and licenses. CONTRACTOR shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of opening of Bids, or, if there are no Bids, on the Effective Date of the Agreement. CONTRACTOR shall pay all charges of utility owners for connections to the Work, and OWNER shall pay all charges of such utility owners for capital costs related thereto, such as plant investment fees.
- 6.09 *Laws and Regulations:* 
  - A. CONTRACTOR shall give all notices and comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither OWNER nor ENGINEER shall be responsible for monitoring CONTRACTOR's compliance with any Laws or Regulations.
  - B. If CONTRACTOR performs any Work knowing or having reason to know that it is contrary to Laws or Regulations, CONTRACTOR shall bear all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work; however, it shall not be CONTRACTOR's primary responsibility to make certain that the Specifications and Drawings are in accordance with Laws and Regulations, but this shall not relieve CONTRACTOR of CONTRACTOR's obligations under paragraph 3.03.
  - C. Changes in Laws or Regulations not known at the time of opening of Bids (or, on the Effective Date of the Agreement if there were no Bids) having an effect on the cost or time of performance of the Work may be the subject of an adjustment in Contract Price or Contract Times. If OWNER and CONTRACTOR are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment, a Claim may be made therefore as provided in paragraph 10.05.

- 6.10 *Taxes:* 
  - A. CONTRACTOR shall pay all sales, consumer, use, and other similar taxes required to be paid by CONTRACTOR in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work. See Article 22, Section 00 2100 Instructions to Bidders.
- 6.11 Use of Site and Other Areas:
  - A. Limitation on Use of Site and Other Areas:
    - A. CONTRACTOR shall confine construction equipment, the storage of materials and equipment, and the operations of workers to the Site and other areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and other areas with construction equipment or other materials or equipment. CONTRACTOR shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof, or of any adjacent land or areas resulting from the performance of the Work.
    - B. Should any claim be made by any such owner or occupant because of the performance of the Work, CONTRACTOR shall promptly settle with such other party by negotiation or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law.
    - C. To the fullest extent permitted by Laws and Regulations, CONTRACTOR shall indemnify and hold harmless OWNER, ENGINEER, ENGINEER's Consultant and the officers, directors, partners, employees, agents, and other consultants of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against OWNER, ENGINEER, or any other party indemnified hereunder to the extent caused by or based upon CONTRACTOR's performance of the Work.
  - B. Removal of Debris During Performance of the Work: During the progress of the Work CONTRACTOR shall keep the Site and other areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.
  - C. Cleaning: Prior to Substantial Completion of the Work CONTRACTOR shall clean the Site and make it ready for utilization by OWNER. At the completion of the Work CONTRACTOR shall remove from the Site all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
  - D. Loading Structures: CONTRACTOR shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall CONTRACTOR subject any part of the Work or adjacent property to stresses or pressures that will endanger it.
- 6.12 *Record Documents:* 
  - A. CONTRACTOR shall maintain in a safe place at the Site one record copy of all Drawings, Specifications, Addenda, Written Amendments, Change Orders, Work Change Directives, Field Orders, and written interpretations and clarifications in good order and annotated to show changes made during construction. These record documents together with all approved Samples and a counterpart of all approved Shop Drawings will be available to ENGINEER for reference. Upon completion of the Work, these record documents, Samples, and Shop Drawings will be delivered to ENGINEER for OWNER.
- 6.13 Safety and Protection:
  - A. CONTRACTOR shall be solely responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. CONTRACTOR shall take all

necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:

- 1. all persons on the Site or who may be affected by the Work;
- 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
- 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- Β. CONTRACTOR shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. CONTRACTOR shall notify owners of adjacent property and of Underground Facilities and other utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property. All damage, injury, or loss to any property referred to in paragraph 6.13.A.2 or 6.13.A.3 caused, directly or indirectly, in whole or in part, by CONTRACTOR, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by CONTRACTOR (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of OWNER or ENGINEER or ENGINEER's Consultant, or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of CONTRACTOR or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them}. CONTRACTOR's duties and responsibilities for safety and for protection of the Work shall continue until such time as all the Work is completed and ENGINEER has issued a notice to OWNER and CONTRACTOR in accordance with paragraph 14.07.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion}.

### 6.14 Safety *Representative*:

- A. CONTRACTOR shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.
- 6.15 Hazard Communication Programs:
  - A. CONTRACTOR shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

## 6.16 *Emergencies:*

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, CONTRACTOR is obligated to act to prevent threatened damage, injury, or loss. CONTRACTOR shall give ENGINEER prompt written notice if CONTRACTOR believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If ENGINEER determines that a change in the Contract Documents is required because of the action taken by CONTRACTOR in response to such an emergency, a Work Change Directive or Change Order will be issued.
- 6.17 Shop Drawings and Samples:
  - A. CONTRACTOR shall submit Shop Drawings to ENGINEER for review and approval in accordance with the acceptable schedule of Shop Drawings and Sample submittals. All submittals will be identified as ENGINEER may require and in the number of copies

specified in the General Requirements. The data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show ENGINEER the services, materials, and equipment CONTRACTOR proposes to provide and to enable ENGINEER to review the information for the limited purposes required by paragraph 6.17.E.

- B. CONTRACTOR shall also submit Samples to ENGINEER for review and approval in accordance with the acceptable schedule of Shop Drawings and Sample submittals. Each Sample will be identified clearly as to material, Supplier, pertinent data such as catalog numbers, and the use for which intended and otherwise as ENGINEER may require to enable ENGINEER to review the submittal for the limited purposes required by paragraph 6.17.E. The numbers of each Sample to be submitted will be as specified in the Specifications.
- C. Where a Shop Drawing or Sample is required by the Contract Documents or the schedule of Shop Drawings and Sample submittals acceptable to ENGINEER as required by paragraph 2.07, any related Work performed prior to ENGINEER's review and approval of the pertinent submittal will be at the sole expense and responsibility of CONTRACTOR.
- D. Submittal Procedures:
  - 1. Before submitting each Shop Drawing or Sample, CONTRACTOR shall have determined and verified:
    - a. all field measurements, quantities, dimensions, specified performance criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
    - b. all materials with respect to intended use, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work;
    - c. all information relative to means, methods, techniques, sequences, and procedures of construction and safety precautions and programs incident thereto; and
    - d. CONTRACTOR shall also have reviewed and coordinated each Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents.
  - 2. Each submittal shall bear a stamp or specific written indication that CONTRACTOR has satisfied CONTRACTOR's obligations under the Contract Documents with respect to CONTRACTOR's review and approval of that submittal.
  - 3. At the time of each submittal, CONTRACTOR shall give ENGINEER specific written notice of such variations, if any, that the Shop Drawing or Sample submitted may have from the requirements of the Contract Documents, such notice to be in a written communication separate from the submittal; and, in addition, shall cause a specific notation to be made on each Shop Drawing and Sample submitted to ENGINEER for review and approval of each such variation.

# E. ENGINEER's Review:

- 1. ENGINEER will timely review and approve Shop Drawings and Samples in accordance with the schedule of Shop Drawings and Sample submittals acceptable to ENGINEER. ENGINEER's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
- 2. ENGINEER's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction (except where a particular means,

method, technique, sequence, or procedure of construction is specifically and expressly called for by the Contract Documents) or to safety precautions or programs incident thereto. The review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.

- 3. ENGINEER's review and approval of Shop Drawings or Samples shall not relieve CONTRACTOR from responsibility for any variation from the requirements of the Contract Documents unless CONTRACTOR has in writing called ENGINEER's attention to each such variation at the time of each submittal as required by paragraph 6.17.D.3 and ENGINEER has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample approval; nor will any approval by ENGINEER relieve CONTRACTOR from responsibility for complying with the requirements of paragraph 6.17.D.1.
- F. Resubmittal Procedures:
  - 1. CONTRACTOR shall make corrections required by ENGINEER and shall return the required number of corrected copies of Shop Drawings and submit as required new Samples for review and approval. CONTRACTOR shall direct specific attention in writing to revisions other than the corrections called for by ENGINEER on previous submittals.
- 6.18 *Continuing the Work:* 
  - A. CONTRACTOR shall carry on the Work and adhere to the progress schedule during all disputes or disagreements with OWNER. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, except as permitted by paragraph 15.04 or as OWNER and CONTRACTOR may otherwise agree in writing.
- 6.19 CONTRACTOR's General Warranty and Guarantee:
  - A. CONTRACTOR warrants and guarantees to OWNER, ENGINEER, and ENGINEER's Consultants that all Work will be in accordance with the Contract Documents and will not be defective. CONTRACTOR's warranty and guarantee hereunder excludes defects or damage caused by:
    - 1. abuse, modification, or improper maintenance or operation by persons other than CONTRACTOR, Subcontractors, Suppliers, or any other individual or entity for whom CONTRACTOR is responsible; or
    - 2. normal wear and tear under normal usage.
  - B. CONTRACTOR's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of CONTRACTOR's obligation to perform the Work in accordance with the Contract Documents:
    - 1. observations by ENGINEER;
    - recommendation by ENGINEER or payment by OWNER of any progress or final payment;
    - 3. the issuance of a certificate of Substantial Completion by ENGINEER or any payment related thereto by OWNER;
    - 4. use or occupancy of the Work or any part thereof by OWNER;
    - 5. any acceptance by OWNER or any failure to do so;
    - 6. any review and approval of a Shop Drawing or Sample submittal or the issuance of a notice of acceptability by ENGINEER;

- 7. any inspection, test, or approval by others;
- 8. any correction of defective Work by OWNER.

## 6.20 *Indemnification:*

- A. To the fullest extent permitted by Laws and Regulations, CONTRACTOR shall indemnify and hold harmless OWNER, ENGINEER, ENGINEER's Consultants, and the officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage:
  - 1. is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself}, including the loss of use resulting therefrom; and
  - 2. is caused in whole or in part by any negligent act or omission of CONTRACTOR, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable, regardless of whether or not caused in part by any negligence or omission of an individual or entity indemnified hereunder or whether liability is imposed upon such indemnified party by Laws and Regulations regardless of the negligence of any such individual or entity.
- B. In any and all claims against OWNER or ENGINEER or any of their respective consultants, agents, officers, directors, partners, or employees by any employee (or the survivor or personal representative of such employee) of CONTRACTOR, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under paragraph 6.20.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for CONTRACTOR or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of CONTRACTOR under paragraph 6.20.A shall not extend to the liability of ENGINEER and ENGINEER's Consultants or to the officers, directors, partners, employees, agents, and other consultants and subcontractors of each and any of them arising out of:
  - 1. the preparation or approval of, or the failure to prepare or approve, maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
  - 2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

## ARTICLE 7 - OTHER WORK

- 7.01 Related Work at Site:
  - A. OWNER may perform other work related to the Project at the Site by OWNER's employees, or let other direct contracts therefore, or have other work performed by utility owners. If such other work is not noted in the Contract Documents, then:
    - A. written notice thereof will be given to CONTRACTOR prior to starting any such other work; and
    - B. if OWNER and CONTRACTOR are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times

that should be allowed as a result of such other work, a Claim may be made therefore as provided in paragraph 10.05.

- B. CONTRACTOR shall afford each other contractor who is a party to such a direct contract and each utility owner (and OWNER, if OWNER is performing the other work with OWNER's employees) proper and safe access to the Site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work and shall properly coordinate the Work with theirs. Unless otherwise provided in the Contract Documents, CONTRACTOR shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. CONTRACTOR shall not endanger any work of others by cutting, excavating, or otherwise altering their work and will only cut or alter their work with the written consent of ENGINEER and the others whose work will be affected. The duties and responsibilities of CONTRACTOR under this paragraph are for the benefit of such utility owners and other contractors to the extent that there are comparable provisions for the benefit of CONTRACTOR in said direct contracts between OWNER and such utility owners and other contractors.
- C. If the proper execution or results of any part of CONTRACTOR's Work depends upon work performed by others under this Article 7, CONTRACTOR shall inspect such other work and promptly report to ENGINEER in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of CONTRACTOR's Work. CONTRACTOR's failure to so report will constitute an acceptance of such other work as fit and proper for integration with CONTRACTOR's Work except for latent defects and deficiencies in such other work.

### 7.02 Coordination:

- A. If OWNER intends to contract with others for the performance of other work on the Project at the Site, the following will be set forth in Supplementary Conditions:
  - 1. the individual or entity who will have authority and responsibility for coordination of the activities among the various contractors will be identified;
  - 2. the specific matters to be covered by such authority and responsibility will be itemized; and
  - 3. the extent of such authority and responsibilities will be provided.
- B. Unless otherwise provided in the Supplementary Conditions, OWNER shall have sole authority and responsibility for such coordination.

### **ARTICLE 8 - OWNER'S RESPONSIBILITIES**

- 8.01 *Communications to Contractor:* 
  - A. Except as otherwise provided in these General Conditions, OWNER shall issue all communications to CONTRACTOR through ENGINEER.
- 8.02 *Replacement of ENGINEER:* 
  - A. In case of termination of the employment of ENGINEER, OWNER shall appoint an engineer to whom CONTRACTOR makes no reasonable objection, whose status under the Contract Documents shall be that of the former ENGINEER.
- 8.03 Furnish Data:
  - A. OWNER shall promptly furnish the data required of OWNER under the Contract Documents.

- 8.04 *Pay Promptly When Due:* 
  - A. OWNER shall make payments to CONTRACTOR promptly when they are due as provided in paragraphs 14.02.C and 14.07.C.
- 8.05 Lands and Easements; Reports and Tests:
  - A. OWNER's duties in respect of providing lands and easements and providing engineering surveys to establish reference points are set forth in paragraphs 4.01 and 4.05. Paragraph 4.02 refers to OWNER's identifying and making available to CONTRACTOR copies of reports of explorations and tests of subsurface conditions and drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site that have been utilized by ENGINEER in preparing the Contract Documents.
- 8.06 Insurance:
  - A. OWNER's responsibilities, if any, in respect to purchasing and maintaining liability and property insurance are set forth in Article 5.
- 8.07 Change Orders:
  - A. OWNER is obligated to execute Change Orders as indicated in paragraph 10.03.
- 8.08 Inspections, Tests, and Approvals:
  - A. OWNER's responsibility in respect to certain inspections, tests, and approvals is set forth in paragraph 13.03.B.
- 8.09 *Limitations on OWNER's Responsibilities:* 
  - A. The OWNER shall not supervise, direct, or have control or authority over, nor be responsible for, CONTRACTOR's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of CONTRACTOR to comply with Laws and Regulations applicable to the performance of the Work. OWNER will not be responsible for CONTRACTOR's failure to perform the Work in accordance with the Contract Documents.
- 8.10 Undisclosed Hazardous Environmental Condition:
  - A. OWNER's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in paragraph 4.06.
- 8.11 Evidence of Financial Arrangements:
  - A. If and to the extent OWNER has agreed to furnish CONTRACTOR reasonable evidence that financial arrangements have been made to satisfy OWNER's obligations under the Contract Documents, OWNER's responsibility in respect thereof will be as set forth in the Supplementary Conditions.

### **ARTICLE 9 - ENGINEER'S STATUS DURING CONSTRUCTION**

- 9.01 *OWNER'S Representative:* 
  - A. ENGINEER will be OWNER's representative during the construction period. The duties and responsibilities and the limitations of authority of ENGINEER as OWNER's representative during construction are set forth in the Contract Documents and will not be changed without written consent of OWNER and ENGINEER.
- 9.02 Visits to Site:
  - A. ENGINEER will make visits to the Site at intervals appropriate to the various stages of construction as ENGINEER deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of CONTRACTOR's executed Work. Based on information obtained during such

visits and observations, ENGINEER, for the benefit of OWNER, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. ENGINEER will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. ENGINEER's efforts will be directed toward providing for OWNER a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, ENGINEER will keep OWNER informed of the progress of the Work and will endeavor to guard OWNER against defective Work.

B. ENGINEER's visits and observations are subject to all the limitations on ENGINEER's authority and responsibility set forth in paragraph 9.10, and particularly, but without limitation, during or as a result of ENGINEER's visits or observations of CONTRACTOR's Work ENGINEER will not supervise, direct, control, or have authority over or be responsible for CONTRACTOR's means, methods, techniques, sequences, or procedures of construction, or safety precautions and programs incident thereto, or for any failure of CONTRACTOR to comply with Laws and Regulations applicable to the performance of the Work.

## 9.03 *Project Representative:*

- A. If OWNER and ENGINEER agree, ENGINEER will furnish a Resident Project Representative to assist ENGINEER in providing more extensive observation of the Work. The responsibilities and authority and limitations thereon of any such Resident Project Representative and assistants will be as provided in paragraph 9.10 and in the Supplementary Conditions. If OWNER designates another representative or agent to represent OWNER at the Site who is not ENGINEER's Consultant, agent or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.
- 9.04 Clarifications and Interpretations:
  - A. ENGINEER will issue with reasonable promptness such written clarifications or interpretations of the requirements of the Contract Documents as ENGINEER may determine necessary, which shall be consistent with the intent of and reasonably inferable from the Contract Documents. Such written clarifications and interpretations will be binding on OWNER and CONTRACTOR. If OWNER and CONTRACTOR are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, that should be allowed as a result of a written clarification or interpretation, a Claim may be made therefore as provided in paragraph 10.05.
- 9.05 Authorized Variations in Work:
  - A. ENGINEER may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. These may be accomplished by a Field Order and will be binding on OWNER and also on CONTRACTOR, who shall perform the Work involved promptly. If OWNER and CONTRACTOR are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, as a result of a Field Order, a Claim may be made therefore as provided in paragraph 10.05.
- 9.06 *Rejecting Defective Work:* 
  - A. ENGINEER will have authority to disapprove or reject Work which ENGINEER believes to be defective, or that ENGINEER believes will not produce a completed Project that conforms to the Contract Documents or that will prejudice the integrity of the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. ENGINEER will also have authority to require special inspection or testing of the Work as provided in paragraph 13.04, whether or not the Work is fabricated, installed, or completed.

- 9.07 Shop Drawings, Change Orders and Payments:
  - A. In connection with ENGINEER's authority as to Shop Drawings and Samples, see paragraph 6.17.
  - B. In connection with ENGINEER's authority as to Change Orders, see Articles 10, 11, and 12.
  - C. In connection with ENGINEER's authority as to Applications for Payment, see Article 14.
- 9.08 Determinations for Unit Price Work:
  - A. ENGINEER will determine the actual quantities and classifications of Unit Price Work performed by CONTRACTOR. ENGINEER will review with CONTRACTOR the ENGINEER's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). ENGINEER's written decision thereon will be final and binding (except as modified by ENGINEER to reflect changed factual conditions or more accurate data) upon OWNER and CONTRACTOR, subject to the provisions of paragraph 10.05.
- 9.09 Decisions on Requirements of Contract Documents and Acceptability of Work:
  - A. ENGINEER will be the initial interpreter of the requirements of the Contract Documents and judge of the acceptability of the Work thereunder. Claims, disputes and other matters relating to the acceptability of the Work, the quantities and classifications of Unit Price Work, the interpretation of the requirements of the Contract Documents pertaining to the performance of the Work, and Claims seeking changes in the Contract Price or Contract Times will be referred initially to ENGINEER in writing, in accordance with the provisions of paragraph 10.05, with a request for a formal decision.
  - B. When functioning as interpreter and judge under this paragraph 9.09, ENGINEER will not show partiality to OWNER or CONTRACTOR and will not be liable in connection with any interpretation or decision rendered in good faith in such capacity. The rendering of a decision by ENGINEER pursuant to this paragraph 9.09 with respect to any such Claim, dispute, or other matter (except any which have been waived by the making or acceptance of final payment as provided in paragraph 14.07) will be a condition precedent to any exercise by OWNER or CONTRACTOR of such rights or remedies as either may otherwise have under the Contract Documents or by Laws or Regulations in respect of any such Claim, dispute, or other matter.
  - 9.10 Limitations on ENGINEER's Authority and Responsibilities:
    - A. Neither ENGINEER's authority or responsibility under this Article 9 or under any other provision of the Contract Documents nor any decision made by ENGINEER in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by ENGINEER shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by ENGINEER to CONTRACTOR, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
    - B. ENGINEER will not supervise, direct, control, or have authority over or be responsible for CONTRACTOR's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of CONTRACTOR to comply with Laws and Regulations applicable to the performance of the Work. ENGINEER will not be responsible for CONTRACTOR's failure to perform the Work in accordance with the Contract Documents.
    - C. ENGINEER will not be responsible for the acts or omissions of CONTRACTOR or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
    - D. ENGINEER's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, Bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by

paragraph 14.07.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals that the results certified indicate compliance with, the Contract Documents.

E. The limitations upon authority and responsibility set forth in this paragraph 9.10 shall also apply to ENGINEER's Consultants, Resident Project Representative, and assistants.

## ARTICLE 10 - CHANGES IN THE WORK CLAIMS

- 10.01 Authorized Changes in the Work:
  - A. Without invalidating the Agreement and without notice to any surety, OWNER may, at any time or from time to time, order additions, deletions, or revisions in the Work by a Written Amendment, a Change Order, or a Work Change Directive. Upon receipt of any such document, CONTRACTOR shall promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents (except as otherwise specifically provided).
  - B. If OWNER and CONTRACTOR are unable to agree on entitlement to, or on the amount or extent, if any, of an adjustment in the Contract Price or Contract Times, or both, that should be allowed as a result of a Work Change Directive, a Claim may be made therefore as provided in paragraph 10.05.
- 10.02 Unauthorized Changes in the Work:
  - A. CONTRACTOR shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents as amended, modified, or supplemented as provided in paragraph 3.04, except in the case of an emergency as provided in paragraph 6.16 or in the case of uncovering Work as provided in paragraph 13.04.B.
- 10.03 Execution of Change Orders:
  - A. OWNER and CONTRACTOR shall execute appropriate Change Orders recommended by ENGINEER (or Written Amendments) covering:
    - A. changes in the Work which are:
      - 1. ordered by OWNER pursuant to paragraph 10.01.A,
        - 2. required because of acceptance of defective Work under paragraph 13.08.A or OWNER's correction of defective Work under paragraph 13.09,
        - 3. agreed to by the parties;
    - B. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive; and
    - C. changes in the Contract Price or Contract Times which embody the substance of any written decision rendered by ENGINEER pursuant to paragraph 10.05; provided that, in lieu of executing any such Change Order, an appeal may be taken from any such decision in accordance with the provisions of the Contract Documents and applicable Laws and Regulations, but during any such appeal, CONTRACTOR shall carry on the Work and adhere to the progress schedule as provided in paragraph 6.18.A.

## 10.04 *Notification to Surety:*

A. If notice of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times) is required by the provisions of any Bond to be given to a surety, the giving of any such

notice will be CONTRACTOR's responsibility. The amount of each applicable Bond will be adjusted to reflect the effect of any such change.

## 10.05 Claims and Disputes:

- A. Notice: Written notice stating the general nature of each Claim, dispute, or other matter shall be delivered by the claimant to ENGINEER and the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto. Notice of the amount or extent of the Claim, dispute, or other matter with supporting data shall be delivered to the ENGINEER and the other party to the Contract within 60 days after the start of such event (unless ENGINEER allows additional time for claimant to submit additional or more accurate data in support of such Claim, dispute, or other matter). A Claim for an adjustment in Contract Price shall be prepared in accordance with the provisions of paragraph 12.01.B. A Claim for an adjustment in Contract Time shall be accompanied by claimant's written statement that the adjustment claimed is the entire adjustment to which the claimant believes it is entitled as a result of said event. The opposing party shall submit any response to ENGINEER and the claimant within 30 days after receipt of the claimant's last submittal (unless ENGINEER allows additional time}.
- B. ENGINEER's Decision: ENGINEER will render a formal decision in writing within 30 days after receipt of the last submittal of the claimant or the last submittal of the opposing party, if any. ENGINEER's written decision on such Claim, dispute, or other matter will be final and binding upon OWNER and CONTRACTOR unless:
  - 1. an appeal from ENGINEER's decision is taken within the time limits and in accordance with the dispute resolution procedures set forth in Article 16; or
  - 2. if no such dispute resolution procedures have been set forth in Article 16, a written notice of intention to appeal from ENGINEER's written decision is delivered by OWNER or CONTRACTOR to the other and to ENGINEER within 30 days after the date of such decision, and a formal proceeding is instituted by the appealing party in a forum of competent jurisdiction within 60 days after the date of such decision or within 60 days after Substantial Completion, whichever is later (unless otherwise agreed in writing by OWNER and CONTRACTOR), to exercise such rights or remedies as the appealing party may have with respect to such Claim, dispute, or other matter in accordance with applicable Laws and Regulations.
- C. If ENGINEER does not render a formal decision in writing within the time stated in paragraph 10.05.B, a decision denying the Claim in its entirety shall be deemed to have been issued 31 days after receipt of the last submittal of the claimant or the last submittal of the opposing party, if any.
- D. No Claim for an adjustment in Contract Price or Contract Times (or Milestones) will be valid if not submitted in accordance with this paragraph 10.05.

## ARTICLE 11 - COST OF THE WORK; CASH ALLOWANCES; UNIT PRICE WORK

- 11.01 Cost of the Work:
  - A. Costs Included: The term Cost of the Work means the sum of all costs necessarily incurred and paid by CONTRACTOR in the proper performance of the Work. When the value of any Work covered by a Change Order or when a Claim for an adjustment in Contract Price is determined on the basis of Cost of the Work, the costs to be reimbursed to CONTRACTOR will be only those additional or incremental costs required because of the change in the Work or because of the event giving rise to the Claim. Except as otherwise may be agreed to in writing by OWNER, such costs shall be in amounts no higher than those prevailing in the locality of the Project, shall include only the following items, and shall not include any of the costs itemized in paragraph 11.01.B.

- 1. Payroll costs for employees in the direct employ of CONTRACTOR in the performance of the Work under schedules of job classifications agreed upon by OWNER and CONTRACTOR. Such employees shall include without limitation superintendents, foremen, and other personnel employed full time at the Site. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by OWNER.
- 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to CONTRACTOR unless OWNER deposits funds with CONTRACTOR with which to make payments, in which case the cash discounts shall accrue to OWNER. All trade discounts, rebates and refunds and returns from sale of surplus materials and equipment shall accrue to OWNER, and CONTRACTOR shall make provisions so that they may be obtained.
- 3. Payments made by CONTRACTOR to Subcontractors for Work performed by Subcontractors. If required by OWNER, CONTRACTOR shall obtain competitive bids from subcontractors acceptable to OWNER and CONTRACTOR and shall deliver such bids to OWNER, who will then determine, with the advice of ENGINEER, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as CONTRACTOR's Cost of the Work and fee as provided in this paragraph 11.01.
- 4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
- 5. Supplemental costs including the following:
  - a. The proportion of necessary transportation, travel, and subsistence expenses of CONTRACTOR's employees incurred in discharge of duties connected with the Work.
  - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of CONTRACTOR.
  - c. Rentals of all construction equipment and machinery, and the parts thereof whether rented from CONTRACTOR or others in accordance with rental agreements approved by OWNER with the advice of ENGINEER, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
  - d. Sales, consumer, use, and other similar taxes related to the Work, and for which CONTRACTOR is liable, imposed by Laws and Regulations.
  - e. Deposits lost for causes other than negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or

for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.

- f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by CONTRACTOR in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with paragraph 5.06.D), provided such losses and damages have resulted from causes other than the negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of OWNER. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining CONTRACTOR's fee.
- g. The cost of utilities, fuel, and sanitary' facilities at the Site.
- h. Minor expenses such as telegrams, long distance telephone calls, telephone service at the Site, expressage, and similar petty cash items in connection with the Work.
- i. When the Cost of the Work is used to determine the value of a Change Order or of a Claim, the cost of premiums for additional Bonds and insurance required because of the changes in the Work or caused by the event giving rise to the Claim.
- j. When all the Work is performed on the basis of cost-plus, the costs of premiums for all Bonds and insurance CONTRACTOR is required by the Contract Documents to purchase and maintain.
- B. Costs Excluded: The term Cost of the Work shall not include any of the following items:
  - 1. Payroll costs and other compensation of CONTRACTOR's officers, executives, principals (of partnerships and sole proprietorships), general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expediters, timekeepers, clerks, and other personnel employed by CONTRACTOR, whether at the Site or in CONTRACTOR's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in paragraph 11.01.A.1 or specifically covered by paragraph 11.01.A.4, all of which are to be considered administrative costs covered by the CONTRACTOR's fee.
  - 2. Expenses of CONTRACTOR's principal and ·branch offices other than CONTRACTOR's office at the Site.
  - Any part of CONTRACTOR's capital expenses, including interest on CONTRACTOR's capital employed for the Work and charges against CONTRACTOR for delinquent payments.
  - 4. Costs due to the negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
  - 5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in paragraphs 11.01.A and 11.01.B.
- C. CONTRACTOR's Fee: When all the Work is performed on the basis of cost-plus, CONTRACTOR's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order or when a Claim for an adjustment in Contract Price

is determined on the basis of Cost of the Work, CONTRACTOR's fee shall be determined as set forth in paragraph 12.01.C.

D. Documentation: Whenever the Cost of the Work for any purpose is to be determined pursuant to paragraphs 11.01.A and 11.01.B, CONTRACTOR will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to ENGINEER an itemized cost breakdown together with supporting data.

### 11.02 Cash Allowances:

- A. It is understood that CONTRACTOR has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums as may be acceptable to OWNER and ENGINEER. CONTRACTOR agrees that:
  - 1. the allowances include the cost to CONTRACTOR (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
  - 2. CONTRACTOR's costs for unloading and handling on the Site, labor, installation costs, overhead, profit, and other expenses contemplated for the allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.
- B. Prior to final payment, an appropriate Change Order will be issued as recommended by ENGINEER to reflect actual amounts due CONTRACTOR on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.
- 11.03 Unit Price Work:
  - A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Determinations of the actual quantities and classifications of Unit Price Work performed by CONTRACTOR will be made by ENGINEER subject to the provisions of paragraph 9.08.
  - B. Each unit price will be deemed to include an amount considered by CONTRACTOR to be adequate to cover CONTRACTOR's overhead and profit for each separately identified item.
  - C. The unit price of an item of Unit Price Work shall be subject to reevaluation and adjustment under the following conditions.
    - 1. If the total cost of a particular item of Unit Price Work amounts to 15% or more of the Contract Price and the variation in the quantity of that particular item of Unit Price work performed by CONTRACTOR differs by more than 15% from the estimated quantity of such item indicated in the Agreement; and
    - If there is no corresponding adjustment with respect to any other item of Work; and
    - 3. If CONTRACTOR believes that CONTRACTOR has incurred additional expense as a result thereof; or if OWNER believes that the quantity variation entitles OWNER to an adjustment in the unit price, either OWNER or CONTRACTOR may make a claim for an adjustment in the Contract Price in accordance with Article 10 if the parties are unable to agree as to the effect of any such variations in the quantity of Unit Price

Work performed.

## ARTICLE 12 - CHANGE OF CONTRACT PRICE: CHANGE OF CONTRACT TIMES

- 12.01 Change of Contract Price:
  - A. The Contract Price may only be changed by a Change Order or by a Written Amendment. Any Claim for an adjustment in the Contract Price shall be based on written notice submitted by the party making the Claim to the ENGINEER and the other party to the Contract in accordance with the provisions of paragraph 10.05.
  - B. The value of any Work covered by a Change Order or of any Claim for an adjustment in the Contract Price will be determined as follows:
    - 1. where the Work involved is covered by unit prices contained in the Contract Documents, by application of such unit prices to the quantities of the items involved (subject to the provisions of paragraph 11.03); or
    - 2. where the Work involved is not covered by unit prices contained in the Contract Documents, by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with paragraph 12.01.C.2); or
    - 3. where the Work involved is not covered by unit prices contained in the Contract Documents and agreement to a lump sum is not reached under paragraph 12.01.B.2, on the basis of the Cost of the Work (determined as provided in paragraph 11.01) plus a CONTRACTOR's fee for overhead and profit (determined as provided in paragraph 12.01.C).
  - C. CONTRACTOR's Fee: The CONTRACTOR's fee for overhead and profit shall be determined as follows:
    - 1. a mutually acceptable fixed fee; or
    - 2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
      - a. for costs incurred under paragraphs 11.01.A.1 and 11.01.A.2, the CONTRACTOR's fee shall be 15 percent;
      - b. for costs incurred under paragraph 11.01.A.3, the CONTRACTOR's fee shall be five percent;
      - c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of paragraph 12.01.C.2.a is that the Subcontractor who actually performs the Work, at whatever tier, will be paid a fee of 15 percent of the costs incurred by such Subcontractor under paragraphs 11.01.A.1 and 11.01.A.2 and that any higher tier Subcontractor and CONTRACTOR will each be paid a fee of five percent of the amount paid to the next lower tier Subcontractor;
      - d. no fee shall be payable on the basis of costs itemized under paragraphs 11.01.A.4, 11.01.A.5, and 11.01.B;
      - e. the amount of credit to be allowed by CONTRACTOR to OWNER for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in CONTRACTOR's fee by an amount equal to five percent of such net decrease;
      - f. when both additions and credits are involved in any one change, the adjustment in CONTRACTOR's fee shall be computed on the basis of the net change in accordance with paragraphs 12.01.C.2.a through 12.01.C.2.e, inclusive.
  - 12.02 Change of Contract Times:
    - B. The Contract Times (or Milestones) may only be changed by a Change Order or by a

Written Amendment. Any Claim for an adjustment in the Contract Times (or Milestones) shall be based on written notice submitted by the party making the claim to the ENGINEER and the other party to the Contract in accordance with the provisions of paragraph 10.05.

C. Any adjustment of the Contract Times (or Milestones) covered by a Change Order or of any Claim for. an adjustment in the Contract Times (or Milestones) will be determined in accordance with the provisions of this Article 12.

## 12.03 Delays Beyond CONTRACTOR's Control:

A. Where CONTRACTOR is prevented from completing any part of the Work within the Contract Times (or Milestones) due to delay beyond the control of CONTRACTOR, the Contract Times (or Milestones) will be extended in an amount equal to the time lost due to such delay if a Claim is made therefor as provided in paragraph 12.02.A. Delays beyond the control of CONTRACTOR shall include, but not be limited to, acts or neglect by OWNER, acts or neglect of utility owners or other contractors performing other work as contemplated by Article 7, fires, floods, epidemics, abnormal weather conditions, or acts of God.

### 12.04 Delays Within CONTRACTOR's Control:

- A. The Contract Times (or Milestones) will not be extended due to delays within the control of CONTRACTOR. Delays attributable to and within the control of a Subcontractor or Supplier shall be deemed to be delays within the control of CONTRACTOR.
- 12.05 Delays Beyond OWNER's and CONTRACTOR's Control:
  - A. Where CONTRACTOR is prevented from completing any part of the Work within the Contract Times (or Milestones) due to delay beyond the control of both OWNER and CONTRACTOR, an extension of the Contract Times (or Milestones) in an amount equal to the time lost due to such delay shall be CONTRACTOR's sole and exclusive remedy for such delay.
- 12.06 *Delay Damages:* 
  - A. In no event shall OWNER or ENGINEER be liable to CONTRACTOR, any Subcontractor, any Supplier, or any other person or organization, or to any surety for or employee or agent of any of them, for damages arising out of or resulting from:
    - 1. delays caused by or within the control of CONTRACTOR; or
    - 2. delays beyond the control of both OWNER and CONTRACTOR including but not limited to fires, floods, epidemics, abnormal weather conditions, acts of God, or acts or neglect by utility owners or other contractors performing other work as contemplated by Article 7.
  - B. Nothing in this paragraph 12.06 bars a change in Contract Price pursuant to this Article 12 to compensate CONTRACTOR due to delay, interference, or disruption directly attributable to actions or inactions of OWNER or anyone for whom OWNER is responsible.

# ARTICLE 13 - TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

- 13.01 *Notice* of *Defects:* 
  - A. Prompt notice of all defective Work of which OWNER or ENGINEER has actual knowledge will be given to CONTRACTOR. All defective Work may be rejected, corrected, or accepted as provided in this Article 13.
- 13.02 Access to Work:
  - A. OWNER, ENGINEER, ENGINEER's Consultants, other representatives and personnel of OWNER, independent testing laboratories, and governmental agencies with jurisdictional interests will have access to the Site and the Work at reasonable times for their observation, inspecting, and testing. CONTRACTOR shall provide them proper and safe conditions for such access and advise them of CONTRACTOR's Site safety procedures and programs so

that they may comply therewith as applicable.

#### 13.03 Tests and Inspections:

- A. CONTRACTOR shall give ENGINEER timely notice of readiness of the Work for all required inspections, tests, or approvals and shall cooperate with inspection and testing personnel to facilitate required inspections or tests.
- B. OWNER shall employ and pay for the services of an independent testing laboratory to perform all inspections, tests, or approvals required by the Contract Documents except:
  - 1. for inspections, tests, or approvals covered by paragraphs 13.03.C and 13.03.D below;
  - 2. that costs incurred in connection with tests or inspections conducted pursuant to paragraph 13.04.B shall be paid as provided in said paragraph 13.04.B; and
  - 3. as otherwise specifically provided in the Contract Documents.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, CONTRACTOR shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish ENGINEER the required certificates of inspection or approval.
- D. CONTRACTOR shall be responsible for arranging and obtaining and shall pay all costs in connection with any inspections, tests, or approvals required for OWNER's and ENGINEER's acceptance of materials or equipment to be incorporated in the Work; or acceptance of materials, mix designs, or equipment submitted for approval prior to CONTRACTOR's purchase thereof for incorporation in the Work. Such inspections, tests, or approvals shall be performed by organizations acceptable to OWNER and ENGINEER.
- E. If any Work (or the work of others} that is to be inspected, tested, or approved is covered by CONTRACTOR without written concurrence of ENGINEER, it must, if requested by ENGINEER, be uncovered for observation.
- F. Uncovering Work as provided in paragraph 13.03.E shall be at CONTRACTOR's expense unless CONTRACTOR has given ENGINEER timely notice of CONTRACTOR's intention to cover the same and ENGINEER has not acted with reasonable promptness in response to such notice.
- 13.04 Uncovering Work:
  - A. If any Work is covered contrary to the written request of ENGINEER, it must, if requested by ENGINEER, be uncovered for ENGINEER'S observation and replaced at CONTRACTOR's expense.
  - B. If ENGINEER considers it necessary or advisable that covered Work be observed by ENGINEER or inspected or tested by others, CONTRACTOR, at ENGINEER's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as ENGINEER may require, that portion of the Work in guestion, furnishing all necessary labor, material, and equipment. If it is found that such Work is defective, CONTRACTOR shall pay all Claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs} arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others}; and OWNER shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount thereof, OWNER may make a Claim therefore as provided in paragraph 10.05. If, however, such Work is not found to be defective. CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Times (or Milestones), or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or

extent thereof, CONTRACTOR may make a Claim therefore as provided in paragraph 10.05.

- 13.05 OWNER May Stop the Work:
  - A. If the Work is defective, or CONTRACTOR fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, OWNER may order CONTRACTOR to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of OWNER to stop the Work shall not give rise to any duty on the part of OWNER to exercise this right for the benefit of CONTRACTOR, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

#### 13.06 Correction or Removal of Defective Work:

- A. CONTRACTOR shall correct all defective Work, whether or not fabricated, installed, or completed, or, if the Work has been rejected by ENGINEER, remove it from the Project and replace it with Work that is not defective. CONTRACTOR shall pay all Claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or removal (including but not limited to all costs of repair or replacement of work of others).
- 13.07 Correction Period:
  - A. If within one year after the date of Acceptance of the project or such longer period of time as may be prescribed by Laws or Regulations or by the terms of any applicable special guarantee required by the Contract Documents or by any specific provision of the Contract Documents, any Work is found to be defective, or if the repair of any damages to the land or areas made available for CONTRACTOR's use by OWNER or permitted by Laws and Regulations as contemplated in paragraph 6.11.A is found to be defective, CONTRACTOR shall promptly, without cost to OWNER and in accordance with OWNER's written instructions:
    - 1. repair such defective land or areas, or
    - 2. correct such defective Work or, if the defective Work has been rejected by OWNER, remove it from the Project and replace it with Work that is not defective, and
    - 3. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others or other land or areas resulting therefrom. If CONTRACTOR does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, OWNER may have the defective Work corrected or repaired or may have the rejected Work removed and replaced, and all Claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs} arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others} will be paid by CONTRACTOR.
  - B. In special circumstances where a particular item of equipment is placed in continuous service before Acceptance of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications or by Written Amendment.
  - C. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph 13.07, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

- D. CONTRACTOR's obligations under this paragraph 13.07 are in addition to any other obligation or warranty. The provisions of this paragraph 13.07 shall not be construed as a substitute for or a waiver of the provisions of any applicable statute of limitation or repose.
- 13.08 Acceptance of Defective Work:
  - Α. If, instead of requiring correction or removal and replacement of defective Work, OWNER (and, prior to ENGINEER's recommendation of final payment, ENGINEER) prefers to accept it, OWNER may do so. CONTRACTOR shall pay all Claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) attributable to OWNER's evaluation of and determination to accept such defective Work (such costs to be approved by ENGINEER as to reasonableness) and the diminished value of the Work to the extent not otherwise paid by CONTRACTOR pursuant to this sentence. If any such acceptance occurs prior to ENGINEER's recommendation of final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work, and OWNER shall be entitled to an appropriate decrease in the Contract Price, reflecting the diminished value of Work so accepted. If the parties are unable to agree as to the amount thereof, OWNER may make a Claim therefore as provided in paragraph 10.05. If the acceptance occurs after such recommendation, an appropriate amount will be paid by CONTRACTOR to OWNER.
- 13.09 OWNER May Correct Defective Work:
  - A. If CONTRACTOR fails within a reasonable time after written notice from ENGINEER to correct defective Work or to remove and replace rejected Work as required by ENGINEER in accordance with paragraph 13.06.A, or if CONTRACTOR fails to perform the Work in accordance with the Contract Documents, or if CONTRACTOR fails to comply with any other provision of the Contract Documents, OWNER may, after seven days written notice to CONTRACTOR, correct and remedy any such deficiency.
  - B. In exercising the rights and remedies under this paragraph, OWNER shall proceed expeditiously. In connection with such corrective and remedial action, OWNER may exclude CONTRACTOR from all or part of the Site, take possession of all or part of the Work and suspend CONTRACTOR's services related thereto, take possession of CONTRACTOR's tools, appliances, construction equipment and machinery at the Site, and incorporate in the Work all materials and equipment stored at the Site or for which OWNER has paid CONTRACTOR but which are stored elsewhere. CONTRACTOR shall allow OWNER, OWNER's representatives, agents and employees, OWNER's other contractors, and ENGINEER and ENGINEER's Consultants access to the Site to enable OWNER to exercise the rights and remedies under this paragraph.
  - C. All Claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred or sustained by OWNER in exercising the rights and remedies under this paragraph 13.09 will be charged against CONTRACTOR, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and OWNER shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount of the adjustment, OWNER may make a Claim therefore as provided in paragraph 10.05. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of CONTRACTOR's defective Work.
  - D. CONTRACTOR shall not be allowed an extension of the Contract Times (or Milestones) because of any delay in the performance of the Work attributable to the exercise by OWNER of OWNER's rights and remedies under this paragraph 13.09.

# ARTICLE 14 - PAYMENTS TO CONTRACTOR AND COMPLETION

- 14.01 Schedule of Values:
  - A. The schedule of values established as provided in paragraph 2.07.A will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to ENGINEER. Progress payments on account of Unit\_Price Work will be based on the number of units completed.
- 14.02 *Progress Payments:* 
  - A. Applications for Payments:
    - 1. At least 20 days before the date established for each progress payment (but not more often than once a month), CONTRACTOR shall submit to ENGINEER for review an Application for Payment filled out and signed by CONTRACTOR covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that OWNER has received the materials and equipment are covered by appropriate property insurance or other arrangements to protect OWNER's interest therein, all of which must be satisfactory to OWNER.
    - 2. Beginning with the second Application for Payment, each Application shall include an affidavit of CONTRACTOR stating that all previous progress payments received on account of the Work have been applied on account to discharge CONTRACTOR's legitimate obligations associated with prior Applications for Payment.
    - 3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.
  - B. Review of Applications:
    - 1. ENGINEER will, within 10 days after receipt of each Application for Payment, either indicate in writing a recommendation of payment and present the Application to OWNER or return the Application to CONTRACTOR indicating in writing ENGINEER's reasons for refusing to recommend payment. In the latter case, CONTRACTOR may make the necessary corrections and resubmit the Application.
    - 2. ENGINEER's recommendation of any payment requested in an Application for Payment will constitute a representation by ENGINEER to OWNER, based on ENGINEER's observations on the Site of the executed Work as an experienced and qualified design professional and on ENGINEER's review of the Application for Payment and the accompanying data and schedules, that to the best of ENGINEER's knowledge, information, and belief:
      - a. the Work has progressed to the point indicated;
      - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, to the results of any subsequent tests called for in the Contract Documents, to a final determination of quantities and classifications for Unit Price Work under paragraph 9.08, and to any other qualifications stated in the recommendation); and
      - c. the conditions precedent to CONTRACTOR's being entitled to such payment appear to have been fulfilled in so far as it is ENGINEER's responsibility to observe the Work.

- 3. By recommending any such payment ENGINEER will not thereby be deemed to have represented that:
  - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to ENGINEER in the Contract Documents; or
  - b. that there may not be other matters or issues between the parties that might entitle CONTRACTOR to be paid additionally by OWNER or entitle OWNER to withhold payment to CONTRACTOR.
- 4. Neither ENGINEER's review of CONTRACTOR's Work for the purposes of recommending payments nor ENGINEER's recommendation of any payment, including final payment, will impose responsibility on ENGINEER to supervise, direct, or control the Work or for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for CONTRACTOR's failure to comply with Laws and Regulations applicable to CONTRACTOR's performance of the Work. Additionally, said review or recommendation will not impose responsibility on ENGINEER to make any examination to ascertain how or for what purposes CONTRACTOR has used the moneys paid on account of the Contract Price, or to determine that title to any of the Work, materials, or equipment has passed to OWNER free and clear of any Liens.
- 5. ENGINEER may refuse to recommend the whole or any part of any payment if, in ENGINEER's opinion, it would be incorrect to make the representations to OWNER referred to in paragraph 14.02.B.2. ENGINEER may also refuse to recommend any such payment or, because of subsequently discovered evidence or the results of subsequent inspections or tests, revise or revoke any such payment recommendation previously made, to such extent as may be necessary in ENGINEER's opinion to protect OWNER from loss because:
  - a. the Work is defective, or completed Work has been damaged, requiring correction or replacement;
  - b. the Contract Price has been reduced by Written Amendment or Change Orders;
  - c. OWNER has been required to correct defective Work or complete Work in accordance with paragraph 13.09; or
  - d. ENGINEER has actual knowledge of the occurrence of any of the events enumerated in paragraph 15.02.A.
- C. Payment Becomes Due:
  - 1. Ten days after presentation of the Application for Payment to OWNER with ENGINEER's recommendation, the amount recommended will (subject to the provisions of paragraph 14.02.D) become due, and when due will be paid by OWNER to CONTRACTOR.
- D. Reduction in Payment:
  - 1. OWNER may refuse to make payment of the full amount recommended by ENGINEER because:
    - a. claims have been made against OWNER on account of CONTRACTOR's performance or furnishing of the Work;
    - b. Liens have been filed in connection with the Work, except where CONTRACTOR has delivered a specific Bond satisfactory to OWNER to secure the satisfaction and discharge of such Liens;

- c. there are other items entitling OWNER to a set-off against the amount recommended; or
- d. OWNER has actual knowledge of the occurrence of any of the events enumerated in paragraphs 14.02.B.5.a through 14.02.B.5.c or paragraph 15.02.A.
- 2. If OWNER refuses to make payment of the full amount recommended by ENGINEER, OWNER must give CONTRACTOR immediate written notice (with a copy to ENGINEER) stating the reasons for such action and promptly pay CONTRACTOR any amount remaining after deduction of the amount so withheld. OWNER shall promptly pay CONTRACTOR the amount so withheld, or any adjustment thereto agreed to by OWNER and CONTRACTOR, when CONTRACTOR corrects to OWNER's satisfaction the reasons for such action.
- 3. If it is subsequently determined that OWNER's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by paragraph 14.02.C.1.
- 14.03 CONTRACTOR's Warranty of Title:
  - A. CONTRACTOR warrants and guarantees that title to all Work, materials, and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to OWNER no later than the time of payment free and clear of all Liens.
- 14.04 Substantial Completion:
  - A. When CONTRACTOR considers the entire Work ready for its intended use CONTRACTOR shall notify OWNER and ENGINEER in writing that the entire Work is substantially complete (except for items specifically listed by CONTRACTOR as incomplete) and request that ENGINEER issue a certificate of Substantial Completion. Promptly thereafter, OWNER, CONTRACTOR, and ENGINEER shall make an inspection of the Work to determine the status of completion. If ENGINEER does not consider the Work substantially complete, ENGINEER will notify CONTRACTOR in writing giving the reasons therefore. If ENGINEER considers the Work substantially complete, ENGINEER will prepare and deliver to OWNER a tentative certificate of Substantial Completion which shall fix the date of Substantial Completion. There shall be attached to the certificate a tentative list of items to be

completed or corrected before final payment. OWNER shall have seven days after receipt of the tentative certificate during which to make written objection to ENGINEER as to any provisions of the certificate or attached list. If, after considering such objections, ENGINEER concludes that the Work is not substantially complete, ENGINEER will within 14 days after submission of the tentative certificate to OWNER notify CONTRACTOR in writing, stating the reasons therefore. If, after consideration of OWNER's objections, ENGINEER considers the Work substantially complete, ENGINEER will within said 14 days execute and deliver to OWNER and CONTRACTOR a definitive certificate of Substantial Completion (with a revised tentative list of items to be completed or corrected) reflecting such changes from the tentative

certificate as ENGINEER believes justified after consideration of any objections from OWNER. At the time of delivery of the tentative certificate of Substantial Completion ENGINEER will deliver to OWNER and CONTRACTOR a written recommendation as to division of responsibilities pending final payment between OWNER and CONTRACTOR with respect to security, operation, safety, and protection of the Work, maintenance, heat, utilities, insurance, and warranties and guarantees. Unless OWNER and CONTRACTOR agree otherwise in writing and so inform ENGINEER in writing prior to ENGINEER's issuing the definitive certificate of Substantial Completion, ENGINEER's aforesaid recommendation will be binding on OWNER and CONTRACTOR until final payment.

B. OWNER shall have the right to exclude CONTRACTOR from the Site after the date of Substantial Completion, but OWNER shall allow CONTRACTOR reasonable access to complete or correct items on the tentative list.

## 14.05 Partial Utilization:

- A. Use by OWNER at OWNER's option of any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which OWNER, ENGINEER, and CONTRACTOR agree constitutes a separately functioning and usable part of the Work that can be used by OWNER for its intended purpose without significant interference with CONTRACTOR's performance of the remainder of the Work, may be accomplished prior to Substantial Completion of all the Work subject to the following conditions.
  - OWNER at any time may request CONTRACTOR in writing to permit OWNER to 1. use any such part of the Work which OWNER believes to be ready for its intended use and substantially complete. If CONTRACTOR agrees that such part of the Work is substantially complete, CONTRACTOR will certify to OWNER and ENGINEER that such part of the Work is substantially complete and request ENGINEER to issue a certificate of Substantial Completion for that part of the Work. CONTRACTOR at any time may notify OWNER and ENGINEER in writing that CONTRACTOR considers any such part of the Work ready for its intended use and substantially complete and request ENGINEER to issue a certificate of Substantial Completion for that part of the Work. Within a reasonable time after either such request, OWNER, CONTRACTOR, and ENGINEER shall make an inspection of that part of the Work to determine its status of completion. If ENGINEER does not consider that part of the Work to be substantially complete. ENGINEER will notify OWNER and CONTRACTOR in writing giving the reasons therefore. If ENGINEER considers that part of the Work to be substantially complete, the provisions of paragraph 14.04 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
  - 2. No occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of paragraph 5.10 regarding property insurance.
- 14.06 *Final Inspection:* 
  - A. Upon written notice from CONTRACTOR that the entire Work or an agreed portion thereof is complete, ENGINEER will promptly make a final inspection with OWNER and CONTRACTOR and will notify CONTRACTOR in writing of all particulars in which this inspection reveals that the Work is incomplete or defective. CONTRACTOR shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

### 14.07 Final Payment:

- A. Application for Payment:
  - 1. After CONTRACTOR has, in the opinion of ENGINEER, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, Bonds, certificates or other evidence of insurance certificates of inspection, marked-up record documents (as provided in paragraph 6.12), and other documents, CONTRACTOR may make application for final payment following the procedure for progress payments.
  - 2. The final Application for Payment shall be accompanied (except as previously delivered) by:
    - a. all documentation called for in the Contract Documents, including but not limited to the evidence of insurance required by subparagraph 5.04.B.7;
    - b. consent of the surety, if any, to final payment; and
    - c. if requested by OWNER, complete and legally effective releases or waivers (satisfactory to OWNER) of all Lien rights arising out of or Liens filed in connection with the Work.

- 3. In lieu of the releases or waivers of Liens specified in paragraph 14.07.A2 and as approved by OWNER, CONTRACTOR may furnish receipts or releases in full and an affidavit of CONTRACTOR that:
  - a. the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and
  - b. all payrolls, material and equipment bills, and other indebtedness connected with the Work for which OWNER or OWNER's property might in any way be responsible have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, CONTRACTOR may furnish a Bond or other collateral satisfactory to OWNER to indemnify OWNER against any Lien.
- B. Review of Application and Acceptance:
  - 1. If, on the basis of ENGINEER's observation of the Work during construction and final inspection, and ENGINEER's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, ENGINEER is satisfied that the Work has been completed and CONTRACTOR's other obligations under the Contract Documents have been fulfilled, ENGINEER will, within ten days after receipt of the. final Application for Payment, indicate in writing ENGINEER's recommendation of payment and present the Application for Payment to OWNER for payment. At the same time ENGINEER will also give written notice to OWNER and CONTRACTOR that the Work is acceptable subject to the provisions of paragraph 14.09. Otherwise, ENGINEER will return the Application for Payment to CONTRACTOR, indicating in writing the reasons for refusing to recommend final payment, in which case CONTRACTOR shall make the necessary corrections and resubmit the Application for Payment.
- C. Payment Becomes Due:
  - 1. Thirty days after the presentation to OWNER of the Application for Payment and accompanying documentation, the amount recommended by ENGINEER will become due and, when due, will be paid by OWNER to CONTRACTOR.
- 14.08 Final Completion Delayed:
  - A. If, through no fault of CONTRACTOR, final completion of the Work is significantly delayed, and if ENGINEER so confirms, OWNER shall, upon receipt of CONTRACTOR's final Application for Payment and recommendation of ENGINEER, and without terminating the Agreement, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by OWNER for Work not fully completed or corrected is less than the retainage stipulated in the Agreement, and if Bonds have been furnished as required in paragraph 5.01, the written consent of the surety to the payment of the balance due for that portion for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.
- 14.09 Waiver of Claims:
  - A. The making and acceptance of final payment will constitute:
    - 1. a waiver of all Claims by OWNER against CONTRACTOR, except Claims arising from unsettled Liens, from defective Work appearing after final inspection pursuant to paragraph 14.06, from failure to comply with the Contract Documents or the terms of any special guarantees specified therein, or from CONTRACTOR's continuing obligations under the Contract Documents; and
    - 2. a waiver of all Claims by CONTRACTOR against OWNER other than those previously made in writing which are still unsettled.

# ARTICLE 15 - SUSPENSION OF WORK AND TERMINATION

- 15.01 OWNER May Suspend Work:
  - A. At any time and without cause, OWNER may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by notice in writing to CONTRACTOR and ENGINEER which will fix the date on which Work will be resumed. CONTRACTOR shall resume the Work on the date so fixed. CONTRACTOR shall be allowed an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension if CONTRACTOR makes a Claim therefore as provided in paragraph 10.05.
- 15.02 OWNER May Terminate for Cause:
  - A. The occurrence of any one or more of the following events will justify termination for cause:
    - 1. CONTRACTOR's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the progress schedule established under paragraph 2.07 as adjusted from time to time pursuant to paragraph 6.04);
    - CONTRACTOR's disregard of Laws or Regulations of any public body having jurisdiction;
    - 3. CONTRACTOR's disregard of the authority of ENGINEER; or
    - 4. CONTRACTOR's violation in any substantial way of any provisions of the Contract Documents.
  - Β. If one or more of the events identified in paragraph 15.02.A occur, OWNER may, after giving CONTRACTOR (and the surety, if any) seven days written notice, terminate the services of CONTRACTOR, exclude CONTRACTOR from the Site, and take possession of the Work and of all CONTRACTOR's tools, appliances, construction equipment, and machinery at the Site, and use the same to the full extent they could be used by CONTRACTOR (without liability to CONTRACTOR for trespass or conversion), incorporate in the Work all materials and equipment stored at the Site or for which OWNER has paid CONTRACTOR but which are stored elsewhere, and finish the Work as OWNER may deem expedient. In such case, CONTRACTOR shall not be entitled to receive any further payment until the Work is finished. If the unpaid balance of the Contract Price exceeds all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by OWNER arising out of or relating to completing the Work, such excess will be paid to CONTRACTOR. If such claims, costs, losses, and damages exceed such unpaid balance. CONTRACTOR shall pay the difference to OWNER. Such claims, costs, losses, and damages incurred by OWNER will be reviewed by ENGINEER as to their reasonableness and, when so approved by ENGINEER, incorporated in a Change Order. When exercising any rights or remedies under this paragraph OWNER shall not be required to obtain the lowest price for the Work performed.
  - C. Where CONTRACTOR's services have been so terminated by OWNER, the termination will not affect any rights or remedies of OWNER against CONTRACTOR then existing or which may thereafter accrue. Any retention or payment of moneys due CONTRACTOR by OWNER will not release CONTRACTOR from liability.
- 15.03 OWNER May Terminate For Convenience:
  - A. Upon seven days written notice to CONTRACTOR and ENGINEER, OWNER may, without cause and without prejudice to any other right or remedy of OWNER, elect to terminate the Contract. In such case, CONTRACTOR shall be paid (without duplication of any items):

- 1. for completed and acceptable Work executed *in* accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
- 2. for expenses sustained prior to the effective date of termination *in* performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses;
- 3. for all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred in settlement of terminated contracts with Subcontractors, Suppliers, and others; and
- 4. for reasonable expenses directly attributable to termination.
- B. CONTRACTOR shall not be paid on account of loss of anticipated profits or revenue or other economic loss arising out of or resulting from such termination.

## 15.04 CONTRACTOR May Stop Work or Terminate:

Α. If, through no act or fault of CONTRACTOR, the Work is suspended for more than 90 consecutive days by OWNER or under an order of court or other public authority, or ENGINEER fails to act on any Application for Payment within 30 days after it is submitted, or OWNER fails for 30 days to pay CONTRACTOR any sum finally determined to be due, then CONTRACTOR may, upon seven days written notice to OWNER and ENGINEER, and provided OWNER or ENGINEER do not remedy such suspension or failure within that time, terminate the Contract and recover from OWNER payment on the same terms as provided in paragraph 15.03. In lieu of terminating the Contract and without prejudice to any other right or remedy, if ENGINEER has failed to act on an Application for Payment within 30 days after *it* is submitted, or OWNER has failed for 30 days to pay CONTRACTOR any sum finally determined to be due, CONTRACTOR may, seven days after written notice to OWNER and ENGINEER, stop the Work until payment is made of all such amounts due CONTRACTOR, including interest thereon. The provisions of this paragraph 15.04 are not intended to preclude CONTRACTOR from making a Claim under paragraph 10.05 for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to CONTRACTOR's stopping the Work as permitted by this paragraph.

## **ARTICLE 16 - DISPUTE RESOLUTION**

- 16.01 Methods and Procedures:
  - A. Dispute resolution methods and procedures, if any, shall be as set forth in the Supplementary Conditions. If no method and procedure has been set forth, and subject to the provisions of paragraphs 9.09 and 10.05, OWNER and CONTRACTOR may exercise such rights or remedies as either may otherwise have under the Contract Documents or by Laws or Regulations in respect of any dispute.

## ARTICLE 17 - MISCELLANEOUS

- 17.01 Giving Notice:
  - A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if delivered in person to the individual or to a member of the firm or to an officer of the corporation for whom *it* is intended, or *if* delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.

- 17.02 Computation of Times:
  - A. When any period of time is referred to in the Contract Documents by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.
- 17.03 Cumulative Remedies:
  - A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract Documents, and the provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.
- 17.04 Survival of Obligations:
  - A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract Documents, as well as all continuing obligations indicated in the Contract Documents, will survive final payment, completion, and acceptance of the Work or termination or completion of the Agreement
- 17.05 Controlling Law:
  - A. This Contract is to be governed by the law of the state in which the Project is located.

#### ARTICLE 18 – OTHER REQUIREMENTS

- 18.01. Environmental Requirements
  - A. When constructing a project involving trenching and/or other related earth excavations, CONTRACTOR shall comply with the following environmental constraints:
    - 1. Wetlands -- When disposing of excess, spoil, or other construction materials on public or private property, CONTRACTOR shall not fill in or otherwise convert wetlands.
    - 2. Floodplains When disposing of excess, spoil, or other construction materials on public or private property, CONTRACTOR shall not fill in or otherwise convert 100-year floodplain areas delineated on the latest Federal Emergency Management Agency Floodplain Maps.
    - 3. Historic Preservation -- Any excavation by CONTRACTOR that uncovers an historical or archaeological artifact shall be immediately reported to OWNER. Construction shall be temporarily halted pending the notification process and further directions issued by OWNER after consultation with the State Historic Preservation Officer (SHPO).
    - 4. Endangered Species CONTRACTOR shall comply with the Endangered Species Act, which provides for the protection of endangered and/or threatened species and critical habitat. Should any evidence of the presence of endangered and/or threatened species or their critical habitat be brought to the attention of CONTRACTOR, CONTRACTOR will immediately report this evidence to OWNER. Construction shall be 00 7200-49

temporarily halted pending the notification process and further directions issued by OWNER after consultation with the U.S. Fish and Wildlife Service.

- 18.02 Clean Air Act and Water Pollution Control Act:
  - A. Where this Contract exceeds \$100,000 CONTRACTOR shall comply with all the requirements of the Clean Air Act (42 U.S.C. §7414) and Section 308 of the Water Pollution Control Act (33 U.S.C. §1318 relating to inspection, monitoring, entry, reports, and information, as well as all other requirements specified in Section 114 of the Clean Air Act and Section 308 of the Water Pollution Control Act and all regulations and guidelines issued there under after the award of the contract. In so doing the Contractor further agrees:
    - 1. As a condition for the award of contract, to notify the Owner of the receipt of any communication from the Environmental Protection Agency (EPA) indicating that a facility to be utilized in the performance of the contract is under consideration to be listed on the EPA list of Violating Facilities. Prompt notification is required prior to contract award.
    - 2. To certify that any facility to be utilized in the performance of any nonexempt contractor subcontract is not listed on the EPA list of Violating Facilities pursuant to 40 CFR Part 32 as of the date of contract award.
    - 3. To include or cause to be included the above criteria and the requirements in every nonexempt subcontract and that the Contractor will take such action as the Government may direct as a means of enforcing such provisions.
- 18.03 Wage Rate Decision, Labor Provisions and Other Agency Requirements:
  - A. During the performance of this Contract the CONTRACTOR must abide by the Davis-Bacon Act, Labor Provisions and other Federal, State, Local and Funding Agency requirements as included in Section 00 7400, <u>Federal. State, Local and Funding Requirements</u>.

## SECTION 01000 SPECIAL PROVISIONS

### PART 1 GENERAL

These Special Provisions amend or supplement the following Specifications and other provisions of the Contract Documents as indicated below. All provisions which are not so amended or supplemented remain in full force and effect.

The terms used in these Special Provisions will have the meanings indicated in the Specifications and Contract Documents. Additional terms used in these Special Provisions have the meanings indicated below, which are applicable to both the singular and plural thereof.

### 1.01 PROJECT CONTACT

- A. Name: Al Langdale
- B. Address: 3125 Portia Street, Lincoln, NE 68501
- C. Phone Number: 402-476-2279
- D. Cell: 402-432-6839
- E. Email: <u>alangdale@lpsnrd.org</u>

## 1.02 CONTRACT DESCRIPTION

- A. Contract Type: Construction contract will be for the construction of Base Bid.
- B. Alternate Items will be awarded at the discretion of the Owner.

### 1.03 PROJECT CONDITIONS

- A. Existing Utilities:
  - 1. There are utilities in the vicinity of the proposed work.
  - 2. CONTRACTOR shall notify the respective utility company(s) and/or "onecall notification center" before commencing work.
  - 3. Neither OWNER nor ENGINEER assumes any responsibility for utility locations being accurately shown, or not shown on the plans.
  - 4. Any reference to utilities in the plans is approximate. CONTRACTOR shall verify the location of any existing utilities within the vicinity of the proposed work.
  - 5. CONTRACTOR shall provide notification of intent to begin construction in advance to allow utility company(s) sufficient time to locate or relocate their utilities.
  - 6. Once the location of the utility(s) has been staked, located or marked, it shall be CONTRACTOR's responsibility to protect these stakes/markings. Any costs for restaking or remarking shall be CONTRACTOR's expense.
  - 7. CONTRACTOR shall avoid damaging any utility(s). Any such damage caused by CONTRACTOR, CONTRACTOR'S employees, subcontractors, suppliers or agents will be the responsibility of CONTRACTOR to repair at CONTRACTOR's expense. No additional compensation will be allowed for protecting utility(s) or for repair of any damage caused by CONTRACTOR, CONTRACTOR'S employees, subcontractors, suppliers, or agents.
  - 8. CONTRACTOR shall coordinate utility relocation or reconstruction with the appropriate utility company.

## 1.04 REPORTS

A. No reports were prepared for this project. Any reference to a report shall not apply.

### 1.05 PERMITS

A. No permits were needed for this project.

### 1.06 RIGHT-OF-WAY/EASEMENTS

- A. The majority of the project will be constructed on Lower Platte South NRD (LPSNRD) property.
- B. The staging area shown on the plans, is owned by the City of Lincoln. Any damage to this area shall be replaced by the CONTRACTOR at his own expense, and made acceptable to the City of Lincoln and the Lower Platte South NRD. CONTRACTOR shall limit the area of work and stored materials to be within 20 feet of the trail centerline, unless otherwise shown on plans.

### 1.07 UNIT PRICES

- A. Mobilization shall be subsidiary to project work.
- B. Any items or materials called out for on the plans or in these specifications that are not paid for directly or being done by the OWNER shall be considered subsidiary to direct pay items.
- C. Crushed Rock and Limestone Screenings:
  - 1. Section 32 1500 Aggregate Surfacing, paragraph 1.04B is removed and replaced with the following:
    - a. Crushed Rock and Crushed Limestone Screenings: The measurement and payment for furnishing and installing aggregate surfacing for the trail as shown in the plans or as directed by OWNER through ENGINEER, will be made based on linear feet of material placed. The CONTRACTOR shall provide load tickets for each load of surfacing. CONTRACTOR's unit price for aggregate surfacing shall include furnishing, delivering, spreading using an asphalt laydown machine, and compacting aggregate on an approved surface and includes furnishing the necessary material, labor, equipment, tools and incidentals necessary to complete the work.

### 1.08 CONTRACT DRAWINGS AND SPECIFICATIONS

- A. The Plans, Specifications, Proposal, Special Provisions and all supplementary documents are intended to describe the complete work and are essential parts of the Contract. All requirements occurring in any of them are binding.
- B. In cases where there is a discrepancy in the contract documents.
  - 1. Written dimensions take precedence over scaled dimensions on plans.
  - 2. Larger scale Drawings take precedence over smaller scale Drawings.
  - 3. Section 01 1000, Special Provisions, take precedence over the plans.
  - 4. Section 01 1000, Special Provisions, take precedence over other Specification Sections.
- C. Referenced Sections
  - 1. Any Specification Section that is referenced by another Specification Section and is not included in the project specifications (see table of contents for complete

listing) shall not apply to this project.

D. At least one copy of all Plans and Specifications shall be maintained by CONTRACTOR at the project site and these shall be accessible at all times to OWNER and ENGINEER.

## 1.09 SITE ADMINISTRATION

- A. CONTRACTOR shall be responsible for all areas of the site used by him and by all Sub-contractors in the performance of the work. He will exert full control over the actions of all employees and other persons with respect to the use and preservation of the property and existing facilities, except such controls as may be specifically reserved to OWNER or others. CONTRACTOR has the right to exclude from the site all persons who have no purpose related to the work or its inspection, and may require all persons on the site (except OWNER'S employees) to observe the same regulations as he requires of his employees.
- B. CONTRACTOR may use the area within the limited easements, right-of-way and property owned by OWNER, as shown on the plans, for storage and staging, but must not interfere with normal operations of OWNER, without prior written approval from OWNER.

### 1.10 POWER

A. All power for lighting, construction use, operation of CONTRACTOR's plant or equipment, or for any other use by CONTRACTOR, shall be provided by CONTRACTOR at his sole cost and expense and shall be considered incidental and subsidiary to other items of work for which direct payment is made. No separate payments will be made for this work.

### 1.11 TEMPORARY FACILITIES

- A. The following items specified in Section 01 5000 are not required on this project, but may be provided at CONTRACTOR's own cost.
  - 1. Field office
  - 2. Telephone service at
  - 3. Project identification
- B. Temporary Fencing will not be required.
- C. Temporary facilities shall be considered incidental and subsidiary to other items of work for which direct payment is made. No separate payments will be made for this work.

## 1.12 HISTORICAL AND ARCHAEOLOGICAL:

A. If, during the course of construction, evidence of deposits of historical or archaeological interest is found, CONTRACTOR shall cease operations affecting the find and shall notify OWNER. No further disturbance of the deposits shall ensue until CONTRACTOR has been notified by OWNER that CONTRACTOR may proceed. Compensation to CONTRACTOR, if any, for lost time or changes in construction resulting from the find shall be determined in accordance with changed or extra work provisions of the Contract Documents.

### PART 2 PRODUCTS

## 2.01 MATERIALS

- A. 1" Crusher Run Base Rock:
  - 1. Shall be crushed to 100% passing the 1-1/2" sieve.

- 2. Rock shall be spread evenly and compacted using an asphalt laydown machine. This can be done in one lift or two lifts.
- B. Crushed Limestone Screenings:
  - 1. Crushed limestone for surfacing shall conform to the requirements of Section 1033.02 and Table 1033.08 of the NDOT Standard Specification for Highway Construction.
  - 2. The gradation requirements for the crushed rock screenings shall be as follows:

Sieve Size	% Passing	
3/8"	100%	
#4	85-100%	
#8	53-85%	
#16	33-53%	

### **PART 3 EXECUTION**

### 3.01 ACCESS REQUIREMENTS

- A. Notices: CONTRACTOR shall provide notice to property owners and authorities:
  - A. CONTRACTOR shall notify owners of adjacent property and utilities when proceeding with the work that may affect them.
  - B. When it is necessary to temporarily deny access by owners or tenants to their property, or when any utility service connection must be interrupted, CONTRACTOR shall give notices sufficiently in advance to enable the affected persons to provide for their needs. Notices shall conform to any applicable local ordinance and, whether delivered orally or in writing, will include information concerning the interruption and instructions on how to limit their inconvenience.
  - C. Utilities and other concerned agencies shall be contacted at least 48 hours prior to cutting or closing streets or other traffic areas or excavating near underground utilities or pole lines.
  - D. CONTRACTOR shall regularly advise the local law enforcement, fire and rescue authorities of the project status and coordinate with them in a manner to maximize access to property in the construction area in event of an emergency.
- B. CONTRACTOR to maintain access for the residents of the area located within the area of the project, as much as possible. CONTRACTOR shall keep driveways and entrances serving adjacent properties clear and available to the property owner or occupant at all times. Do not use these areas for parking or storage of materials. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on site that obstructs access to property.
- C. CONTRACTOR shall at all times maintain public access to the neighboring buildings in the project area.
- D. CONTRACTOR shall provide temporary approaches and crossings of streets and sidewalks during construction operations. The temporary approaches and crossings shall be maintained by CONTRACTOR in good condition during construction operations.

- E. CONTRACTOR to arrange site and premises to allow work by others and OWNER.
- F. CONTRACTOR to limit shutdowns of utility services to 4 hours at a time and arrange with OWNER 48 hours in advance of any shutdowns.
- G. CONTRACTOR shall take all precautions not to damage buildings, utilities, sidewalks, drives, trees and property that is to remain in place during and after construction activities. CONTRACTOR shall be responsible for any damage and repair at CONTRACTOR's expense.
- H. CONTRACTOR shall provide their own fencing to maintain security of the site during construction. The entire site shall be secured at all times to deter entry to the site and prevent injuries to non-construction personnel.

### 3.02 VERIFY UTILITY LOCATION

- A. CONTRACTOR to verify depth and location of existing utilities prior to any excavation.
- B. CONTRACTOR to verify that the existing utilities are of the size and type shown in the plans prior to providing any connecting materials.

### 3.03 UNFAVORABLE CONSTRUCTION CONDITIONS

A. During unfavorable weather, wet ground or other unsuitable construction conditions, CONTRACTOR shall confine operations to work, which will not be affected adversely by such conditions. No portion of the work shall be constructed under conditions, which would adversely affect the quality or efficiency thereof, unless special means or precautions are taken by CONTRACTOR to perform the work in a proper and satisfactory manner.

### 3.04 TOPSOIL (NOT USED)

## 3.05 BARRICADES, LIGHTS AND TRAFFIC CONTROL

- A. General:
  - 1. All open trenches and other excavations shall have suitable barricades, signs, lights and other safe**guards** to provide adequate protection to the public. Obstructions such as material piles and equipment shall be provided with similar warning barricades, signs, lights and other safeguards.
  - 2. CONTRACTOR shall maintain traffic and shall provide and maintain traffic control devices in accordance with the contract documents.
  - 3. If there is no specific traffic control plan, then CONTRACTOR'S traffic control devices shall be in accordance with and shall be placed as required in the current edition of the Manual on Uniform Traffic Control Devices for Streets & Highways.
  - 4. Barricades, signs, lights and other safeguards shall be placed and maintained by CONTRACTOR during construction activities.
  - 5. CONTRACTOR shall provide all necessary devices for traffic control during construction.
- B. CONTRACTOR shall conduct operations so that all streets along the proposed project are left open to at least one lane of traffic at all times.
- C. Traffic control, including barricades, signs, lights and other safeguards shall be considered incidental and subsidiary to other items of work for which direct payment is made. No separate payments will be made for this work.

### 3.06 REMOVALS

A. It is not anticipated that CONTRACTOR will be required to remove concrete, asphalt and other permanent surfacing. Any removals required due to CONTRACTOR's

negligence will be at CONTRACTOR's expense.

- B. CONTRACTOR shall saw-cut existing concrete, asphalt, etc. to be removed and this sawing shall be considered incidental and subsidiary to the other items of work for which direct payment is made. No separate payments will be made for this work.
- C. Where sewer and storm sewer castings are removed by CONTRACTOR, care shall be taken when removing castings so they are suitable for future reuse. The castings shall be salvaged to OWNER and shall be delivered to a site designated by OWNER.

### 3.07 DISPOSAL OF REMOVALS

- A. Concrete: Any necessary concrete removals shall be disposed of by CONTRACTOR at his own disposal site.
- B. Asphalt: Any necessary asphalt removals shall be disposed of by CONTRACTOR at his own disposal site.
- C. Drainage Pipe: Any necessary pipe removals, the CONTRACTOR shall dispose of the pipe at CONTRACTOR's own disposal site.
- D. Trees: Any necessary trees, branches and stumps to be removed will be disposed of by CONTRACTOR at his own disposal site.
- E. Miscellaneous: CONTRACTOR shall be responsible for the disposal of any miscellaneous items at CONTRACTOR's own disposal site.

### 3.08 CONSTRUCTION STAKING- (NOT USED)

### 3.09 TESTING

- A. All material testing meeting specifications will be completed and paid for by the OWNER.
- B. OWNER will be responsible for hiring Testing Agency.

### 3.10 WATER

- A. CONTRACTOR shall make arrangements to obtain water from its own source, as necessary for construction of the work.
- B. If water is required to provide the proper moisture content for compaction, the transportation and distribution of water shall be considered incidental and subsidiary to other items of work for which direct payment is made.

### 3.11 TIME FRAME

A. Project will be completed between January 1, 2023 and March 31, 2023.

## 3.12 CLEANUP

- A. CONTRACTOR shall return all areas disturbed by construction of the project to the original grade or to the finish grade as shown on plans and shall restore the site to as clean and sightly condition as before the work began.
- B. CONTRACTOR shall keep the cleanup of the project current with the construction and shall not have any more than 300 feet of construction at any time during the project which has not been cleaned up.
- C. During construction, areas to be maintained for traffic shall be kept clear of all hazardous materials, including but not limited to construction debris, dust, and mud.
- D. The cleaning and sweeping of the streets in the construction area shall be completed prior to the completion of the project. The project cleanup shall be conducted to the satisfaction of OWNER and ENGINEER and shall be completed prior to final acceptance of the project.
- E. CONTRACTOR shall clean streets in project area whenever mud, dirt or debris is tracked onto to the streets as a result of the activities of CONTRACTOR, by his/her

employees, sub-contractors, suppliers or agents.

## END OF SECTION

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## **SECTION 01 2000**

## PRICE AND PAYMENT PROCEDURES

## PART 1 • GENERAL

### 1.01 SECTION INCLUDES

- A. Procedures for preparation and submittal of applications for progress payments.
- B. Documentation of changes in contract price and contract time.
- C. Change procedures.
- D. Correlation of CONTRACTOR submittals based on changes.
- E. Procedures for preparation and submittal of application for final payment.

### 1.02 RELATED SECTIONS

- A. Document 00 5000 Agreement: Contract price, retainages and monetary values of unit prices.
- B. Document 00 7200 General Conditions and Document 00 7300 Supplementary Conditions: Additional requirements for progress payments, final payment and changes in the work.
- C. Section 01 2200 Unit Prices: Payment and modification procedures relating to. unit prices.

### 1.03 SCHEDULE OF VALUES

- A. Submit a printed schedule for projects or items bid as a lump sum.
- B. Revise schedule to list approved change orders with each application for payment.

### 1.04 APPLICATIONS FOR PROGRESS PAYMENTS

- A. Payment Period: Submit at intervals stipulated in the agreement or arranged at preconstruction meeting.
- B. Present required information in typewritten form.
- C. Form: Provided by ENGINEER.
- D. Execute CONTRACTOR's certification by signature of authorized officer.
- E. Use data from approved schedule of values. Provide dollar value in each column for each line item for portion of work performed and for stored products.
- F. List each authorized change order as a separate line item, listing change order number and dollar amount as for an original item of work.
- G. Submit 4 copies of each application for payment to ENGINEER for review.
- H. Include the following with the application:
  - 1. Transmittal letter as specified for submittals in Section 01 3000 Administrative Requirements.

- 2. Construction progress schedule, revised and current as specified in Section 01 3000 Administrative Requirements.
- 3. Affidavits and invoices attesting to on and off-site stored materials.
- I. When ENGINEER requires substantiating information, submit data justifying dollar amounts in question. Provide 1 copy of data with cover letter for each copy of submittal. Show application number and date, and line item by number and description.

### 1.05 MODIFICATION PROCEDURES

- A. Submit name of the individual authorized to receive change documents and who shall be responsible for informing others in CONTRACTOR's or subcontractor's employ of changes to the work.
- B. ENGINEER shall advise of minor changes in the work not involving an adjustment to contract price or contract time as authorized by the conditions of the contract by issuing supplemental instructions on letter.
- C. Work Directive Change: ENGINEER may issue a document, signed by OWNER, instructing CONTRACTOR to proceed with a change in the work for subsequent inclusion in a change order.
  - 1. The document shall describe changes in the work and shall designate method of determining any change in contract price or contract time.
  - 2. Promptly execute the change in work.
- D. Notice of Change: ENGINEER may issue a document which includes a detailed description of a proposed change with supplementary or revised drawings and specifications and a change in contract time for executing the change. CONTRACTOR shall prepare and submit an estimated price quotation within 7 days.
- E. CONTRACTOR may propose a change by submitting a request for change to ENGINEER, describing the proposed change and its full effect on the work, with a statement describing the reason for the change and the effect on the contract price and contract time with full documentation.
- F. Computation of Change in Contract Amount:
  - 1. For change requested by ENGINEER for work falling under a fixed price contract, the amount shall be based on CONTRACTOR's price quotation.
  - 2. For change requested by CONTRACTOR, the amount shall be based on CONTRACTOR's request for a change order as approved by ENGINEER.
  - 3. For predetermined unit prices and quantities, the amount shall be based on the fixed unit prices.
- G. Substantiation of Costs: Provide full information required for evaluation.
  - 1. On request, provide the following data:
    - a. Quantities of products, labor and equipment.
    - b. Taxes, insurance and bonds.

- c. Overhead and profit.
- d. Justification for any change in contract time.
- e. Credit for deletions from contract, similarly documented.
- 2. Support each claim for additional costs with additional information:
  - a. Origin and date of claim.
  - b. Dates and times work was performed and by whom.
  - c. Time records and wage rates paid.
  - d. Invoices and receipts for products, equipment and subcontracts, similarly documented.
- H. Execution of Change Orders: ENGINEER shall issue change orders for signatures of parties as provided in the conditions of the contract.
- I. After execution of change order, promptly revise schedule of values and application for payment forms to record each authorized change order as a separate line item and adjust the contract price.
- J. Promptly revise progress schedules to reflect any change in contract time, revise sub-schedules to adjust times for other items of work affected by the change and resubmit.
- K. Promptly enter changes in project record documents.

## 1.06 APPLICATION FOR FINAL PAYMENT

- A. Prepare application for final payment as specified for progress payments, identifying total adjusted contract price, previous payments and sum remaining due.
- B. Application for final payment shall not be considered until the following have been accomplished:
  - 1. All closeout procedures specified in Section 01 7700 Project Closeout.
  - 2. Walk through with ENGINEER and OWNER.
  - 3. All punch list items completed.
  - 4. Acceptance signed. Full execution of the recommendation of acceptance.

## PART 2 - PRODUCTS (NOT USED)

## PART 3 - EXECUTION (NOT USED)

## END OF SECTION

# SECTION 01 2200 UNIT PRICES

#### PART 1 • GENERAL

#### 1.01 SECTION INCLUDES

- A. Measurement and payment criteria applicable to work performed under a unit price payment method or lump sum.
- B. Defect assessment and nonpayment for rejected work.

#### 1.02 COSTS INCLUDED

- A. Unit prices and lump sum prices included on the bid form shall include full compensation for all required labor, products, tools, equipment, plant, transportation, services and incidentals; erection, application or installation of an item of the work; and overhead and profit.
- B. Where a following technical specification attached to this contract document identifies a measurement and payment on an unit price basis or other method and no item is specifically listed on the bid form, such work shall be considered incidental to the contract. Full compensation shall be considered paid in listed bid items and no separate payment shall be made for incidental items of work including items not specifically identified as bid items.

#### 1.03 UNIT QUANTITIES SPECIFIED

- A. Quantities indicated in the bid form are for bidding and contract purposes only. Quantities and measurements of actual work shall determine the payment amount.
- B. Refer to Article 11, Section 00 7200, Standard General Conditions.

### 1.04 MEASUREMENT OF QUANTITIES

- A. Measurement methods delineated in the individual specification sections complement the criteria of this section. In the event of conflict, the requirements of the individual specification section govern.
- B. Take all measurements and compute quantities. Measurements and quantities shall be verified by ENGINEER.
- C. Assist by providing necessary equipment, workers and survey personnel as required.
- D. Measurement Devices:
  - 1. Weigh Scales: Inspected, tested and certified by the applicable state weights and measures department within the past year.
  - 2. Platform Scales: Of sufficient size and capacity to accommodate the conveying vehicle.
  - 3. Metering Devices: Inspected, tested and certified by the applicable state department within the past year.

- E. Measurement by Weight: Concrete reinforcing steel, rolled or formed steel or other metal shapes shall be measured by handbook weights to the nearest pound. Welded assemblies shall be measured by handbook or scale weight.
- F. Measurement by Volume: Measured by cubic dimension using mean length, width and height or thickness to the nearest cubic yard.
- G. Measurement by Area: Measured by square dimension using mean length and width or radius measured to the nearest square foot or square yard.
- H. Linear Measurement: Measured by linear dimension, at the item centerline or mean chord measured to the nearest foot.
- I. Lump Sum: For each described item. Includes materials, equipment, labor, products and incidentals to provide for a complete and functional system as described in the specifications.
- J. Established Quantity (EQ): Plan quantity not field measured.

### 1.05 PAYMENT

- A. Payment for work governed by unit prices shall be made on the basis of the actual measurements and quantities of work which is incorporated in or made necessary by the work and accepted by ENGINEER, multiplied by the unit sum/price.
- B. Payment shall not be made for any of the following:
  - 1. Products wasted or disposed of in a manner that is not acceptable.
  - 2. Products determined as unacceptable before or after placement.
  - 3. Products not completely unloaded from the transporting vehicle.
  - 4. Products placed beyond the lines and levels of the required work.
  - 5. Products remaining on hand after completion of the work.
  - 6. Loading, hauling and disposing of rejected products.

## 1.06 DEFECT ASSESSMENT

- A. Replace work, or portions of the work, not conforming to specified requirements.
- B. If, in the opinion of ENGINEER, it is not practical to remove and replace the work, ENGINEER shall direct one of the following remedies:
  - 1. The defective work may remain, but the unit sum/price shall be adjusted to a *new* sum/price at the discretion of ENGINEER and accepted by OWNER.
  - 2. The defective work shall be partially repaired as per the instructions of ENGINEER; and the unit sum/price shall be adjusted to a new sum/price at the discretion of ENGINEER and accepted by OWNER.
- C. The individual specification sections may modify these options or may identify a specific formula or percentage sum/price reduction.
- D. The authority of ENGINEER to assess the defect and identify payment adjustment is final.

# PART 2 • PRODUCTS (NOT USED) PART 3 • EXECUTION (NOT USED)

**END OF SECTION** 

.

### **SECTION 01 3000**

### ADMINISTRATIVE REQUIREMENTS

### PART 1 · GENERAL

### 1.01 SECTION INCLUDES

- A. Preconstruction meeting.
- B. Progress meetings.
- C. Construction progress schedule.
- D. Submittal procedures.
- E. Submittal schedule.
- F. Shop drawings.
- G. Project data.
- H. Samples.
- I. Engineers Action.
- J. Manufacturer's instructions.

### 1.02 RELATED SECTIONS

- A. Section 00 7200 Standard General Conditions of the Construction Contract.
- B. Section 01 1000 Special Provisions.

### 1.03 PROJECT COORDINATION

- A. Project Engineer: Lower Platte South Natural Resource District
- B. Cooperate with OWNER and ENGINEER to determine the availability of staging areas for field offices, storage of materials, parking of equipment, etc.
- C. During construction, coordinate use of site and facilities through OWNER and ENGINEER.
- D. Comply with ENGINEER's procedures for intra-project communications; submittals, reports and records, schedules, coordination drawings and recommendations; and resolution of ambiguities and conflicts.
- E. Comply with instructions of OWNER and ENGINEER for use of temporary utilities and construction facilities.
- F. Coordinate field engineering and layout work under instructions of the project ENGINEER.
- G. Make the following types of submittals to ENGINEER:
  - 1. Requests for interpretation.
  - 2. Requests for substitution.
  - 3. Shop drawings, product data and samples.
  - 4. Test and inspection reports.

- 5. Design data.
- 6. Manufacturer's instructions and field reports.
- 7. Applications for payment and change order requests.
- 8. Progress schedules.
- 9. Coordination drawings.
- 10. Closeout submittals.

## PART 2 - PRODUCTS (NOT USED)

## PART 3 - EXECUTION

## 3.01 PRECONSTRUCTION MEETING

- A. ENGINEER shall schedule a meeting after notice of award.
- B. Attendance Required:
  - 1. OWNER.
  - 2. ENGINEER.
  - 3. CONTRACTOR.
  - 4. Subcontractors.
  - 5. Utilities.
- C. Agenda:
  - 1. Designation of project representatives for OWNER, ENGINEER and CONTRACTOR.
  - 2. Procedures and processing of field decisions, submittals, substitutions, applications for payments, proposal request, change orders and contract closeout procedures.
  - 3. Project scheduling.
  - 4. Scheduling activities of subcontractors.
  - 5. Review scope of project and project specific items.
  - 6. Review other miscellaneous items as needed.
- D. Record minutes and distribute copies after meeting to participants.
- E. Refer to Article 2, Section 00 7200, Standard General Conditions for further requirements.

## 3.02 PROGRESS MEETINGS

- A. Schedule and administer meetings throughout progress of the work with a maximum of monthly intervals.
- B. ENGINEER shall make arrangements for meetings, prepare agenda with copies for participants and preside at meetings.
- C. Attendance Required: Job superintendent, major subcontractors and suppliers, OWNER and ENGINEER, as appropriate to agenda topics for each meeting.

- D. Agenda:
  - 1. Review minutes of previous meetings.
  - 2. Review of work progress.
  - 3. Field observations, problems and decisions.
  - 4. Identification of problems which impede planned progress.
  - 5. Review of submittals schedule and status of submittals.
  - 6. Review of off-site fabrication and delivery schedules.
  - 7. Maintenance of progress schedule.
  - 8. Corrective measures to regain projected schedules.
  - 9. Planned progress during succeeding work period.
  - 10. Coordination of projected progress.
  - 11. Maintenance of quality and work standards.
  - 12. Effect of proposed changes on progress schedule and coordination.
  - 13. Other business relating to work.
- E. CONTRACTOR shall record minutes and distribute copies within 2 days after meeting to participants, with 2 copies to ENGINEER and 1 copy each to OWNER, participants and those affected by decisions made.

## 3.03 CONSTRUCTION PROGRESS SCHEDULE

- A. Within 10 days after the effective date of the agreement, submit preliminary schedule defining planned operations for the first 60 days of work, with a general outline for remainder of work.
- B. If preliminary schedule requires revision after review, submit revised schedule within 10 days.
- C. Within 20 days after review of preliminary schedule, submit draft of proposed complete schedule for review.
  - 1. Include written certification that major contractors have reviewed and accepted proposed schedule.
- D. Within 10 days after joint review, submit complete schedule.
- E. Submit updated schedule with each application for payment.

## 3.04 SUBMITTAL PROCEDURES

- A. Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit each submittal sufficiently in advance of performance of related construction activities to avoid delay.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals and related activities that require sequential activity.
  - 2. Coordinate transmittal of different types of submittals for related elements of the work so processing will not be delayed by the need to review submittals concurrently for coordination.

- a. ENGINEER reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- 3. Processing: Allow sufficient review time so that installation will not be delayed as a result of the time required to process submittals, including time for resubmittals.
  - a. Allow 2 weeks for initial review. Allow additional time if processing must be delayed to permit coordination with subsequent submittals. ENGINEER shall promptly advise CONTRACTOR when a submittal being processed must be delayed for coordination.
  - b. If an intermediate submittal is \_necessary, process the same as the initial submittal.
  - c. Allow 2 weeks for reprocessing each submittal.
  - d. No extension of contract time shall be authorized because of failure to transmit submittals to ENGINEER sufficiently in advance of the work to permit processing.
- B. Submittal Preparation: Place a permanent label or title block on each submittal for identification. Indicate the name of the entity that prepared each submittal on the label or title block.
  - 1. Provide a space to record CONTRACTOR's review and approval markings and the action taken.
  - 2. Include the following information on the label for processing and recording action taken.
    - a. Project name.
    - b. Date.
    - c. Name and address of ENGINEER.
    - d. Name and address of CONTRACTOR.
    - e. Name and address of subcontractor.
    - f. Name and address of supplier.
    - g. Name of manufacturer.
    - h. Number and title of appropriate specification section.
    - i. Drawing number and detail references, as appropriate.
- C. Submittal Transmittal: Package each submittal appropriately for transmittal and handling. Transmit each submittal from CONTRACTOR to ENGINEER using a transmittal form. Submittals received from sources other than CONTRACTOR shall be returned without action.
- D. Copies Required: Submit 6 copies for review unless otherwise noted in the Special Provisions.

## 3.05 SUBMITTAL SCHEDULE

- A. After development and acceptance of CONTRACTOR'S construction schedule, prepare a complete schedule of submittals. Submit the schedule within 10 days of the date required for establishment of CONTRACTOR's construction schedule.
  - 1. Coordinate submittal schedule with the list of subcontracts, schedule of values and the list of products, as well as CONTRACTOR's construction schedule.
  - 2. Prepare the schedule in chronological order; include submittals required during the first 10 days of construction. Provide the following information:
    - a. Scheduled date for the first submittal.
    - b. Related section number.
    - c. Submittal category.
    - d. Name of subcontractor.
    - e. Description of the part of the work covered.
    - f. Scheduled date for resubmittal.
    - g. Scheduled date ENGINEER's final release or approval.
- B. Distribution: Following response to initial submittal, print and distribute copies to ENGINEER, OWNER, subcontractors and other parties required to comply with submittal dates indicated. Post copies in the project meeting room and field office.
  - 1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the work and are no longer involved in construction activities.
- C. Schedule Updating: Revise the schedule after each meeting or activity where revisions have been recognized or made. Issue the updated schedule concurrently with report of each meeting.
- D. Refer to Article 6.17, Section 00 7200, Standard General Conditions for further detail.

### 3.06 SHOP DRAWINGS

- A. Submit newly prepared information drawn to accurate scale. Highlight, circle or otherwise indicate deviations from the contract documents. Do not reproduce contract documents or copy standard information as the basis of shop drawings. Standard information prepared without specific reference to the project is not considered shop drawings.
- B. Shop drawings include fabrication and installation drawings, setting diagrams, schedules, patterns, templates and similar drawings. Include the following information:
  - 1. Dimensions.
  - 2. Identification of products and materials included.

- 3. Compliance with specified standards.
- 4. Notation of coordination requirements.
- 5. Notation of dimensions established by field measurement.
- 6. Sheet Size: Except for templates, patterns and similar full-size drawings, submit shop drawings on sheets at least 8 1/2 inches x 11 inches but no larger than 24 inches x 36 inches.
- 7. Initial Submittal: Submit 1 black line print for ENGINEER's review.
- 8. Submit 6 Black Line Prints: For review unless otherwise noted in the Special Provisions.
- 9. One of the prints returned shall be marked up and maintained as a "record document".
- 10. Do not use shop drawings without an appropriate final stamp indicating action taken in connection with construction.
- C. Coordination drawings are a special type of shop drawings that show the relationship and integration of different construction elements that require careful coordination during fabrication or installation to fit in the space provided or function as intended.
  - 1. Preparation of coordination drawings may include components previously shown in detail on shop drawings or product data.
  - 2. Submit coordination drawings for integration of different construction elements. Show sequences and relationships of separate components to avoid conflicts in use of space.
- D. Refer to Article 6.17, Section 00 7200, Standard General Conditions for further detail.

## 3.07 PRODUCT DATA

- A. Collect product data into a single submittal for each element of construction or system. Product data includes printed information such as manufacturer's installation instructions, catalog cuts, standard color charts, roughing-in diagrams and templates, standard wiring diagrams and performance curves. Where product data must be specially prepared because standard printed data is not suitable for use, submit as "shop drawings".
  - 1. Mark each copy to show applicable choices and options. Where printed product data includes information on several products, some of which are not required, mark copies to indicate the applicable information. Include the following information:
    - a. Manufacturer's printed recommendations.
    - b. Compliance with recognized trade association standards.
    - c. Compliance with recognized testing agency standards.
    - d. Application of testing agency labels and seals.
    - e. Notation of dimensions verified by field measurement.

- f. Notation of coordination requirements.
- 2. Do not submit product data until compliance with requirements of the contract documents has been confirmed.
- 3. Submittals: Submit 4 copies of each required submittal; submit 4 copies where required for maintenance manuals. ENGINEER shall retain one and shall return the other marked with action taken and corrections or modifications required.
  - a. Unless noncompliance with contract document provisions is observed, the submittal may serve as the final submittal.
- 4. Distribution: Furnish copies of final submittal to installers, subcontractors, suppliers, manufacturers, fabricators and others required for performance of construction activities. Show distribution on transmittal forms.
  - a. Do not proceed with installation until an applicable copy of product data applicable is in the installer's possession.
  - b. Do not permit use of unmarked copies of product data in connection with construction.

### 3.08 SAMPLES

- A. Submit full-size, fully fabricated samples cured and finished as specified and physically identical with the material or product proposed. Samples include partial sections of manufactured or fabricated components, cuts or containers of materials, color range sets, and swatches showing color, texture and pattern.
  - 1. Mount, display, or package samples in the manner specified to facilitate review of qualities indicated. Prepare samples to match ENGINEER's sample. Include the following:
    - a. Generic description of the sample.
    - b. Sample source.
    - c. Product name or name of manufacturer.
    - d. Compliance with recognized standards.
    - e. Availability and delivery time.
  - 2. Submit samples for review of kind, color, pattern and texture, for a final check of these characteristics with other elements, and for a comparison of these characteristics between the final submittal and the actual component as delivered and installed.
    - a. Where variation in color, pattern, texture or other characteristics are inherent in the material or product represented, submit multiple units (not less than 3) that show approximate limits of the variations.
    - b. Refer to other specification sections for requirements for samples that illustrate workmanship, fabrication techniques, details of assembly, connections, operation and similar construction characteristics.

- 3. Preliminary Submittals: Where samples are for selection of color, pattern, texture or similar characteristics from a range of standard choices, submit a full set of choices for the material or product.
  - a. Preliminary submittals shall be reviewed and returned with ENGINEER's mark indicating selection and other action.
- 4. Submittals: Except for samples illustrating assembly details, workmanship, fabrication techniques, connections, operation and similar characteristics, submit 4 sets; 1 shall be returned marked with the action taken.
- 5. Maintain sets of samples, as returned, at the project site for quality comparisons throughout the course of construction.
  - a. Unless noncompliance with contract document provisions is observed, the submittal may serve as the final submittal.
  - b. Sample sets may be used to obtain final acceptance of the construction associated with each set.
- B. Distribution of Samples: Prepare and distribute additional sets to subcontractors, manufacturers, fabricators, suppliers, installers and others as required for performance of the work. Show distribution on transmittal forms.
  - 1. Field samples specified in individual sections are special types of samples. Field samples are full-size examples erected on-site to illustrate finishes, coatings or finish materials and to establish the standard by which the work will be judged.
    - a. Comply with submittal requirements to the fullest extent possible. Process transmittal forms to provide a record of activity.
- C. Compliance with specified characteristics is CONTRACTOR's responsibility.
- D. Refer to Article 6.17, Section 00 7200, Standard General Conditions for further detail.

## 3.09 ENGINEER'S ACTION

- A. Review required submittals with reasonable promptness and in accordance with schedule, only for general conformance to design concept of project and compliance with information given in plans and specifications. Review shall not extend to means, methods, sequences, techniques, procedures of construction, safety precautions or programs incidental thereof. Review of a separate item as such shall not indicate approval of assembly in which item functions.
- B. Affix stamp and initials or signature and indicate requirements for resubmittal or review of submittal. ENGINEER's action on submittal is classified as follows:
  - 1. No Exceptions Taken: Submittal has been reviewed and appears to be in conformance with design concept of project and plans and specifications.
  - 2. Make Corrections Noted: Submittal has been reviewed and appears to be in conformance with design concept of project and plans and specifications, except as noted by ENGINEER.

- 3. Amend and Resubmit: Submittal has been reviewed and appears not to be in conformance with design concept of project and plans and specifications. CONTRACTOR shall make corrections as required by ENGINEER and resubmit for review.
- 4. Rejected See Remarks: Submittal has not been reviewed because submittal is otherwise substantially contrary to design concept of project and plans and specifications. CONTRACTOR shall revise submittal to correct defects and resubmit for review.
- 5. No action taken.
- C. Return submittals to CONTRACTOR.
- D. ENGINEER's review of submittals shall not relieve CONTRACTOR from responsibility for any deviations from plans and specifications unless CONTRACTOR has, in writing, called ENGINEER's attention to such deviation at time of submission, and ENGINEER has given written concurrence pursuant to plans and specifications to specific deviations; nor shall any concurrence by ENGINEER relieve CONTRACTOR from responsibility for errors or omissions in submittals.
- E. Installation, manufacture, or fabrication of items prior to final approval is at CONTRACTOR's own risk.
- F. Refer to Article 6.17, Section 00 7200, Standard General Conditions for further detail.

### 3.10 MANUFACTURER'S INSTRUCTIONS

A. When required in individual specification section, submit manufacturer's printed instructions for delivery, storage, assembly, installation, start-up, adjusting and operation in quantities specified for product data.

## END OF SECTION

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#### SUBMITTALS TRANSMITTAL FORM

FROM:	Contractor	TRANSMI	TRANSMITTAL NO:			
	Street Address or P.O. Box	DATE:				
	City, State & Zip	PROJECT	PROJECT TITLE:			
	Phone Number					
1 <sup>st</sup> Submittal (Indicate by X) Resubmittal (Indicate by X)						
IF RESUBMITTAL, ENTER PREVIOUS DATE & SUBMITTAL NO. Date Prev. Submittal No		ubmittal No				
<ol> <li>CONTRACTOR SHALL FILL IN BLOCKS 1 THRU 5 FOR EACH SUBMITTAL ITEM.</li> <li>LIST EACH DRAWING, DATA ITEM OR SAMPLE SEPARATELY.</li> </ol>						
1. SPE SECTI & PAR.	C ITEM DESCRIPTION	3. MANUFACTURER OR SUPPLIER	4. DRAWING OR DETAIL NO. (if appropriate)	5. SAMPLE ONLY IMARKX}	6. ENGINEER ACTION TAKEN (Ref. A-E Below)	
These/This I	tem(s) have been checked for compliance with specification	on requirements and sp	pace limitations and w	vill meet these	conditions.	

By(Name):

Signature: \_\_\_\_\_

SPACE BELOW FOR NRD USE ONLY

REVIEW IS FOR GENERAL COMPLIANCE WITH CONTRACT DOCUMENTS. NO RESPONSIBILITY IS ASSUMED FOR CORRECTNESS OF DIMENSIONS OR DETAILS.

THE ABOVE DATA HAS BEEN REVIEWED IN ACCORDANCE WITH THE PROVISIONS OF THE PROJECT SPECIFICATIONS AND IS RETURNED WITH ACTION DESIGNATED ABOVE IN ACCORDANCE WITH THE FOLLOWING LEGEND: (Circle one of the following)

A-
NO EXCEPTIONS
TAKEN

B-MAKE CORRECTIONS NOTED

C-AMENDAND RESUBMIT D-REJECTED-SEE REMARKS E-NO ACTION TAKEN (SEE REMARKS)

REMARKS: \_\_\_\_

Reviewed By (ENGINEER):

Date:

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# SECTION 01 4000 QUALITY REQUIREMENTS

### PART 1 · GENERAL

## 1.01 SECTION INCLUDES

- A. Submittals qualifications and reports.
- B. References and standards.
- C. Testing and inspection agencies.
- D. Control of installation.
- E. Tolerances.
- F. Testing and inspection.
- G. Manufacturers field service.
- H. Defect assessment.

### 1.02 RELATED SECTIONS

- A. Section 00 7200 Standard General Conditions of the Construction Contract.
- B. Section 01 1000 Special Provisions.
- C. Section 01 3000 -Administrative Requirements.
- D. Section 01 6000 Product Requirements.
- E. Section 03 3000 Cast-In-Place Concrete.
- F. Section 31 2313 Subgrade Preparation.
- G. Section 31 2323 Fill and Backfill.
- H. Section 31 2333 -Trenching for Utilities.
- I. Section 31 2413- Roadway Excavation and Embankment.
- J. Section 32 1216 -Asphaltic Concrete.
- K. Section 32 1313 Portland Cement Concrete Pavement.
- L. Geotechnical Report.

### 1.03 SUBMITTALS QUALIFICATIONS AND REPORTS

- A. Testing Agency Qualifications:
  - 1. Prior to start of work, submit testing agency name, address and telephone number, and names of full-time registered ENGINEER and responsible officer.
  - Submit copy of report of testing agency laboratory facilities inspection made by Materials Reference Laboratory of National Bureau of Standards during most recent inspection, with memorandum of remedies of any deficiencies reported by the inspection.

- B. Design Data: Submit for ENGINEER's knowledge as contract administrator or OWNER for the limited purpose of assessing conformance with the design concept expressed in the contract documents.
- C. Test Reports: After each test/inspection, promptly submit 2 copies of report to ENGINEER.
  - 1. Include:
    - a. Date issued.
    - b. Project title and number.
    - c. Name of inspector.
    - d. Date and time of sampling or inspection.
    - e. Identification of product and specification section.
    - f. Location in the project.
    - g. Type of test/inspection.
    - h. Date of test/inspection.
    - i. Results of test/inspection.
    - j. Conformance with contract documents.
    - k. When requested by ENGINEER, provide interpretation of results.
  - 2. Test reports are submitted for ENGINEER's knowledge as contract administrator for the limited purpose of assessing conformance with the design concept expressed in the contract documents and for OWNER's information.
- D. Certificates: When specified in individual specification sections, submit certification by the manufacturer and CONTRACTOR or installation/application subcontractor to ENGINEER in quantities specified for product data.
  - 1. Indicate that material or product conforms to or exceeds specified requirements. Submit supporting reference data, affidavits and certifications as appropriate.
  - 2. Certificates may be recent or previous test results on material or product but must be acceptable to ENGINEER.
- E. Manufacturer's Instructions: When specified in individual specification sections, submit printed instructions for delivery, storage, assembly, installation, start-up, adjusting and finishing for OWNER's information. Indicate special procedures, perimeter conditions requiring special attention and special environmental criteria required for application or installation.
- F. Manufacturer's Field Reports: Submit reports for ENGINEER's benefit as contract administrator and for OWNER's information.
  - 1. Submit report in duplicate within 15 days of observation to ENGINEER for information.

- 2. Submit information for the limited purpose of assessing conformance with information given and the design concept expressed in the contract documents.
- G. Erection Drawings: Submit drawings for ENGINEER's benefit as contract administrator and for OWNER's information.
  - 1. Submit for information and for the limited purpose of assessing conformance with the information given and the design concept expressed in the contract documents.
  - 2. Data indicating inappropriate or unacceptable work may be subject to action by ENGINEER or OWNER.

## 1.04 REFERENCES AND STANDARDS

- A. For products and workmanship specified by reference to a document or documents not included in the project manual, also referred to as reference standards, comply with requirements of the standard, except when more rigid requirements are specified or are required by applicable codes.
- B. Conform to reference standard of date of issue current on date of contract documents, except where a specific date is established by applicable code.
- C. Obtain copies of standards where required by product specification sections.
- D. Maintain copy at project site during submittals, planning and progress of the specific work until project acceptance.
- E. Should specified reference standards conflict with contract documents, request clarification from ENGINEER before proceeding.
- F. Neither the contractual relationships nor the duties or responsibilities of the parties in contract or those of ENGINEER shall be altered from the contract documents by mention inference or otherwise in any reference document.
- G. Should plans conflict with specifications or other contract documents, request clarification from ENGINEER before proceeding.

## 1.05 TESTING AND INSPECTION AGENCIES

- A. As indicated in the Section 01 1000 Special Provisions or individual specification sections, OWNER shall employ and pay for services of an independent testing agency to perform specified testing.
- B. Employment of an independent testing agency in no way relieves CONTRACTOR of obligation to perform work in accordance with requirements of contract documents.
- C. OWNER Employed Agency:
  - 1. All costs (including testing and other evaluations) related to the determination of defective work shall be the responsibility of the CONTRACTOR, if said work is found to be defective.
  - 2. Retesting of failed tests and testing to identify a failed area shall be paid for by CONTRACTOR.

## PART 2 - PRODUCTS (NOT USED)

## PART 3 - EXECUTION

## 3.01 CONTROL OF INSTALLATION

- A. Monitor quality control over suppliers, manufacturers, products, services, site conditions and workmanship to produce work of specified quality.
- B. Comply with manufacturer's instructions, including each step in sequence.
- C. Should manufacturer's instructions conflict with contract documents, request clarification from ENGINEER before proceeding.
- D. Comply with specified standards as minimum quality for the work, except where more stringent tolerances, codes and specified requirements indicate higher standards or more precise workmanship.
- E. Work shall be performed by persons qualified to produce required and specified quality.
- F. Verify that field measurements are as indicated on shop drawings or as instructed by the manufacturer.
- G. Secure products in place with positive anchorage devices designed and sized to withstand stresses, vibration, physical distortion and disfigurement.
- H. The CONTRACTOR shall be responsible for the protection, care, and upkeep of the work, all associated storage sites, and other areas used to execute the contract.
  - 1. The CONTRACTOR shall take every precaution against injury or damage to the work due to the weather or from any other cause.
  - 2. The CONTRACTOR shall rebuild, repair, restore, and make good all injuries or damages to the work due to any cause before final acceptance at no additional cost.

### 3.02 TOLERANCES

- A. Monitor fabrication and installation tolerance control of products to produce acceptable work. Do not permit tolerances to accumulate.
- B. Comply with manufacturer's tolerances. Should manufacturer's tolerances conflict with contract documents, request clarification from ENGINEER before proceeding.

C. Adjust products to appropriate dimensions, position before securing products in place.

## 3.03 TESTING AND INSPECTION

- A. See individual specification sections for testing required.
- B. Testing Agency Duties:
  - 1. Test samples of materials and mixes submitted by CONTRACTOR.
  - 2. Provide qualified personnel at site. Cooperate with ENGINEER and CONTRACTOR in performance of services.
  - 3. Perform specified sampling and testing of materials, products and mixes in accordance with specified standards.
  - 4. Ascertain compliance of materials, products and mixes with requirements of contract documents.
  - 5. Promptly notify ENGINEER and CONTRACTOR of observed irregularities or nonconformance of work or products.
  - 6. Perform additional tests and inspections required by ENGINEER.
  - 7. Attend preconstruction meetings and progress meetings.
  - 8. Submit reports of all tests/inspections specified.
- C. Limits on Testing/Inspection Agency Authority:
  - 1. Agency may not release, revoke, alter or enlarge on requirements of contract documents.
  - 2. Agency may not approve or accept any portion of the work.
  - 3. Agency may not assume any duties of CONTRACTOR.
  - 4. Agency has no authority to stop the work.
- D. CONTRACTOR's Responsibilities:
  - 1. Deliver to agency at designated location adequate samples of materials proposed to be used which require testing, along with proposed mix designs.
  - 2. Cooperate with testing agency personnel and provide access to the work.
  - 3. Provide incidental labor and facilities.
    - a. To provide access to work to be tested/inspected.
    - b. To obtain and handle samples at the site or at source of products to be tested/inspected.
    - c. To facilitate tests/inspections.
    - d. To provide storage and curing of test samples.
  - 4. Notify ENGINEER and testing agency 48 hours prior to expected time for operations requiring testing/inspection services.

- E. Retesting required because of nonconformance to specified requirements shall be performed by the same agency on instructions by ENGINEER. Payment for retesting shall be paid for by the CONTRACTOR.
- F. Refer to Article 13, Section 00 7200 Standard General Conditions.

## 3.04 MANUFACTURER'S FIELD SERVICES

- A. When specified in individual specification sections, require material or product suppliers or manufacturers to provide qualified staff personnel to observe site conditions; conditions of surfaces and installation; quality of workmanship; start-up of equipment; test, adjust and balance of equipment as applicable; and to initiate instructions when necessary.
- B. Submit qualifications of observer to ENGINEER 30 days in advance of required observations.
  - 1. Observer subject to approval of ENGINEER.
  - 2. Observer subject to approval of OWNER.
- C. Report to ENGINEER observations and site decisions or instructions given to applicators or installers that are supplemental or contrary to manufacturer's written instructions.

## 3.05 DEFECT ASSESSMENT

- A. Replace work or portions of the work not conforming to specified requirements.
- B. If, in the opinion of ENGINEER, it is not practical to remove and replace the work, ENGINEER shall direct an appropriate remedy or adjust payment.
- C. Refer to Article 13, Section 00 7200 Standard General Conditions.

## END OF SECTION

# **SECTION 01 5000**

# TEMPORARY FACILITIES AND CONTROLS

# PART 1 - GENERAL

# 1.01 SECTION INCLUDES

- A. Temporary utilities.
- B. Temporary sanitary facilities.
- C. Barriers.
- D. Fencing.
- E. Security.
- F. Vehicular access and parking.
- G. Waste removal facilities and services.
- H. Project identification sign.
- I. Removal of utilities, facilities and controls.

# 1.02 TEMPORARY UTILITIES

- A. Provide and pay for all electrical power, lighting, water, heating and cooling and ventilation required for construction purposes.
- B. Existing facilities may be used subject to the OWNER's approval.
- C. New permanent facilities may not be used.

### 1.03 TEMPORARY SANITARY FACILITIES

- A. Provide and maintain required facilities and enclosures. Provide at time of project mobilization.
- B. Use of existing facilities is not permitted unless approved by OWNER.
- C. New permanent facilities may not be used during construction operations.
- D. Maintain daily in clean and sanitary condition.
- E. At end of construction, return facilities to same or better condition as originally found.

# 1.04 BARRIERS

- A. Provide barriers to prevent unauthorized entry to construction areas to allow for OWNER's use of site and to protect existing facilities and adjacent properties from damage from construction operations.
- B. Provide barricades and covered walkways required by governing authorities for public rights-of-way.
- C. Provide protection for plants designated to remain. Replace damaged plants.
- D. Protect non owned vehicular traffic, stored materials, site and structures from damage.

# 1.05 FENCING

A. Construction: See Section 01 1000 - Special Provisions.

# 1.06 SECURITY

A. Provide security and facilities to protect work, existing facilities and OWNER's operations from unauthorized entry, vandalism or theft.

# 1.07 VEHICULAR ACCESS AND PARKING

- A. Coordinate access and haul routes with governing authorities and OWNER.
- B. Provide and maintain access to fire hydrants, free of obstructions.
- C. Provide means of removing mud from vehicle wheels before entering public right- ofway.
- D. Designated, existing on-site roads may be used for construction traffic.
- E. Provide temporary parking areas to accommodate construction personnel. When site space is not adequate, provide additional off-site parking.
- F. Existing parking areas may be used for construction parking subject to OWNER's approval.
- G. Do not allow vehicle parking on existing pavement without OWNER's approval.

# 1.08 WASTE REMOVAL

- A. Provide waste removal facilities and services as required to maintain the site in clean and orderly condition.
- B. Provide containers with lids. Dispose of waste off-site periodically.
- C. Open free-fall chutes are not permitted. Terminate closed chutes into appropriate containers with lids.

# 1.09 PROJECT IDENTIFICATION

- A. Provide project identification sign of design and construction if indicated in the drawings or Section 011000 Special Provisions.
- B. Erect on site at location indicated.
- C. Erect on site at location established by ENGINEER.
- D. No other signs are allowed without OWNER's permission, except those required bylaw.

# 1.10 REMOVAL OF UTILITIES, FACILITIES AND CONTROLS

- A. Remove temporary utilities, equipment, facilities and materials prior to recommendation of acceptance inspection.
- B. Remove underground installations to a minimum depth of 3 feet. Grade site as indicated.
- C. Clean and repair damage caused by installation or use of temporary work.
- D. Restore existing facilities used during construction to original condition. Restore permanent facilities used during construction to specified condition.

PART 2 • PRODUCTS (NOT USED) PART 3 • EXECUTION (NOT USED)

# **SECTION 01 5500**

# TRAFFIC CONTROL AND BARRICADING

# PART 1 - GENERAL

# 1.01 SECTION INCLUDES

A. This section describes various materials, equipment and procedures involved in traffic control and barricading during construction.

### 1.02 REFERENCES

- A. Manual on Uniform Traffic Control Devices MUTCD.
- B. National Cooperative Highway Research Program.
- C. Report 350 NCHRP Report 350.
- D. ASTM D 4956.
- E. Nebraska Department of Roads (NDOR), Iowa Department of Transportation IDOT) and Wisconsin Department of Transportation (WDOT) Flaggers Handbook.

### 1.03 **RESPONSIBILITIES**

- A. CONTRACTOR and the contracting authority have certain responsibilities whether public traffic is allowed or is prohibited during construction.
- B. CONTRACTOR shall furnish, erect, operate, maintain, move and remove all traffic control and barricading devices required by the contract documents.
- C. All traffic control and barricading shall be in accordance with the current edition of the MUTCD (Manual on Uniform Traffic Control Devices) and latest revisions.

### 1.04 TRAFFIC CONTROL DEVICES

- A. All Category 1 traffic control and barricading devices used on projects shall meet National Cooperative Highway Research Program (NCHRP) Report 350. Category I devices are defined as low mass, single-piece traffic cones, tubular markers, single-piece drums and delineators. No lights or signs may be attached to these devices in order for them to meet the Category I limitations. Category I devices shall be self-certified by the vendor. It shall be the responsibility of the vendor of the device to determine if the device meets the evaluation criteria of NCHRP Report 350.
- B. All Category 11 traffic control devices purchased for use on projects shall meet NCHRP Report 350. Category II devices are defined as vertical panels, Type II and Type III barricades; moveable skid mounted sign stands and barrels with reflected signs or lights attached. Type III barricades with attached signs used on all projects shall meet NCHRP Report 350. A list of approved Category II traffic control devices is found on the world wide web at the following URL: http://safety.fhwa.dot.gov/fourthlevel/hardware/wzd.htm.

# 1.05 MONITORING

- A. CONTRACTOR shall provide 24 hour per day continuous monitoring of traffic control and barricading devices.
- B. Assistance to motorists and involvement with obstructions shall continue until they are no longer an impediment to traffic and further assistance can be provided safely by others.
- C. When a vehicle or anything else is obstructing a travel lane or shoulder intended to be clear, the operator shall assist the motorist or remove the obstruction promptly and safely. If further assistance is needed, it is to be summoned.
- D. The operator shall keep a report of any events that restrict the normal traffic flow during complex operations.
- E. A copy of this report shall be provided to ENGINEER daily.
- F. CONTRACTOR shall perform the following quality control work associated with monitoring and documenting traffic control and barricading conditions daily as the project is constructed:
  - 1. Review all traffic control operations and maintain a project traffic control daily diary, which shall be submitted to ENGINEER and shall become a part of the contracting authority's project records. The diary shall include:
    - a. All reviews of traffic control devices and operations.
    - b. Approved changes to traffic control.
    - c. Incidentals affecting the efficiency and safety of traffic.
    - d. Coordinate all traffic control operations, including those of subcontractors and suppliers.

### 1.06 SIGNS

- A. Signs shall utilize retro reflective sheeting. Reflective sheeting shall meet the requirements of ASTM D 4956, including supplementary requirements, except when modified in the contract documents or this specification.
- B. Reflective sheeting shall be uniform in color and reflectivity. In a single sign or traffic control device, variations in color or reflectivity noticeable at a distance of 50 feet or more, under daytime or nighttime lighting conditions, shall be cause for rejection of the sign.
- C. Signs for traffic control and construction zones in duration for 4 calendar days or more shall be mounted on fixed posts.
- D. Signs for traffic control and construction zones in duration for less than 4 calendar days may be mounted on moveable skids or fixed posts.
- E. Fixed, post-mounted signs shall have the sign sheeting applied to rigid wood or metal and shall be mounted at a height of at least 7 feet, measured from the bottom of the sign to the near edge of the pavement. A secondary sign on the same post may be mounted 1 foot lower than specified above. Post-mounted signs shall have a clear distance 2 feet behind a curb or beyond the edge of the shoulder.

- F. Moveable skid mounted signs shall use flexible roll-up sheeting or other skid mounted sign systems that meet NCHRP 350 requirements. Moveable skid mounted signs shall be mounted at a height of at least 1 foot above the roadway.
- G. Mounting devices shall not be so substantial as to be a hazard to vehicles.
  - 1. Wood sign supports are allowed.
  - 2. U-shaped rail steel posts not exceeding 3.0 pounds per foot are allowed.
  - 3. Dual-post and triple-post configurations using these sign supports are acceptable provided that no more than 2 posts occupy any 8-foot wide path. Bracing of these posts shall not be permitted. Posts exceeding these requirements shall have breakaway features approved by ENGINEER.
- H. Signs shall be in a condition so they are effective for the intended purposes when viewed from a vehicle. For nighttime installations, the reflectance shall be adequate so that the message is clearly readable. Signs shall be maintained in a near vertical position.

# 1.07 CHANNELIZING DEVICES

A. Channelizing devices shall utilize reflective sheeting.

# 1.08 BARRICADES

- A. Type II barricades shall be used for all pavement surfaces on interstate and multilane roadways, which include travel lanes, intersections, ramps, acceleration and deceleration lanes, crossovers and shoulders.
- B. At locations other than on interstate and multilane divided roadways, Type II barricades may be used. Type II barricades shall have a minimum length of rail of 2 feet.
- C. When Type II barricades are furnished as one of the options for channelizing devices in lieu of vertical panels, cones or drums, a 2-foot minimum length barricade may be used.
- D. Type III barricades shall be used where specifically required. They shall have a minimum length of rail of 4 feet. When used as a shoulder barricade, the minimum barricade is acceptable. Unless otherwise shown in the contract documents, other Type III barricades shall have a minimum effective length of rail of 8 feet, including locations where the barricades are staggered to permit construction or local traffic. Barricades of the minimum length may be used, side by side and rigidly fastened together by bolting or other approved methods, to make this effective length.
- E. When traffic is permitted in each direction around a Type III barricade, the Type III barricade used shall have fully reflectorized faces on both sides of the rails.
- F. Barricades shall be erected in essentially a horizontal position perpendicular to the direction of approaching traffic. When placed on the traveled way or shoulder, they shall be ballasted with sandbags placed so as not to cover any striped rail.

# 1.09 CONES, VERTICAL PANELS, DRUMS AND TUBULAR MARKERS

- A. Cones, vertical panels, drums and tubular markers shall meet the current requirements of the MUTCD, latest revision.
- B. When used to separate two-way traffic, temporary no passing lines shall be separated by approximately 16 inches with the marker to be installed between these lines.
- C. Tubular markers shall be between 28 inches and 34 inches in height with a diameter facing traffic at least 2 inches in width. Tubular markers shall be completely faced with reflectorized white and orange sheeting. The white reflectorized sheeting shall be in 2 bands 4 inches wide with 6 inches between bands. The top band shall be no more than 2 inches from the top of the tubular marker.

### 1.10 FLAGGERS

- A. Flaggers shall be trained about safe flagging operations that comply with Department of Roads or Transportation Flaggers Handbook, Part VI of the MUTCD and the standard specifications prior to flagging operations. Training of flaggers shall include the following:
  - 1. Issue and review the current Flaggers Handbook.
  - 2. Presentation of the current professional flagging video.
  - 3. Issue flagger training card, which shall include the following:
    - a. Employee name.
    - b. Date of training.
    - c. Name of instructor.
    - d. Expiration date of December 31 of the year following the training date.
    - e. Flaggers shall carry their flagger training card at all times and show it upon request.
  - 4. CONTRACTOR shall maintain a list of flaggers trained and the date of the training.
- B. Training shall not be required for short time, emergency or relief assignment of employees to flagging operations.
- C. Except in an emergency, flaggers shall use signs as specified in the current edition of the MUTCD, Part VI, except the signs shall be as least 24 inches wide. The sign shall be mounted on a staff with a clear distance of 6 feet above the road surface.
- D. To be visible to traffic while flagging, flaggers shall wear a soft cap or a hard hat and a vest, shirt or jacket. The colors of these articles of dress shall be orange or strong yellow-green or fluorescent versions of these colors. Combinations of these colors are acceptable.

# 1.11 LIMITATIONS

- A. All traffic control and barricading devices subject to movement by wind shall be anchored by sandbags.
- B. When a two-way road is open to public traffic during contract work, one-way traffic shall not be controlled through the work area by means of a carry through flag or other token, except during equipment failure or emergency. When voice or signal communication between flaggers at control points is difficult or not effective because of distance, sight or noise, other means shall be used. These may be two-way radios, pilot cars or traffic signals. When the normal work area exceeds 1/4 mile on projects, pilot cars shall be used; however, where necessary for short durations, the distance may be extended to 1/2 mile for better sight distance or to clear intersections or other safety considerations with approval of ENGINEER, provided a two-way radio is used for communication between flaggers.
- C. During nonworking hours, traffic control and barricading devices intended for working hours only shall be removed, covered or turned down. When traffic control devices are no longer needed, they shall be removed.
- D. During daylight hours, workers exposed to traffic in or adjacent to traffic lanes should wear a vest, shirt or jacket equal to that required for flaggers.
- E. At night, workers shall wear clothing that is similar in color to that required for flaggers and is retro reflective to be highly visible to drivers. The retro reflective clothing shall be designed to identify clearly the wearer as a person and shall be visible through the full ranges of body actions.
- F. ENGINEER may require traffic control and barricading devices to be recleaned by washing. The device shall be washed with a brush and water and with detergent or solvent as necessary. Washing shall include a supplemental or auxiliary sign, if any, the entire target area or sign face, all reflectors, and faces of warning lights which are part of that device.
- G. Entry to and exit from work areas shall be in the direction of public traffic and shall not cross open traffic lanes at other than designated locations. During hours of darkness, CONTRACTOR shall operate equipment in the traffic control zone facing in the direction of traffic flow unless otherwise specified in the traffic control plan. Darkness shall include the period from sunset to sunrise and other times when conditions such as fog, snow, sleet or rain provide insufficient lighting to clearly identify persons and vehicles on the highway at a distance of 500 feet ahead.
- H. Unless otherwise stated in the traffic control plan, CONTRACTOR shall provide for a minimum of 2 miles between traffic control zones on rural roadways. Minimum distances between traffic control zones on urban roadways shall be at the direction of ENGINEER.

# 1.12 METHOD OF MEASUREMENT

- A. When the contract documents include a pay item for traffic control and barricading, ENGINEER shall measure for payment the following items:
  - 1. Traffic Control/Barricading: This item shall be subsidiary to other items on the project.

# 1.13 BASIS OF PAYMENT

- A. When the following item is required for traffic control and barricading, there shall be items included in the contract documents with payment as follows:
  - 1. Traffic Control/Barricading: When there is a contract item for traffic control, CONTRACTOR shall be paid the lump sum contract price. This payment shall be full compensation for erecting, maintaining, moving and removing all traffic control devices required by the contract documents, including warning lights and for furnishing all materials, labor and equipment.
- B. If traffic control and barricading is not a bid item it shall be incidental to other items on the project.
- C. All traffic control and barricading devices furnished by CONTRACTOR shall remain CONTRACTOR's property at the completion of the work and shall be removed from the site when no longer needed.

#### PART 2 - PRODUCTS (NOT USED)

PART 3- EXECUTION (NOT USED)

# SECTION 01 6000 PRODUCT REQUIREMENTS

# PART 1 - GENERAL

# 1.01 SECTION INCLUDES

- A. General product requirements.
- B. Transportation, handling, storage and protection.
- C. Product option requirements.
- D. Substitution limitations and procedures.
- E. Spare parts and maintenance materials.

# 1.02 RELATED SECTIONS

- A. Section 00 2100 Instructions to Bidders: Substitute and "or-equivalent" items.
- B. Section 00 7200 Standard General Conditions of the Construction Contract.
- C. Section 01 3000 Administrative Requirements.
- D. Section 01 4000 Quality Requirements.

# 1.03 SUBMITTALS

- A. Proposed Products List: Submit list of major products proposed for use with name of manufacturer, trade name and model number of each product.
  - 1. Submit within 10 days of the effective date of the agreement.
  - 2. For products specified only by reference standards, list applicable reference standards.
- B. Product Data Submittals: Submit manufacturer's standard published data. Mark each copy to identify applicable products, models, options and other data. Supplement manufacturer's standard data to provide information specific to this project.
- C. Shop Drawing Submittal : Prepared specifically for this project; marked up drawings will not be acceptable.
- D. Sample Submittals: Illustrate functional and aesthetic characteristics of the product with integral parts and attachment devices. Coordinate sample submittals for interfacing work.
  - 1. For selection from standard finishes, submit samples of the full range of the manufacturer's standard colors, textures and patterns.
- E. Indicate utility and electrical characteristics, utility connection requirements and location of utility outlets for service for functional equipment and appliances.
- F. Refer to Section 01 3000 -Administrative Requirements for further detail.

# PART 2 • PRODUCTS

### 2.01 EXISTING PRODUCTS

- A. Do not use materials and equipment removed from existing premises unless specifically required or permitted by the contract documents.
- B. Existing materials and equipment indicated to be removed, but not to be reused, relocated, reinstalled, delivered to OWNER, or otherwise indicated as to remain the property of OWNER, become the property of CONTRACTOR and shall be removed from project site.

#### 2.02 NEW PRODUCTS

A. Provide interchangeable components of the same manufacturer for components being replaced.

#### 2.03 PRODUCT OPTIONS

- A. Products specified by reference standards or by description only. Use any product meeting those standards or description.
- B. Products specified by naming one or more manufacturers. Use a product of one of the manufacturers named and meeting specifications; no options or substitutions allowed.
- C. Products specified by naming one or more manufacturers with a provision for substitutions. Submit a request for substitution for any manufacturer not named.

#### 2.04 SPARE PARTS AND MAINTENANCE PRODUCTS

- A. Provide spare parts, maintenance and extra products of types and in quantities specified in individual specification sections.
- B. Deliver to project site; obtain receipt prior to final payment.

### PART 3 EXECUTION

#### 3.01 SUBSTITUTION PROCEDURES

- A. Comply with requirements in Article 11, Instructions to Bidders, Article 6, Section 6.05, of the Standard General Conditions of the Construction Contract and as specified in this section.
- B. No substitutions will be considered prior to receipt of bids unless written request for approval has been received by ENGINEER at least 10 days prior to the date for receipt of bids. Substitution requests shall be submitted by the bidder or supplier.
- C. After an award of a contract, if CONTRACTOR chooses to make a substitution for items specified and that are available, CONTRACTOR shall identify an amount to be deducted from the bid price. Cost of substitution review shall be reimbursed to OWNER and ENGINEER as per Article 6, Section 6.05E, of the Standard General Conditions of the Construction Contract.
- D. Document each request with complete data substantiating compliance of proposed substitution with contract documents.

- E. A request for substitution constitutes a representation that the submitter:
  - 1. Has investigated proposed product and determined that it meets or exceeds the quality level of the specified product.
  - 2. Will provide the same warranty for the substitution as for the specified product.
  - 3. Will coordinate installation and make changes to other work which may be required for the work to be complete with no additional cost to OWNER.
  - 4. Waives claims for additional costs or time extension which may subsequently become apparent.
  - 5. Will reimburse OWNER and ENGINEER for review or redesign services associated with reapproval by authorities/consultants.
- F. Substitutions will not be considered when they are indicated or implied on shop drawings or product data submittals without separate written request or when acceptance will require revision to the contract documents.
- G. Substitution Submittal Procedure:
  - 1. Submit to ENGINEER 3 copies of Form 01 6000 Material/Equipment Substitution Request Form Prior to Letting or Form 01 6000-A Material/Equipment Substitution Request Form After Execution of Contract, whichever is applicable, for consideration. Both forms are included at the end of this Section 01 6000. Limit each request to one proposed substitution.
  - 2. Submit shop drawings, product data and certified test results attesting to the proposed product equivalence. Burden of proof is on proposer.
  - 3. ENGINEER shall notify CONTRACTOR in writing of decision to accept or reject request.

# 3.02 CONTRACTOR SUBSTITUTIONS FOR APPROVED MAJOR EQUIPMENT

- A. Bidder shall indicate all proposed substitutions by listing in writing the substitute equipment or supplier and the addition or deduction to the base bid lump sum price for the proposed substitute item.
- B. CONTRACTOR may propose only one substitution for each item. No further substitutions will be considered.
- C. No proposed substitution for an item of approved major equipment shall be considered unless, in the opinion of ENGINEER or OWNER, it conforms to the requirements of the contract drawings and specifications in all major respects, except for make, manufacturer or other minor details.
- D. Proposed CONTRACTOR substitutions shall be deemed as equal if the substitute is the same or better quality than the product or equipment specified under the base bid in terms of its function, performance, reliability, quality and general configuration.

- E. Required substitution data shall include:
  - 1. Product Identification:
    - a. Manufacturer's name.
    - b. Telephone number and representative contact name.
    - c. Specification section or drawing reference number of originally specified product.
  - 2. Manufacturer's literature clearly marked to show compliance of proposed product with contract documents.
  - 3. Itemized comparison of original and proposed product addressing product characteristics including, but not limited to:
    - a. Size.
    - b. Composition or materials of construction.
    - c. Weight.
    - d. Electrical or mechanical requirements.
  - 4. Product Experience:
    - a. Location of past projects utilizing product.
    - b. Name and telephone number of persons associated with referenced projects concerning proposed product.
    - c. Available field data and reports associated with proposed product.
  - 5. Data relating to changes in construction schedule.
  - 6. Data relating to changes in cost.
  - 7. Samples:
    - a. At request of ENGINEER.
    - b. Full size if requested by ENGINEER.
    - c. ENGINEER not responsible for loss or damage to samples.
  - 8. Data and drawing information shall be specifically prepared for this project. Sales catalog cuts or marked up drawings from previous projects are not acceptable.
- F. The design and preparation of these drawings and specifications are based on products and equipment specified under base bid. Bidder or CONTRACTOR shall be responsible for any and all changes necessary to accommodate the substitute items, including the costs for:
  - 1. Redesign.
  - 2. Revision of construction documents.
  - 3. Additional construction administration.

# 3.03 TRANSPORTATION AND HANDLING

- A. Coordinate schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.
- B. Transport and handle products in accordance with manufacturer's instructions.
- C. Transport materials in covered trucks to prevent contamination of product and littering of surrounding areas.
- D. Promptly inspect shipments to ensure that products comply with requirements, quantities are correct and products are undamaged.
- E. Provide equipment and personnel to handle products by methods to prevent soiling, disfigurement or damage.
- F. Arrange for the return of packing materials, such as wood pallets, where economically feasible.

#### 3.04 STORAGE AND PROTECTION

- A. Designate receiving/storage areas for incoming products so that they are delivered according to installation schedule and placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication.
- B. Store and protect products in accordance with manufacturer's instructions.
- C. Store with seals and labels intact and legible.
- D. Store sensitive products in weather tight, climate-controlled enclosures in an environment favorable to product.
- E. For exterior storage of fabricated products, place on sloped supports above ground.
- F. Provide bonded off-site storage and protection when site does not permit on-site storage or protection.
- G. Cover products subject to deterioration with impervious sheet covering. Provide ventilation to prevent condensation and degradation of products.
- H. Store loose granular materials on solid flat surfaces in a well-drained area. Prevent mixing with foreign matter.
- I. Prevent contact with material that may cause corrosion, discoloration or staining.
- J. Provide equipment and personnel to store products by methods to prevent soiling, disfigurement or damage.
- K. Arrange storage of products to permit access for inspection. Periodically inspect to verify products are undamaged and are maintained in acceptable condition.

ENGINEER USE ONLY Date Received \_\_\_\_\_

This form to be submitted with supporting data.

Project Description/Title	NRD Project No		
Date of Letting	Date of Submittal		
Reference Specification and Section			
Name of Bidder Submitting Substitution Request			
Reason for Substitution Request			
Is specified material or equipment available?			
	Yes No		
Has bidder procured plans and specifications from Lower Platte	e South NRD?		
Has bidder reviewed instructions to bidders, general conditio regarding procedures for substitute or "or-equal" materials and			
The contract, if awarded, will be on the basis of materials and equipment specified or described in the bidding documents, or those substitute or "or-equal" materials and equipment approved by ENGINEER and identified by addendum. The materials and equipment described in the bidding documents establish a standard of required type, function and quality to be met by any proposed substitute or "or-equal" item. No item of material or equipment shall be considered by ENGINEER as a substitute or "or-equal" unless written request for approval has been submitted by bidder and has been received by ENGINEER (submittal time and requirements as specified} prior to the date for receipt of bids. Each such request shall conform to requirements of the general conditions, supplemental conditions and instructions to bidders. The burden of proof of the merit of the proposed item is upon bidder. ENGINEER's decision of approval or disapproval of a proposed item shall be final. If ENGINEER approves any proposed item, such approval shall be set forth in an addendum issued to all prospective bidders. Bidders shall not rely upon approvals made in any other manner.			
APPROVED: YES NO			

REVIEWER:

Signature	
	FORM 01 6000

DATE: \_\_\_\_\_

MATERIAL/EQUIPMENT	CUDCTITUTION DEO	LICOT CODM DDIOD '	
	SUBSTITUTION REQ		
	CODULITORICE		

This form to be submitted with supporting data.

Project Description/Title	NRD Project No
Date of Letting	Date of Submittal
Reference Specification and Section	
Reason for Substitution Request	
Is specified material or equipment available?	Yes No
Has CONTRACTOR reviewed general conditions, supplement material/equipment submittal requirements regarding proceed	
materials and equipment?	Yes No
What cost savings will be experienced by OWNER with this se	ubstitution? \$
CONTRACTOR agrees to reimburse OWNER for ENGINEER specified equipment is available?	R's review of substitution request if
Substitution requests will not be considered that are submitted The contract was awarded on the basis of materials and equi- contract documents, or those substitute or "or-equal" materials ENGINEER and identified by addendum. The materials and e- documents establish a standard of required type, function and substitute or "or-equal" item. No item of material or equipment as a substitute or "or-equal" unless written request for CONTRACTOR and has been received by ENGINEER (su- specified). Each such request shall conform to requirement conditions and any other material/equipment submittal require merit of the proposed item is upon CONTRACTOR. ENC disapproval of a proposed item shall be final. If ENGINEER approval shall be set forth in written notification to CONTRACT upon approvals made in any other manner.	pment specified or described in the erials and equipment approved by equipment described in the contract d quality to be met by any proposed shall be considered by ENGINEER approval has been submitted by ubmittal time and requirements as s of the general and supplemental ements. The burden of proof of the GINEER's decision of approval or approves any proposed item, such
APPROVED: YES NO	
REVIEWER:	DATE:
Signature	

# SECTION 01 7000 EXECUTION REQUIREMENTS

# PART 1 • GENERAL

# 1.01 SECTION INCLUDES

- A. Examination, preparation and general installation procedures.
- B. Preinstallation meetings.
- C. Surveying for laying out the work.
- D. Cleaning and protection.
- E. Starting of systems and equipment.
- F. Demonstration and instruction of OWNER's personnel.

# 1.02 RELATED SECTIONS

- A. Section 00 7200 Standard General Conditions of the Construction Contract.
- B. Section 01 3000 Administrative Requirements.
- C. Section 01 4000 Quality Requirements.
- D. Section 01 5000 Temporary Facilities and Controls.
- E. Section 31 2500 Erosion Control.

### 1.03 PROJECT CONDITIONS

- A. Grade site to drain. Maintain excavations free of water. Provide, operate and maintain pumping equipment.
- B. Protect site from ponding or running water. Provide barriers as required to protect site from soil erosion.
- C. Ventilate enclosed areas to assist cure of materials, to dissipate humidity and to prevent accumulation of dust, fumes, vapors or gases.
- D. Dust Control: Execute work by methods to minimize raising dust from construction operations. Provide positive means to prevent airborne dust from dispersing into atmosphere.
- E. Erosion and Sediment Control: Plan and execute construction by methods to control surface drainage from cuts and fills and from borrow and waste disposal areas. Prevent erosion and sedimentation.
  - 1. Minimize amount of bare soil exposed at one time.
  - 2. Provide temporary measures such as berms, dikes, bale checks, silt fence, etc. to prevent erosion.
  - 3. Construct fill and waste areas by selective placement to avoid erosive surface silts or clays.
  - 4. Periodically inspect earthwork to detect evidence of erosion and sedimentation; promptly apply corrective measures.

- 5. CONTRACTOR is responsible to furnish and install any and all the measures necessary to control erosion, whether it is a pay item or not.
- F. Noise Control: Provide methods, means and facilities to minimize noise produced by construction operations.
- G. Pollution Control: Provide methods, means and facilities to prevent contamination of soil, water and atmosphere from discharge of noxious, toxic substances and pollutants produced by construction operations.
- H. Pest Control: Provide methods, means and facilities to prevent pest and insects from damaging work.
- I. Rodent Control: Provide methods, means and facilities to prevent rodents from accessing or invading premises.

#### 1.04 COORDINATION

- A. Coordinate scheduling, submittals and work of the various sections of the project manual to ensure efficient and orderly sequence of installation of interdependent construction elements, with provisions for accommodating items installed later.
- B. Notify affected utility companies about proposed construction and coordinate with their requirements.
- C. Verify utility requirements and characteristics of operating equipment are compatible with building utilities. Coordinate work of various sections having interdependent responsibilities for installing, connecting to and placing in service such equipment.
- D. Coordinate space requirements, supports and installation of mechanical and electrical work which are indicated diagrammatically in the drawings. Follow routing shown for pipes, ducts and conduit as closely as practicable; place runs parallel with lines of building. Utilize spaces efficiently to maximize accessibility for other installations, for maintenance and for repairs.
- E. Coordinate completion and cleanup of work of separate sections.
- F. Refer to Article 2, Section 00 7200, Standard General Conditions.

### PART 2 - PRODUCTS

#### 2.01 MATERIALS

A. Water: CONTRACTOR shall make arrangements to obtain suitable water necessary for the construction of the project. CONTRACTOR will not be paid directly for water required for the project.

#### PART 3 - EXECUTION

#### 3.01 LAYING OUT THE WORK

- A. Verify locations of survey control points prior to starting work.
- B. Promptly notify ENGINEER of any discrepancies discovered.
- C. Control datum for survey may be indicated in the drawings.
- D. Protect survey control points prior to starting site work; preserve permanent reference points during construction.

- E. Promptly report to ENGINEER the loss or destruction of any reference point or relocation required because of changes in grades or other reasons.
- F. Utilize recognized engineering survey practices.
- G. Establish elevations, lines and levels. Locate and lay out by instrumentation and similar appropriate means:
  - 1. Site improvements, including pavements; stakes for grading, fill and topsoil placement; and utility locations, slopes and invert elevations.
  - 2. Grid or axis for structures.
- H. Periodically verify layouts by same means.
- I. Maintain a complete and accurate log of control and survey work as it progresses.

### 3.02 PROGRESS CLEANING

- A. Maintain areas free of waste materials, debris and rubbish. Maintain site in a clean and orderly condition.
- B. Remove debris and rubbish from pipe chases, plenums, attics, crawl spaces and other closed or remote spaces prior to enclosing the space.
- C. Broom and vacuum clean interior areas prior to start of surface finishing and continue cleaning to eliminate dust.
- D. Collect and remove waste materials, debris and rubbish from site periodically and dispose off-site.

### 3.03 PROTECTION OF INSTALLED WORK

- A. Protect installed work and provide special protection where specified in individual specification sections.
- B. Provide temporary and removable protection for installed products. Control activity in immediate work area to prevent damage.
- C. Prohibit traffic from landscaped areas.
- D. Limiting Exposure: Supervise construction activities to ensure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging or otherwise deleterious exposure during the construction period, no matter what the cause. Where applicable, such exposures include, but are not limited to, the following:
  - 1. Excessive static or dynamic loading.
  - 2. Excessively high or low temperatures.
  - 3. Excessively high or low humidity.
  - 4. Water or ice.
  - 5. Heavy traffic.
  - 6. Soiling, staining and corrosion.
  - 7. Unusual wear or other misuse.
  - 8. Excessive weathering.

- 9. Unprotected storage.
- 10. Improper shipping or handling.
- 11. Theft.
- 12. Vandalism.

### 3.04 STARTING SYSTEMS

- A. Coordinate schedule for start-up of various equipment and systems.
- B. Notify ENGINEER and OWNER 7 days prior to start-up of each item.
- C. Verify that each piece of equipment or system has been checked for proper lubrication, drive rotation, belt tension, control sequence and for conditions that may cause damage.
- D. Verify tests, meter readings and specified electrical characteristics agree with those required by the equipment or system manufacturer.
- E. Verify that wiring and support components for equipment are complete and tested.
- F. Execute start-up under supervision of applicable CONTRACTOR personnel and manufacturer's representative in accordance with manufacturer's instructions.
- G. When specified in individual specification sections, require manufacturer to provide authorized representative to be present at site to inspect, check and approve equipment or system installation prior to start-up and to supervise placing equipment or system in operation.
- H. Submit a written report that equipment or system has been properly installed and is functioning correctly.

### 3.05 DEMONSTRATION AND INSTRUCTION

- A. Demonstrate operation and maintenance of products to OWNER's personnel prior to date of final inspection.
- B. Demonstrate start-up, operation, control, adjustment, troubleshooting, servicing, maintenance and shutdown of each item of equipment.
- C. Provide a qualified manufacturer's representative who is knowledgeable about the project to perform demonstration and instruction of OWNER's personnel.
- D. Utilize operation and maintenance manuals as basis for instruction. Review contents of manual with OWNER's personnel in detail to explain all aspects of operation and maintenance.
- E. Prepare and insert additional data in operations and maintenance manuals when need for additional data becomes apparent during instruction.

#### 3.06 ADJUSTING

A. Adjust operating products and equipment to ensure smooth and unhindered operation.

### 3.07 FINAL CLEANING

A. Execute final cleaning after items have been completed but before making final application for payment.

- 1. Clean areas to be occupied by OWNER prior to final completion and/or before OWNER's occupancy.
- B. Clean equipment and fixtures to a sanitary condition with cleaning materials appropriate to the surface and material being cleaned, as recommended by the manufacturer of the equipment and/or fixtures.
- C. Clean filters, if reusable, or provide new for operating equipment.
- D. Clean site, sweep paved areas and rake clean landscaped surfaces.
- E. Remove waste and surplus materials, rubbish and construction facilities from the site.

### 3.08 MAINTENANCE SERVICE

- A. Furnish service and maintenance of components indicated in specification sections during the warranty period.
- B. Examine system components at a frequency consistent with reliable operation. Clean, adjust and lubricate as required.
- C. Include systematic examination, adjustment and lubrication of components. Repair or replace parts whenever required. Use parts produced by the manufacturer of the original component.
- D. Maintenance service shall not be assigned or transferred to any agent or subcontractor without prior written consent of OWNER.
- E. Refer to Article 13.07, Section 00 7200, Standard General Conditions for correction period.

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### **SECTION 01 7113**

### MOBILIZATION AND DEMOBILIZATION

### PART 1 • GENERAL

#### 1.01 SCOPE

- A. The work shall consist of the mobilization and demobilization of CONTRACTOR's forces and equipment necessary for performing the work required under the contract.
- B. This work shall not include mobilization and demobilization for specific items of work for which payment is provided elsewhere in the contract.
- C. Mobilization shall not be considered as work in fulfilling the contract requirement for commencement of work.

#### 1.02 UNIT PRICES · MEASUREMENT AND PAYMENT

- A. See Section 01 2200 Unit Prices.
- B. Mobilization: Shall be subsidiary to work performed for placement of crushed rock base rock and limestone screenings, shall include all items described in this section and any costs for insurance and bonds.

#### 1.03 EQUIPMENT AND MATERIALS

- A. Mobilization shall include all activities and costs for transportation of personnel, equipment and operating supplies to the site; establishment of offices, buildings and other necessary facilities for CONTRACTOR's operations at the site; and premiums paid for performance and payment bonds, including coinsurance and reinsurance agreements as applicable.
- B. Demobilization shall include all activities and costs for transportation of personnel, equipment and supplies not included in the contract from the site, including the disassembly, removal and site cleanup of offices, buildings and other facilities assembled on the site for this contract. No separate payment will be made for demobilization.
- C. This work includes mobilization and demobilization required by the contract at the time of award. If additional mobilization and demobilization activities and costs are required during the performance of the contract as a result of changed, deleted or added items of work for which CONTRACTOR is entitled to an adjustment in contract price, compensation for such costs shall be included in the price adjustment for the item or items or work changed or added.

### PART 2 • PRODUCTS (NOT USED)

# PART 3 • EXECUTION (NOT USED)

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# SECTION 01 7700 PROJECT CLOSEOUT

# PART 1 - GENERAL

# 1.01 RELATED DOCUMENTS

A. Drawings and general provisions of contract, including general and supplementary conditions and other specification sections, apply to this section.

### 1.02 SUMMARY

- A. This section specifies administrative and procedural requirements for project closeout including, but not limited to:
  - 1. Inspection procedures.
  - 2. Project record document submittal.
  - 3. Operation and maintenance manual submittal.
  - 4. Submittal of warranties.
  - 5. Final cleaning.
- B. Closeout requirements for specific construction activities are included in the appropriate sections.

### 1.03 PRIOR TO FINAL ACCEPTANCE

- A. Preliminary Procedures: Before requesting for certification of recommendation of acceptance, complete the following.
  - 1. If 100 percent of project completion has not been achieved, include a list of incomplete items, the value of incomplete construction and reasons the work is not complete.
  - 2. Inform OWNER of pending insurance changeover requirements.
  - 3. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications and similar documents.
  - 4. Obtain and submit releases enabling OWNER unrestricted use of the work and access to services and utilities; include occupancy permits, operating certificates and similar releases.
  - 5. Submit extra stock as specified in individual specification sections.
  - The final date the recommendation of acceptance is signed by both OWNER and CONTRACTOR is the initiation date for CONTRACTOR's 1year warranty.
- B. Inspection Procedures: On receipt of a request for inspection, ENGINEER shall either proceed with inspection or advise CONTRACTOR of unfilled requirements. ENGINEER shall advise CONTRACTOR of construction that must be completed or corrected before the recommendation of acceptance will be issued.
  - 1. ENGINEER shall repeat inspection when requested and assured that the work has been completed.

2. Results of the completed inspection shall form the basis of requirements for final acceptance.

# 1.04 FINAL ACCEPTANCE

- A. Preliminary Procedures: Before requesting final inspection for recommendation of acceptance and final payment, complete the following. List exceptions in the request.
  - 1. Submit record drawings, maintenance manuals, property survey and similar final record information.
  - 2. Submit the final payment request with releases and supporting documentation not previously submitted and accepted. Include certificates of insurance for products and completed operations where required.
  - 3. Submit an updated final statement, accounting for final additional changes to the contract sum.
  - 4. Submit a certified copy of ENGINEER's final inspection list of items to be completed or corrected, stating that each item has been completed or otherwise resolved for acceptance and the list has been endorsed and dated by ENGINEER.
  - 5. Submit consent of surety with the application for final payment, if requested by the OWNER.
  - 6. Submit evidence of final, continuing insurance coverage complying with insurance requirements.
  - 7. Submit an affidavit stating that, to the best of CONTRACTOR's knowledge, asbestos was not used in the manufacture and fabrication of products and materials used in conjunction with the project.
  - 8. Submit signed and completed punch list documents to ENGINEER.
- B. Reinspection Procedure: ENGINEER shall reinspect the work upon receipt of notice that the work, including inspection list items from earlier inspections, has been completed, except items where completion has been delayed because of circumstances acceptable to ENGINEER.
  - 1. Upon completion of reinspection, ENGINEER shall prepare the recommendation of acceptance, or advise CONTRACTOR of work that is incomplete or of obligations that have not been fulfilled but are required for final acceptance of the project.

### 1.05 RECORD DOCUMENT SUBMITTALS

- A. General: Do not use record documents for construction purposes; protect from deterioration and loss in a secure, fire-resistive location; and provide access to record documents for ENGINEER's reference during normal working hours.
- B. Record Drawings: Maintain a clean, undamaged set of blue or black line white prints of contract drawings and shop drawings. Mark the set to show the actual installation where the installation varies substantially from the work as originally shown. Mark whichever drawing is most capable of showing conditions fully and accurately; where shop drawings are used, record a cross-reference at the

- corresponding location on the contract drawings. Give particular attention to concealed elements that would be difficult to measure and record at a later date.
  - 1. Mark record sets with red erasable pencil; use other colors to distinguish between variations in separate categories of the work.
  - 2. Mark new information and/or design changes that were not shown on contract drawings or shop drawings.
  - 3. Note related change order numbers where applicable.
  - 4. Organize record drawing sheets into manageable sets; bind with durable paper cover sheets; and print suitable titles, dates and other identification on the cover of each set.
- C. Record Specifications: Maintain 1 complete copy of the project manual, including addenda, and 1 copy of other written construction documents, such as change orders and modifications issued in printed form during construction. Mark these documents to show substantial variations in actual work performed in comparison with the text of the specifications and modifications. Give particular attention to substitutions, selection of options and similar information on elements that are concealed or cannot otherwise be readily discerned later by direct observation. Note related record drawing information and product data.
  - 1. Upon completion of the work, submit record specifications to ENGINEER for OWNER's records.
- D. Record Product Data: Maintain 1 copy of each product data submittal. Mark these documents to show significant variations in actual work performed in comparison with information submitted. Include variations in products delivered to the site and from the manufacturer's installation instructions and recommendations. Give particular attention to concealed products and portions of the work which cannot otherwise be readily discerned later by direct observation. Note related change orders and markup of record drawings and specifications.
  - 1. Upon completion of markup, submit complete set of record product data to ENGINEER for OWNER's records.
- E. Extra Stock Submitted: Immediately prior to the date or dates of recommendation of acceptance, CONTRACTOR shall meet at the site with ENGINEER and OWNER's personnel to transmit extra stock to OWNER for storage purposes. Comply with delivery of OWNER's storage area.
- F. Miscellaneous Record Submittals: Refer to other specification sections for requirements of miscellaneous record keeping and submittals in connection with actual performance of the work. Immediately prior to the date or dates of recommendation of acceptance, complete miscellaneous records and place in good order, properly identified and bound or filed, ready for continued use and reference. Submit to ENGINEER for OWNER's records.

### 1.06 OPERATION AND MAINTENANCE MANUAL SUBMITTAL

A. Maintenance Manuals: Organize operating and maintenance data into 3 sets of manageable size. Bind properly indexed data in individual heavy-duty, 3-inch, 3-ring vinyl-covered binders complete with tab sections and table of contents, with pocket folders for folded sheet information. Mark appropriate identification

on

front and spine of each binder. Include the following types of information:

- 1. Emergency instructions.
- 2. Spare parts list.
- 3. Copies of warranties.
- 4. Wiring diagrams.
- 5. Recommend "turn around" cycles.
- 6. Inspection procedures.
- 7. Shop drawings and product data.
- 8. Equipment cards for all equipment furnished on project.
- 9. List of subcontractors and material suppliers.

# PART 2 • PRODUCTS (NOT USED)

# PART 3 • EXECUTION

# 3.01 CLOSEOUT PROCEDURES

- A. Make submittals that are required by governing or other authorities.
  - 1. Provide copies to ENGINEER and OWNER.
- B. Notify ENGINEER when work is considered ready for final/partial inspection.
- C. Submit written certification that contract documents have been reviewed, work has been inspected and that work is complete in accordance with contract documents and ready for ENGINEER's review.
- D. OWNER shall occupy all of the site or facilities as indicated in the plans or as directed by OWNER and ENGINEER.
- E. Correct items of work listed in executed punch list documents and comply with requirements for access to OWNER occupied areas.
- F. Notify ENGINEER and OWNER when work is considered 100 percent complete.
- G. Complete items of work determined by ENGINEER's final inspection.
- H. Operating and Maintenance Instructions: Arrange for each installer of equipment that requires regular maintenance to meet OWNER's personnel to provide instruction in proper operation and maintenance. If installers are not experienced in procedures, provide instruction by manufacturer's representatives. Include a detailed review of the following items:
  - 1. Maintenance manuals.
  - 2. Record documents.
  - 3. Spare parts and materials.
  - 4. Tools.
  - 5. Identification systems.
  - 6. Control sequences.

- 7. Hazards.
- 8. Cleaning.
- 9. Warranties and bonds.
- 10. Maintenance agreements and similar continuing commitments.
- 11. Equipment cards.
- 12. Lubricants and fuels.
- I. As part of instruction for operating equipment, demonstrate the following procedures:
  - 1. Start-up.
  - 2. Shutdown.
  - 3. Emergency operations.
  - 4. Noise and vibration adjustments.
  - 5. Safety procedures.
  - 6. Economy and efficiency adjustments.
  - 7. Effective energy utilization.

# 3.02 FINAL CLEANING

- A. General: General cleaning during construction is required by the general conditions and included in Section 01 5000 -Temporary Facilities.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to the condition expected in a normal commercial building cleaning and maintenance program. Comply with manufacturer's instructions.
  - 1. Complete the following cleaning operations before requesting inspection for certification of recommendation of acceptance.
    - a. Remove labels that are not permanent labels.
    - b. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compound and other substances that are noticeable vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials.
    - c. Clean exposed exterior and interior hard-surfaced finishes to a dust-free condition, free of stains, films and similar foreign substances. Restore reflective surfaces to their original reflective condition. Leave concrete floors broom clean.
    - d. Clean the site, including landscape development areas, of rubbish, liter and other foreign substances. Sweep paved areas broom clean; remove stains, spills and other foreign deposits. Rake grounds that are neither paved nor planted to a smooth, even-textured surface.
- C. Removal of Protection: Remove temporary protection and facilities installed for protection of the work during construction.

- D. Compliance: Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on OWNER's property. Do not discharge volatile, harmful or dangerous materials into drainage systems. Remove waste materials from the site and dispose of in a lawful manner.
  - 1. Wipe surfaces of mechanical equipment. Remove excess lubrication and other substances. Clean plumbing fixtures to a sanitary condition.
  - 2. Wipe surfaces of electrical equipment. Clean light fixtures and lamps.
  - 3. Where extra materials of value remaining after completion of associated work have become OWNER's property, arrange for disposition of these materials as directed by ENGINEER/OWNER.

# **SECTION 02 4113**

# **REMOVAL OF STRUCTURES AND OBSTRUCTIONS**

# PART 1 • GENERAL

# 1.01 SECTION INCLUDES

- A. Clearing of all tracts.
- B. Removal and disposal of all buildings, lighting, traffic signals, guardrail, structures, headwalls, culverts, bridges, abandoned pipelines or utilities, and other obstructions not designated to remain.
- C. Salvaging disposition designated material.
- D. Backfilling resulting cavities.

# 1.02 RELATED SECTIONS

- A. Section 01 1000 Special Provisions.
- B. Section 31 2323 Fill and Backfill.
- C. Section 31 2413 Roadway Excavation and Embankment.

### 1.03 REFERENCES

A. State Standard Specifications for Highway Construction, latest edition, including all current supplemental specifications.

# 1.04 UNIT PRICES

- A. See Section 01 2200 Unit Prices for general requirements relating to unit prices.
- B. Remove Existing Structure:
  - 1. Method of Measurement and Pay Unit: By the unit (Each).
  - 2. Includes:
    - a. Removal of the structure and appurtenances.
    - b. Transport of salvage items to location designated by OWNER.
    - c. Removal and disposal of non-salvageable materials and debris from site.
    - d. Backfilling resulting cavities.

### 1.05 QUALITY ASSURANCE

A. Perform work in accordance with State Standard Specifications.

### 1.06 REGULARTORY REQUIREMENTS

- A. Conform to local, state and federal regulations for disposal of debris.
- B. CONTRACTOR to obtain, at CONTRACTOR's own expense, all permits or licenses for use and maintenance of dumps and waste sites.
- C. Coordinate removal and or relocation of work with utility owner.

D. Conform to local, state and federal regulations for preparation and implementation of erosion control plan.

## 1.07 PROJECT CONDITIONS

- A. Protect above and below grade utilities that remain.
- B. Protect trees, plants and other features designated to remain as final landscaping.
- C. Existing structures to remain in place until new replacement structure is complete unless noted otherwise in the drawings.
- D. Non-salvageable materials become property of CONTRACTOR.
- E. Salvageable materials shall become the property of CONTRACTOR, except those items to be salvaged and delivered to OWNER. See Section 01 1000 Special Provisions for a listing and disposition details.
- F. Protect benchmarks, survey control points and existing structures designated to be used in place from damage or displacement.

#### PART 2 - PRODUCTS

#### 2.01 FILL MATERIAL

A. Backfill excavations/cavities with approved materials.

## PART 3 - EXECUTION

#### 3.01 PREPARATION

- A. Provide, erect and maintain temporary barriers and security devices.
- B. Protect existing landscaping materials, appurtenances and structures which are to remain.
- C. Prevent movement of adjacent structures. Provide bracing and shoring as necessary.
- D. Locate and mark location of utilities to remain.
- E. Identify waste area/salvage area for placing removed materials.

## 3.02 REMOVAL

- A. Excavate as necessary-to perform removal.
- B. Basement walls, floors and miscellaneous structures:
  - 1. Remove walls a minimum 2 feet below finish grade.
  - 2. Break concrete floors into pieces approximately 4 square feet; leave in place.
  - 3. Backfill cavity with approved material.
- C. Bridges, Culverts and Other Drainage Structures:
  - 1. Remove abutments, piers, bents and walls entirely or to an elevation 2 feet below the subgrade, slope face or original ground level shown in the drawings or as directed by ENGINEER.

- 2. Remove piers in streams to 2 feet below natural stream bottom.
- 3. Dismantle, without damage, salvaged items; match and mark if appropriate for reassembly. Clean and transport to designated storage area.
- 4. Cut truss member joints apart to render them unfit for reuse on a public road.
- D. Manholes, Catch Basins or Inlets to be Abandoned:
  - 1. Plug pipe connections with flowable fill.
- E. Pile Cutoff Elevation:
  - 1. Cut off or drive pile to the elevations shown in Table 203.01 of the NOOR Standard Specifications or a minimum of 2 feet below final grade or streambed.
- F. Roadway lighting, sign lighting and traffic signals.
- G. Signs, Sign Support Structures and Foundations:
  - 1. Removal in accordance with State Standard Specifications.

# 3.03 REMOVING PORTION OF EXISTING STRUCTURE

- A. Cut, chip and trim connecting edges to line and grade shown in the drawings.
- B. Use care not to weaken or damage that portion of structure to be retained and used in place.
- C. Expose, clean, straighten and extend reinforcing steel into new work as shown in the drawings.

# 3.04 DISPOSAL

- A. Remove waste material from project site promptly as it is generated by construction operations; do not permit to accumulate. Do not remove topsoil from the site.
- B. Remove brush, rubbish, spoil, excess excavated material and material not suitable for backfill to off-site location of CONTRACTOR's choice.
- C. Disposal areas shall be CONTRACTOR's responsibility and as legally permitted.
- D. Grade final cover to allow for positive surface drainage.
- E. Haul Routes:
  - 1. Determine haul roads with approval of agency having jurisdiction over proposed roadway.
  - 2. Make condition survey of haul roads prior to use and document with necessary photographs and written descriptions.
  - 3. Keep reasonably free from dirt, dust, mud and other debris from construction operations.
  - 4. Clean a minimum of twice a week.

- 5. Repair any damaged haul roads to match existing conditions before use.
- 6. No extra payment will be made for removals regardless of disposal locations.

## 3.05 SALVAGED MATERIALS

- A. See Section 01 1000-Special Provisions.
- B. Carefully remove, load, transport, unload and store materials and items designated as salvage.
- C. Reinstall salvage material and items as shown in the drawings.

## 3.06 BACKFILL

- A. Roadbed Embankment or Subgrade:
  - 1. See State Standard Specifications and Section 31 2323.
  - 2. See Section 31 2413 Roadway Excavation and Embankment.
- B. Basements or Cavities:
  - 1. See State NDOT Standard Specifications.
- C. Compaction of Embankments See State Standard Specifications:
  - 1. Embankment compaction classified Class III.

# SECTION 31 2200 GRADING

#### PART 1 • GENERAL

#### **1.01 SECTION INCLUDES**

- A. Removal and storage of topsoil.
- B. Rough grading for site structures.
- C. Replacement of topsoil and finish grading for planting and seeding.

#### 1.02 RELATED SECTIONS

- A. Section 01 1000 Special Provisions.
- B. Section 01 3000 Administrative Requirements.
- C. Section 31 1000 Site Clearing.
- D. Section 31 2316 Excavation.
- E. Section 31 2323 Fill and Backfill.
- F. Section 31 2413 Roadway Excavation and Embankment.
- G. Section 31 2500 Erosion Control.
- H. Geotechnical report.

#### 1.03 REFERENCES

A. State Standard Specifications for Highway Construction, latest edition, including all current supplemental specifications.

#### 1.04 UNIT PRICES

- A. See Section 01 2200 Unit Prices for general requirements relating to unit prices for this work.
- B. Topsoil:
  - 1. Work considered subsidiary to bid item "excavation and or embankment".
  - 2. Includes excavating existing topsoil, stockpiling, scarifying substrate surface, placing topsoil where required and compacting.
  - 3. The loading, hauling and unloading of surplus material at a designated site is considered subsidiary to excavating existing topsoil.
- C. Grading:
  - 1. Measurement Method and Pay Unit: By the cubic yard or established quantity, as per the bid form.
  - 2. Grading may include excavating, placing material where required, furnishing of off-site material if required and compacting soils to the elevations indicated in the drawings.
    - a. Excavation.
    - b. Embankment.

c. Borrow.

#### 1.05 SUBMITTALS

- A. Project Record Documents: CONTRACTOR shall accurately record actual locations of utilities remaining by horizontal dimensions, elevations or inverts, and slope gradients.
- B. See Section 01 3000 Administrative Requirements for submittal procedure.
- C. Samples: 60-pound samples of each type of fill. Submit in airtight containers to testing laboratory unless samples are to be acquired by the testing agency.
- D. Materials Sources: Submit name of imported materials source.
- E. Fill Composition Test Reports: Results of laboratory tests on proposed and actual materials used.
- F. Compaction density test reports.

#### 1.06 QUALITY ASSURANCE

A. Perform work in accordance with State Standard Specifications or Section 01 4000 - Quality Requirements.

#### 1.07 PROJECT CONDITIONS

- A. Protect above and below-grade utilities that remain.
- B. Protect plants, lawns, rock outcroppings, amenities and other features to remain as a portion of final landscaping.
- C. Protect benchmarks, survey control points, existing structure, fences, sidewalks, paving, curbs, batter boards and amenities from excavating equipment and vehicular traffic.

## PART 2 - PRODUCTS

#### 2.01 MATERIALS

- A. Topsoil: See Section 31 2323 Fill and Backfill.
- B. Topsoil: Conforming to State Standard Specifications and/or Geotechnical Report.
- C. Topsoil: Topsoil excavated on-site or furnished by CONTRACTOR.
  - 1. Graded.
  - 2. Free of roots, rocks larger than 1/2-inch, subsoil, debris, large weeds and foreign matter.
  - 3. Loam, sandy loam, silt clay loam or clay loam humus-bearing soils.
  - 4. ENGINEER approved.
- D. Other Fill Materials: See Section 31 2323 Fill and Backfill.
- E. General Fill: Subsoil excavated on-site.
  - 1. Graded.
  - 2. Free of lumps larger than 3 inches, rocks larger than 1 inch and debris.
- F. Granular Fill Gravel: Free of shale, clay, friable material and debris.

- 1. Graded in accordance with State Standard Specifications and/or Geotechnical Report.
- G. Sand: Natural river or bank sand, free of silt, clay, loam, friable or soluble materials, and organic matter.
  - 1. Grade in accordance with State Standard Specifications, and/or Geotechnical Report.
- H. Water required for grading is CONTRACTOR's responsibility. The cost of furnishing water will not be a direct pay item but is to be included in other items for which payment is made.

#### PART 3 • EXECUTION

#### 3.01 EXAMINATION

A. Verify that survey benchmark and intended elevations for the work are as indicated.

#### 3.02 PREPARATION

- A. Identify required lines, levels, contours and datum.
- B. Stake and flag locations of known utilities.
- C. Locate, identify and protect utilities that remain from damage.
- D. Notify utility owner to remove and relocate utilities when relocation is required.
- E. Implement erosion control plan.

## 3.03 ROUGH GRADING

- A. Remove topsoil within the limits of construction (LOC) without mixing with foreign materials and stockpile. Minimum depth of topsoil removal shall be 6 inches unless otherwise noted in the drawings.
- B. Do not remove topsoil when wet.
- C. Remove subsoil from areas to be further excavated, relandscaped or regraded.
- D. Do not remove wet subsoil unless it is subsequently processed to obtain optimum moisture content.
- E. When excavating through roots, perform work by hand and cut roots with sharp axe.
- F. See Section 31 2323 Fill and Backfill for filling procedures.
- G. Benching Slopes: Horizontally bench existing slopes greater than 1-foot vertical rise in 4 feet to key fill material to slope for firm bearing.
- H. Stability: Replace damaged or displaced subsoil to same requirements as for specified fill.
  - 1. Do not use frozen material.
- I. Backfill to contours and elevations indicated.
- J. Fill up to subgrade elevation unless otherwise indicated.
- K. Employ a compaction method that achieves the specified density requirements.

- L. Employ a placement method that does not disturb or damage other work.
- M. Do not fill over porous, wet, frozen or spongy subgrade surfaces.
- N. Maintain optimum moisture content of fill materials to attain required compaction density. See Geotechnical Report.
- 0. Granular Fill: Place and compact materials in equal, continuous layers not exceeding 6 inches compacted depth or as indicated in the Geotechnical Report.
- P. See Section 31 2413- Roadway Excavation and Embankment for procedures.

## 3.04 SOIL REMOVAL AND STOCKPILING

- A. Stockpile topsoil to be reused on site; remove remainder from site.
- B. Stockpile subsoil to be reused on site; remove remainder from site.
- C. Stockpiles: Use areas designated on site; pile depth not to exceed 8 feet; protect from erosion.
- D. Location of site for disposal of surplus topsoil and subsoil is shown in the plans or described in Section 01 1000 Special Provisions.

## 3.05 FINISH GRADING

- A. Before Finish Grading:
  - 1. Verify building and trench backfilling have been inspected.
  - 2. Verify subgrade has been contoured and compacted.
- B. Remove debris, roots, branches and stones in excess of 1 inch in size. Remove soil contaminated with petroleum products.
- C. Where topsoil is to be placed, scarify surface to depth of 6 inches.
- D. In areas where vehicles or equipment have compacted soil, scarify surface to depth of 6 inches.
- E. Place topsoil in areas where seeding, sodding and planting are indicated.
- F. Place topsoil in areas indicated.
- G. Place topsoil where required to level finish grade.
- H. Place topsoil to the following compacted thicknesses:
  - 1. Areas to be Seeded with Grass: 6 inches.
  - 2. Areas to be Sodded: 4 inches.
  - 3. Shrub Beds: 8 inches.
  - 4. Flower Beds: 2 inches.
  - 5. Planter Boxes: To within 3 inches of box trim.
- I. Place topsoil during dry weather.
- J. Remove roots, weeds, rocks and foreign material while spreading.
- K. Near trees, shrubs and buildings, spread topsoil manually to prevent damage.
- L. Fine grade topsoil to eliminate uneven areas and low spots. Maintain profiles and contour of subgrade.

M. Lightly compact placed topsoil.

## 3.06 TOLERANCES

- A. Top Surface of Subgrade: Plus or minus 0.10 feet from required elevation.
- B. Top Surface of Finish Grade: Plus or minus 0.08 feet.

## 3.07 FIELD QUALITY CONTROL

- A. See Section 011000-Special Provisions.
- B. See Section 01 4000 Quality Requirements for general requirements for field inspection and testing.
- C. Compaction density testing shall be performed on compacted fill in accordance with ASTM D 1556, ASTM D 2167, ASTM D 2922 or ASTM D 3017.
- D. Results shall be evaluated in relation to compaction curve determined by testing uncompacted material in accordance with ASTM D 698 "standard proctor", ASTM D 1557 "modified proctor" or AASHTO T 180.
- E. If tests indicate work does not meet specified requirements, remove work, replace and retest, or see Section 01 4000 Quality Requirements for procedures.
- F. Frequency of Tests:
  - 1. Test randomly.
  - 2. Under Paving, Slabs-on-Grade and Similar Construction: A minimum of 1 test for each lift of O to 2 feet in depth per 100' x 100' area or as determined by ENGINEER.
- G. Proof roll compacted fill at surfaces that will be under slabs-on-grade, pavers and paving.

#### 3.08 CLEANING AND PROTECTION

- A. Remove unused stockpiled topsoil and subsoil. Grade stockpile area to prevent standing water. Maintain drainage away from buildings and structures at a 2 percent grade or as indicated on the drawings.
- B. Leave site clean and raked, ready to receive landscaping.

## SECTION 32 1500 AGGREGATE SURFACING

## PART 1 - GENERAL

## **1.01 SECTION INCLUDES**

A. Aggregate surfacing.

## 1.02 RELATED SECTIONS

- A. Section 01 1000 Special Provisions.
- B. Section 01 2200- Unit Prices.
- C. Section 01 3000 Administrative Requirements.
- D. Section 01 4000-Quality Requirements.
- E. Section 01 5500 Traffic Control.
- F. Section 01 6000 Product Requirements.
- G. Section 01 7000- Execution Requirements.
- H. Section 01 7700 Project Closeout.
- I. Section 31 2313 Subgrade Preparation.
- J. Section 31 2323 Fill and Backfill.
- K. Section 31 2413 Roadway Excavation and Embankment.

#### 1.03 REFERENCES

A. State Standard Specifications for Highway Construction, English Units Edition, including all current supplemental specifications.

#### 1.04 UNIT PRICES-MEASUREMENT AND PAYMENT

A. New Aggregate Surfacing: The measurement and payment for furnishing and installing aggregate surfacing on existing private driveways, parking lot/areas, streets, roads or alleys, as shown in the plans or as directed by OWNER through ENGINEER, will be made based on the lineal foot of material delivered and placed. CONTRACTOR shall provide weigh scale tickets to OWNER through ENGINEER for all aggregate that is delivered and placed to resurface areas as shown in the plans or as directed by OWNER through ENGINEER. CONTRACTOR's unit price for aggregate surfacing shall include furnishing, delivering, spreading aggregate, and compaction of aggregate on an approved roadbed surface and includes furnishing the necessary material, labor, equipment, tools and incidentals necessary to complete the work.

#### 1.05 QUALITY ASSURANCE

- A. Aggregate surfacing shall meet the specifications in the Standard Specifications, for gravel and crushed rock surfacing.
- B. Submit a recent analysis from proposed aggregate source showing compliance with the specifications.

#### PART 2 - PRODUCTS (NOT USED)

#### PART 3 - EXECUTION

#### 3.01 INSPECTION

- A. Verify compacted subgrade is dry and ready to receive work of this section.
- B. Verify gradients and elevations of subgrade are correct.
- C. Beginning of installation means acceptance of existing conditions.

#### 3.02 PLACING AGGREGATE SURFACING

- A. Spread material over prepared subgrade to a total compacted thickness as shown in the plans or as specified in Section 01 1000 Special Provisions.
- B. Level surfaces to elevations and gradients indicated.
- C. Compact placed aggregate materials by rolling.
- D. Perform hand tamping in areas inaccessible to compaction equipment.
- E. Add moisture as needed to supplement the compaction process.

# SECTION 32 9219 SEEDING

#### PART 1 - GENERAL

#### 1.01 SECTION INCLUDES

- A. Preparation of seedbed.
- B. Placing topsoil.
- C. Seeding, mulching and fertilizer.
- D. Hydroseeding.
- E. Maintenance.

#### 1.02 RELATED SECTIONS

- A. Section 01 1000 Special Provisions.
- B. Section 01 2200- Unit Prices.
- C. Section 01 3000 Administrative Requirements.
- D. Section 01 4000 Quality Requirements.
- E. Section 01 6000- Product Requirements.
- F. Section 01 7000 Execution Requirements.
- G. Section 01 7113 Mobilization and Demobilization.
- H. Section 01 7700- Project Closeout.
- I. Section 31 2200 Grading.
- J. Section 31 2323 Fill and Backfill.
- K. Section 31 2500 Erosion Control.

#### 1.03 SUBMITTALS

- A. See Section 01 3000 Administrative Requirements.
- B. Submit from an established seed dealer or grower the certified "blue tag" for each container of seed. This tag will show percentage by weight, percentage of purity, germination and weed seed for each grass, legume and cereal crop stating botanical and common name of each species as specified.

#### 1.04 REGULATORY REQUIREMENTS

A. Comply with regulatory agencies for seed and fertilizer.

#### 1.05 DELIVERY, STORAGE AND HANDLING

- A. Deliver grass seed mixture in sealed containers. Seed in damaged packaging is not acceptable. Deliver seed mixture in containers showing percentage of seed mix, year of production, net weight, date of packaging and location of packaging.
- B. Deliver fertilizer in waterproof bags showing weight, chemical analysis and

name of manufacturer.

#### 1.06 MAINTENANCE

- A. Maintain seeded areas immediately after placement until grass/seed mix is well established and exhibits a vigorous growing condition.
- B. Fill washouts and areas of erosion with topsoil. CONTRACTOR is responsible for any and all erosion control measures necessary for the establishment of the specified seed material.
- C. Maintain erosion control.

## 1.07 UNIT PRICE- MEASUREMENT AND PAYMENT

A. The work of seeding shall be a per acre, lump sum or as shown on the Bid Form for surfaces seeded in accordance with these specifications. The quantity of completed and accepted work measured as provided herein shall be paid for at the contract price for the item "seeding". This price shall be full compensation for furnishing and applying fertilizer; furnishing and sowing seed; furnishing and applying mulch materials; preparation of the seedbed; and for all materials, labor, equipment, tools and incidentals necessary to complete the work.

#### PART 2 - PRODUCTS

#### 2.01 SOIL MATERIALS

A. Topsoil as specified in Section 31 2323 - Fill and Backfill.

#### 2.02 FERTILIZER

- A. Fertilizer shall be a standard commercial inorganic product containing nitrogen, available phosphoric acid and soluble potash in a recognized plant food form. This fertilizer shall be either suspendable or soluble in water.
- B. All fertilizer shall comply with the provisions of the State and Local Regulations, with subsequent amendments or revisions thereto. Under these regulations, each brand and grade of commercial fertilizer must be registered. Each container of commercial fertilizer shall have placed on or affixed to the container, in written or printed form, the new weight and the following additional information:
  - 1. The name and address of the person guaranteeing the fertilizing.
  - 2. The brand and grade.
  - 3. The guaranteed analysis showing the minimum percentage of plant food claimed in the following order and form:
    - a. Total nitrogen percent.
    - b. Available phosphoric acid (P<sub>2</sub>0s) percent.
    - c. Soluble potash (K20) percent.
    - d. If distributed in bulk, a written or printed statement of the weight and preceding information shall accompany delivery and be supplied to ENGINEER.
- C. Any grade or mixture of grades of nitrogen and phosphoric acid fertilizer may be used providing the proportions of the minimum rate of application per acre in accordance with the specifications or as directed by ENGINEER are met.

- D. Fertilizer shall be furnished and delivered in standard bags or bulk.
- E. Rate of application of commercial inorganic fertilizer shall be 200 pounds/acre of 18-46-0, recommended by seed supplier or shown in Section 01 1000 Special Provisions.

#### 2.03 SEED MIXTURE

- A. All seeds shall comply with applicable state and federal seed laws.
- B. The seed shall comply with the specified requirements and shall be applied at the rate shown. All seeds shall be certified blue tag. A certified blue tag and analysis tag shall be on every bag,
- C. See Section 01 1000 Special Provisions for seed mixture.

#### 2.04 WATER

A. Clean, fresh and free of substances or matter which could inhibit vigorous growth of grass.

#### PART 3 - EXECUTION

#### 3.01 EXAMINATION

- A. Verify that the prepared soil base is ready to receive the work of this section.
- B. CONTRACTOR shall notify ENGINEER at least 48 hours in advance of the intended time to begin work and shall not proceed with such work until permission to do so has been granted by ENGINEER.

#### 3.02 PREPARATION

- A. Prepare subgrade in accordance with Section 31 2200 Grading.
- B. Place topsoil in accordance with Section 31 2200 Grading.
- C. Remove foreign materials, plants, roots, stones and debris from site. Do not bury foreign material.
- D. Remove contaminated soil.
- E. The finish grading must be approved by ENGINEER before seeding operations begin.

## 3.03 FERTILIZING

- A. Apply fertilizer at the rate specified.
- B. Apply after smooth raking of topsoil and prior to roller compaction.
- C. Do not apply fertilizer at the same time or with the same machine as will be used to apply seed.
- D. Mix thoroughly into upper 2 inches of topsoil.
- E. Lightly water to aid the dissipation of fertilizer, if required.

## 3.04 SEEDING

- A. The seedbed shall be prepared by loosening the soil to a depth of not less than 2 inches by discing, harrowing, raking or by other approved means. Several discings, harrowings or similar means may be required to provide a satisfactory seedbed. Discing, harrowing and raking shall be longitudinal on all slopes.
- B. Existing weed stubble and small weeds shall be cut and partially incorporated into the soil during the seedbed preparation work. All other growth of vegetation that will interfere with seeding operations shall be removed. Extreme care shall be exercised to avoid injury to trees and shrubs that have not been designated by ENGINEER to be removed.
- C. For seeding, approved mechanical power-drawn drills shall be used. Mechanical power-drawn drills shall have depth bands set to maintain a planting depth of 1/2 to 1 inch.
- D. Apply seed at the rate specified evenly in 2 intersecting directions. Rake in lightly.
- E. Planting Season: Seeding operations shall be performed only during the periods March 1 to June 30 and August 1 to December 1.
- F. Do not sow immediately following rain, when ground is too dry or frozen, or during windy periods.
- G. Apply water with fine spray immediately after each area has been sown.

#### 3.05 HYDROSEEDING

A. Apply seeded slurry with a hydraulic seeder evenly in 2 intersecting directions at a rate of 1,500 pounds of mulch/acre.

## 3.06 MULCHING

- A. This work shall consist of placing a mulch on areas seeded. The mulch shall be loose enough to allow sunlight to penetrate and air to slowly circulate, but thick enough to shade the ground, reduce rate of water evaporation and prevent or reduce water or wind erosion.
- B. Mulch shall be either dry cured native hay or threshed grain straw. Hay or straw shall be free from seeds of noxious weeds and relatively free from seeds of all other weeds.
- C. The mulch shall be applied at the rate of 2 tons per acre.
- D. Mulch shall be immediately applied after sowing the seed unless otherwise directed by ENGINEER. The mulch shall be applied with a mulch blowing machine or other approved methods.
- E. Immediately following the spreading of the mulch, the material shall be anchored to the soil by a V-type wheel land packer, a soil erosion mulch tiller or other suitable equipment which will secure the mulch firmly to form a soil-binding mulch.
- F. Apply water with a fine spray immediately after each area has been mulched.

#### 3.07 RESEEDING

A. Prior to the expiration of the 1-year warranty, CONTRACTOR will be required to reseed any area that has not established a vigorous growth of specified seed mixture. ENGINEER to determine which areas are to be reseeded.

## THIS PAGE CONCLUDES

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## SPECIFICATIONS

# FOR THE SALT CREEK LEVEE TRAIL

#### FOR

#### LOWER PLATTE SOUTH NRD



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